

**THE STATE CORPORATION COMMISSION  
OF THE STATE OF KANSAS**

Before Commissioners:                      Shari Feist Albrecht, Chair  
   Jay Scott Emler  
   Pat Apple

In the Matter of Certification of Compliance    )  
with Section 254(e) of the Federal            )  
Telecommunications Act of 1996 and            ) Docket No. 18-GIMT-394-GIT  
Certification of Appropriate Use of Kansas    )  
Universal Service Fund Support.                )

**ORDER OPENING DOCKET**

This matter comes before the State Corporation Commission of the State of Kansas (“Commission”) for consideration and decision. Having reviewed its files and records and being duly advised in the premises, the Commission makes the following findings:

1. The Federal Communications Commission (“FCC”), in its *USF/ICC Transformation Order & FNPRM*, requires Eligible Telecommunications Carriers (ETCs) to submit specific information as outlined in 47 C.F.R. § 54.313, to the states, the Universal Service Administrative Company (USAC), tribal governments (where relevant), and the FCC by July 1 of each year, beginning in the year 2012.<sup>1</sup>

2. The Commission believes that this docket should be opened to collect those filings, as required by the FCC, and to determine whether the Commission should certify that the ETCs in Kansas will use their federal Universal Service Fund (USF) support for 2019 in compliance with Section 254(e) of the Federal Telecommunications Act of 1996 and whether the

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<sup>1</sup> *Connect America Fund; A National Broadband Plan for Our Future; Establishing Just and Reasonable Rates for Local Exchange Carriers; High-Cost Universal Service Support; Developing a Unified Intercarrier Compensation Regime; Federal-State Joint Board on Universal Service; Lifeline and Link-Up; Mobility Fund*; WC Docket Nos. 10-90, 07-135, 05-337, 03-109, CC Docket Nos. 01-92, 96-45, GN Docket No. 09-51, WT Docket No. 10-208, *Third Order on Reconsideration*, (May 14, 2012), ¶ 10.

ETCs appropriately used their federal USF and Kansas Universal Service Fund (KUSF) support in 2017. States that desire ETCs to receive support pursuant to the USF high-cost program must file an annual certification with the FCC and USAC by October 1, stating that all federal high-cost support provided to such carriers within the state was used in the preceding calendar year and will be used in the coming calendar year only for the provision, maintenance, and upgrading of facilities and services for which the support was intended as required by 47 C.F.R. § 54.314.

3. Commission Staff (“Staff”) prepared a Report and Recommendation, dated March 29, 2018, which is attached hereto and made a part hereof by reference. The Report and Recommendation addresses the above stated matters and requests the Commission open this docket. The Commission approves Staff’s Report and Recommendation and adopts the request.

**IT IS, THEREFORE, BY THE COMMISSION ORDERED THAT:**

A. This docket shall be opened for the purpose of receiving information and certifications to ensure compliance with Section 254(e) of the Federal Telecommunications Act of 1996 and ensure appropriate use of federal and Kansas Universal Service Fund support.

B. The required ETC certifications for the year 2019, along with the attached worksheet(s) and a copy of their Form 481 shall be filed with the Commission in this docket on or before July 2, 2018.

C. Citizen’s Utility Ratepayer Board is made a party to this docket and shall be served with all entries of appearance, comments, forms and pleadings.

D. The parties have fifteen (15) days, plus three (3) days if mailed service, from the date this order was served in which to petition for reconsideration. K.S.A. 66-118b; K.S.A. 77-529(a)(1).

E. The Commission retains jurisdiction over the subject matter and parties for the purpose of issuing such further orders, as necessary.

**BY THE COMMISSION IT IS SO ORDERED.**

Albrecht, Chair; Emler, Commissioner; Apple, Commissioner

Dated: 04/05/2018

A handwritten signature in cursive script, reading "Lynn M. Retz", written over a horizontal line.

Lynn M. Retz  
Secretary to the Commission

AAL

# STATE OF KANSAS



CORPORATION COMMISSION  
UTILITIES DIVISION  
1500 SW ARROWHEAD ROAD  
TOPEKA, KS 66604-4027

PHONE: 785-271-3220  
FAX: 785-271-3357  
<http://kcc.ks.gov/>

GOVERNOR JEFF COLYER, M.D.  
SHARI FEIST ALBRECHT, CHAIR | JAY SCOTT EMLER, COMMISSIONER | PAT APPLE, COMMISSIONER

## REPORT AND RECOMMENDATION UTILITIES DIVISION

**TO:** Chair Shari Feist Albrecht  
Commissioner Jay Scott Emler  
Commissioner Pat Apple

**FROM:** Christine Aarnes, Chief of Telecommunications  
Jeff McClanahan, Director of Utilities

**DATE:** March 29, 2018

**SUBJECT:** Docket No. 18-GIMT-394-GIT  
*In the Matter of Certification of Compliance with Section 254(e) of the Federal Telecommunications Act of 1996 and Certification of Appropriate Use of Kansas Universal Service Fund Support.*

### EXECUTIVE SUMMARY:

The Federal Communications Commission (FCC) requires Eligible Telecommunications Carriers (ETCs) to submit specific information as outlined in 47 C.F.R. § 54.313 to the states, the Universal Service Administrative Company (USAC), tribal governments (where relevant), and the FCC by July 1<sup>st</sup> of each year, beginning in 2012.<sup>1</sup> In addition, pursuant to 47 C.F.R. § 54.314 and 47 U.S.C. § 254(e), states that desire ETCs to receive support pursuant to the federal high-cost program must file an annual certification with USAC and the FCC stating that all federal high-cost support provided to such carriers within that state was used in the preceding calendar year and will be used in the coming calendar year only for the provision, maintenance, and upgrading of facilities and services for which the support is intended.

Staff recommends the Commission open a generic docket to collect the required filings and to determine whether the Commission should certify to the FCC and USAC that all federal high-cost support provided to ETCs in Kansas was used in 2017 and will be used in 2019 only for the provision, maintenance, and upgrading of facilities for which the support is intended. The docket

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<sup>1</sup> *Connect America Fund; A National Broadband Plan for Our Future; Establishing Just and Reasonable Rates for Local Exchange Carriers; High-Cost Universal Service Support; Developing a Unified Intercarrier Compensation Regime; Federal-State Joint Board on Universal Service; Lifeline and Link-Up; Mobility Fund; WC Docket Nos. 10-90, 07-135, 05-337, 03-109, CC Docket Nos. 01-92, 96-45, GN Docket No. 09-51, WT Docket No. 10-208, Third Order on Reconsideration, (May 14, 2012), ¶ 10.*

should further include a certification and analysis to ensure Kansas Universal Service Fund (KUSF) support was used in 2017 and will be used in 2019 appropriately. Each filing should be submitted separately by company and a copy of the supporting Excel files for Attachments 2-5 should be emailed to Staff at [c.arnes@kcc.ks.gov](mailto:c.arnes@kcc.ks.gov).

### **BACKGROUND:**

Section 254(e) of the Federal Telecommunications Act provides that carriers receiving federal high-cost support shall use the support “*only for the provision, maintenance, and upgrading of facilities and services for which the support is intended.*” (Emphasis Added). The FCC delegated the responsibility of oversight of Section 254(e) to the states.

Therefore, the Commission must send a letter by October 1 of each year to the FCC and USAC stating that the companies named in the letter have provided certification that they will use their federal high-cost support in accordance with Section 254(e) and that they used their federal high-cost support the prior year in accordance with Section 254(e) in order for the ETCs to be eligible to receive federal high-cost support the following year.

In addition, the Commission determined on January 30, 2009, in Docket No. 08-GIMT-154-GIT (154 Docket), that ETCs must provide information to document that the carriers appropriately spent their KUSF support and will continue to spend their KUSF support appropriately.

Beginning in 2013, the FCC required ETCs to file Form 481 and file a copy of their Form 481 with respective state commissions by July 1 of each year. However, in a July 7, 2017 Report and Order, the FCC determined, among other things, that it will no longer require ETCs to file a copy of their Form 481 with the state commissions, contingent upon USAC’s completion of the rollout of an online portal for recipients of high-cost services.<sup>2</sup> Staff contacted USAC and was informed that the online portal is active; therefore, it is Staff’s understanding that ETCs are no longer required to submit a copy of their Form 481 to the Commission.

### **ANALYSIS:**

#### **Kansas ETC Certification Forms**

Listed below are the Forms and Instructions that will be used for this year’s filing:

#### **Attachment**

<b><u>No.</u></b>	<b><u>Description</u></b>
1	Certification Form for Federal High-Cost Support and KUSF Support
2a	Kansas’ Test for Certification for Incumbent Local Exchange Carriers (ILECs)
2b	Kansas’ Test for Certification for ILECs Using Illustrative Data
3a	Kansas’ Test for Certification for Competitive ETCs
3b	Kansas’ Test for Certification for Competitive ETCs Using Illustrative Data
4	Narrative Report for New Investments
5	Narrative Report for New Investments in Southwestern Bell Telephone Company d/b/a AT&T Kansas (SWBT) Exchanges (Certifies KUSF Support)

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<sup>2</sup> *In the Matter of Connect America Fund ETC Annual Reports and Certifications*, WC Docket No. 10-90, 14-58, Report and Order, Rel. July 7, 2017.

Attachment 7 contains the instructions for completing Attachments 1-6.

- ILECs that received in 2017 and/or will receive federal high-cost support and/or KUSF support in 2019 should complete Attachments 1, 2a, 4, and 6.
- Competitive ETCs that received in 2017 and/or will receive federal high-cost support and/or KUSF support in 2019 need to complete Attachments 1, 3a, 4, 5 and 6. However, competitive ETCs providing universal service using Local Wholesale Complete (LWC) must submit only Attachments 1 and 6 and include a statement that the company receives KUSF support only for lines to which it provisions service via LWC.
- Lifeline-Only ETCs need to complete only Attachment 6.

Attachments 2b and 3b are provided for illustration purposes to complete the respective 2a and 3a cost reports. Competitive ETCs that operate in SWBT exchanges and receive KUSF support need to complete Attachment 5 by reporting new investments made in KUSF-supported exchanges, as required in the Commission's January 30, 2009 Order in the 154 Docket.<sup>3</sup> Additionally, competitive ETCs should exclude expenses and investments for SWBT exchanges when justifying federal high-cost support on Attachments 3a and 4, pursuant to the Commission's August 9, 2007, Order in the 07-GIMT-498-GIT (498 Docket).<sup>4</sup>

### **RECOMMENDATION:**

Staff recommends the Commission open a generic docket to collect the required filings and to determine whether the Commission should certify to the FCC and USAC that all federal high-cost support provided to ETCs in Kansas was used in 2017 and will be used in 2019 only for the provision, maintenance, and upgrading of facilities for which the support is intended. The docket should further include a certification and analysis to ensure KUSF support was used in 2017 and will be used in 2019 appropriately.

Staff further recommends the Commission require ETCs and competitive ETCs to submit their ETC certifications for 2017, along with the required attached worksheets on or before July 2, 2018. Each filing should be submitted separately by company and a copy of the supporting Excel files for Attachments 2-5 should be emailed to Staff at [c.aarnes@kcc.ks.gov](mailto:c.aarnes@kcc.ks.gov). Carriers should be reminded that late or incomplete filings may result in a fine or penalty.

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<sup>3</sup> Commission Order dated January 30, 2009, 154 Docket, Staff Report on Workshop, page 12.

<sup>4</sup> In the 498 Docket, the Commission reaffirmed its previous decision not to certify competitive ETCs' expenditures and investments of federal high-cost support in non-supported areas, which are areas served by SWBT, except to the extent the competitive ETCs can justify an allocation of a portion of expenditures and investments of federal high-cost support to serve a supported area.

THE STATE CORPORATION COMMISSION  
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Before Commissioners: Chair Shari Feist Albrecht  
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Commissioner Pat Apple

In the Matter of Certification of Compliance )  
with Section 254(e) of the Federal )  
Telecommunications Act of 1996 and ) Docket No. 18-GIMT-394-GIT  
Certification of Appropriate Use of Kansas )  
Universal Service Fund Support. )

**SECTION 254(e) CERTIFICATION**  
**FEDERAL HIGH-COST UNIVERSAL SERVICE SUPPORT**  
**FCC Docket Reference: CC Docket No. 96-45**  
**and KANSAS UNIVERSAL SERVICE FUND SUPPORT**  
(Please type or print legibly)  
(Circle all appropriate support received)

1. My title is \_\_\_\_\_ of  
\_\_\_\_\_ (Company/ Cooperative). In this capacity, I am in a  
position of authority to direct how federal high-cost Universal Service Fund (USF), Connect America Fund  
(CAF) support, and/or Kansas Universal Service Fund (KUSF) support received will be used and by this  
certification I am binding \_\_\_\_\_ (Company/Cooperative) to the statements  
made in this certification.

2. \_\_\_\_\_ (Company/Cooperative) was named as  
an eligible telecommunications carrier by the Kansas Corporation Commission (KCC) for federal support  
purposes in Docket No. \_\_\_\_\_ by order dated \_\_\_\_\_  
and KUSF support purposes in Docket No. \_\_\_\_\_ by order dated  
\_\_\_\_\_.

3. By this affidavit, I certify that the USF, CAF and/or KUSF received by  
\_\_\_\_\_ (Company/Cooperative) was used in the proceeding calendar year  
2017 and will be used in the new calendar year 2019 only for the provision, maintenance, and upgrading

## Attachment 1

of facilities and services for which the support is intended, consistent with Section 254(e) of the Telecommunications Act, and/or Kansas statutes and KCC requirements.

I certify under penalty of perjury under the laws of the state of Kansas that the foregoing is true and correct.

(Pursuant to Kan. Stat. Ann. 53-601.)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Printed/Typed Name

Executed on \_\_\_\_\_ date.

Email address: \_\_\_\_\_



Incumbent ETC Investment and Expenses  
Kansas Test for USF Certification

18-GIMT-394-GIT  
Attachment 2a

Company Name: \_\_\_\_\_

DATA YEAR: 2017

	LINE	REGULATED AMOUNT
WORKING LOOPS		
1. Total Loops	(060)	
2. Category 1.3 Loops	(070)	
INVESTMENT		
1. Plant Accounts		
a. Acct 2001	(160)	
2. Selected Plant Accounts		
a. Acct 2210	(230)	
b. Acct 2220	(235)	
c. Acct 2230	(240)	
d. Total Central Office Equip	(245)	\$ -
e. Circuit Equip Cat 4.13	(250)	
f. Acct 2410	(255)	
AMORTIZABLE TANGIBLE ASSETS		
Acct. 2680 Tot Assets	(800)	
Acct. 2680 (2230) COE Trans	(805)	
Acct. 2680 (Cat. 4.13) COE Trans	(810)	
Acct. 2680 (2410) Total CWF	(815)	
Acct. 2680 (2410) CWF-Cat 1	(820)	
Acct. 6560 (2680) Dep & Amort	(830)	
PART 36 - COST STUDY DATA		
1. Cost Study Avg C&WF Acct 2410	(700)	
2. Cost Study Avg C&WF Cat 1	(710)	
3. C&WF CAT 1 Factor		#DIV/0!
4. COE CAT 4.13 Factor		#DIV/0!
5. Switching Factor		1.000000

**Incumbent ETC Investment and Expenses  
Kansas Test for USF Certification**

**18-GIMT-394-GIT  
Attachment 2a**

**Company Name:** \_\_\_\_\_

**DATA YEAR:** 2017

**For the Following Lines, Use Gross Additions for Plant and Annual Amounts  
for Expenses for the Test Year**

INVESTMENT, EXPENSE AND TAXES	LINE	REGULATED AMOUNT
1. Selected Plant Accounts		
a. Acct 2230	(240)	
b. Total Central Office Equip	(245)	
c. Acct 2410 (Total)	(255)	
2. Expenses - Plant Specific Exp		
a. Acct 6110	(335)	
b. Acct 6110 (benefits)	(340)	
c. Acct 6110 (rents)	(345)	
d. Acct 6120	(350)	
e. Acct 6120 (benefits)	(355)	
f. Acct 6120 (rents)	(360)	
g. Acct 6210	(365)	
h. Acct 6210 (benefits)	(370)	
i. Acct 6210 (rents)	(375)	
j. Acct 6220	(380)	
k. Acct 6220 (benefits)	(385)	
l. Acct 6220 (rents)	(390)	
m. Acct 6230	(395)	
n. Acct 6230 (benefits)	(400)	
o. Acct 6230 (rents)	(405)	
p. (sum of lines 365+380+395)	(410)	-
q. Acct 6410	(430)	
r. Acct 6410 (benefits)	(435)	
s. Acct 6410 (rents)	(440)	
t. Total Expenses Accts. 6110 - 6410	(445)	\$ -
3. Expenses - Plant Non Specific Exp		
a. Acct 6530	(450)	
b. Acct 6530 (benefits)	(455)	
4. Depreciation & Amortization Exp		
a. Acct 6560 (#2210)	(510)	
b. Acct 6560 (#2220)	(515)	
c. Acct 6560 (#2230)	(520)	
d. Acct 6560 (#2210-2230)	(525)	\$ -
e. Acct 6560 (#2410)	(530)	
5. Corporate Operating Expenses		
a. Acct 6710	(535)	
b. Acct 6710 (benefits)	(540)	
c. Acct 6720	(550)	
d. Acct 6720 (benefits)	(555)	
e. Total Corporate Operating Expense (line 535+550)	(565)	\$ -
6. Other Expenses and Revenues		
a. Benefits Portion	(600)	
b. Rents Portion	(610)	
Sum of All Expenses (Excluding Depreciation)		\$ -
7. Taxes		
a. Acct 7200	(650)	

**Incumbent ETC Investment and Expenses  
Kansas Test for USF Certification**

**18-GIMT-394-GIT  
Attachment 2a**

<b>Company Name:</b> _____																																													
<b>DATA YEAR:</b> <b>2017</b>																																													
<p align="center">Test for use of FUSF &amp; KUSF</p> <p>CAPITAL:</p> <table style="width: 100%;"> <tr> <td style="width: 60%;">1. Category 1 C&amp;WF</td> <td style="width: 40%; text-align: right;">#DIV/0!</td> </tr> <tr> <td>2. Category 4.13 COE and Switching</td> <td style="text-align: right;">#DIV/0!</td> </tr> </table> <p>MAINTENANCE:</p> <table style="width: 100%;"> <tr> <td style="width: 60%;">3. CWF - MAINT. EXP.</td> <td style="width: 40%; text-align: right;">#DIV/0!</td> </tr> <tr> <td>4. COE - MAINT. SW</td> <td style="text-align: right;">-</td> </tr> <tr> <td>5. COE - MAINT-OP SYSTEM</td> <td style="text-align: right;">-</td> </tr> <tr> <td>6. COE - MAINT. - TRANS.</td> <td style="text-align: right;">#DIV/0!</td> </tr> <tr> <td>7. CWF - NETWORK SUPPORT</td> <td style="text-align: right;">#DIV/0!</td> </tr> <tr> <td>8. COE - NETWORK SUPPORT</td> <td style="text-align: right;">#DIV/0!</td> </tr> <tr> <td>9. CWF GENERAL SUPPORT</td> <td style="text-align: right;">#DIV/0!</td> </tr> <tr> <td>10. COE GENERAL SUPPORT</td> <td style="text-align: right;">#DIV/0!</td> </tr> <tr> <td>20. CWF NETWORK OPERATION</td> <td style="text-align: right;">#DIV/0!</td> </tr> <tr> <td>21. COE NETWORK OPERATION</td> <td style="text-align: right;">#DIV/0!</td> </tr> <tr> <td>22. CWF EXEC. &amp; PLANNING</td> <td style="text-align: right;">#DIV/0!</td> </tr> <tr> <td>23. COE EXEC. &amp; PLANNING</td> <td style="text-align: right;">#DIV/0!</td> </tr> <tr> <td>24. CWF GENERAL ADMIN.</td> <td style="text-align: right;">#DIV/0!</td> </tr> <tr> <td>25. COE GENERAL ADMIN.</td> <td style="text-align: right;">#DIV/0!</td> </tr> <tr> <td>26. CWF OPERATING TAXES</td> <td style="text-align: right;">#DIV/0!</td> </tr> <tr> <td>27. COE OPERATING TAXES</td> <td style="text-align: right;">#DIV/0!</td> </tr> <tr> <td>28. CWF BENEFITS - TTL OPER EXP</td> <td style="text-align: right;">#DIV/0!</td> </tr> <tr> <td>29. COE BENEFITS - TTL OPER EXP</td> <td style="text-align: right;">#DIV/0!</td> </tr> <tr> <td>30. CWF RENTS - TTL OPER EXP</td> <td style="text-align: right;">#DIV/0!</td> </tr> <tr> <td>31. COE RENTS - TTL OPER EXP</td> <td style="text-align: right;">#DIV/0!</td> </tr> </table>		1. Category 1 C&WF	#DIV/0!	2. Category 4.13 COE and Switching	#DIV/0!	3. CWF - MAINT. EXP.	#DIV/0!	4. COE - MAINT. SW	-	5. COE - MAINT-OP SYSTEM	-	6. COE - MAINT. - TRANS.	#DIV/0!	7. CWF - NETWORK SUPPORT	#DIV/0!	8. COE - NETWORK SUPPORT	#DIV/0!	9. CWF GENERAL SUPPORT	#DIV/0!	10. COE GENERAL SUPPORT	#DIV/0!	20. CWF NETWORK OPERATION	#DIV/0!	21. COE NETWORK OPERATION	#DIV/0!	22. CWF EXEC. & PLANNING	#DIV/0!	23. COE EXEC. & PLANNING	#DIV/0!	24. CWF GENERAL ADMIN.	#DIV/0!	25. COE GENERAL ADMIN.	#DIV/0!	26. CWF OPERATING TAXES	#DIV/0!	27. COE OPERATING TAXES	#DIV/0!	28. CWF BENEFITS - TTL OPER EXP	#DIV/0!	29. COE BENEFITS - TTL OPER EXP	#DIV/0!	30. CWF RENTS - TTL OPER EXP	#DIV/0!	31. COE RENTS - TTL OPER EXP	#DIV/0!
1. Category 1 C&WF	#DIV/0!																																												
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25. COE GENERAL ADMIN.	#DIV/0!																																												
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27. COE OPERATING TAXES	#DIV/0!																																												
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29. COE BENEFITS - TTL OPER EXP	#DIV/0!																																												
30. CWF RENTS - TTL OPER EXP	#DIV/0!																																												
31. COE RENTS - TTL OPER EXP	#DIV/0!																																												

Incumbent ETC Investment and Expenses  
Kansas Test for USF Certification

18-GIMT-394-GIT  
Attachment 2a

Company Name: \_\_\_\_\_

DATA YEAR: **2017**

A. Total Cash Expenditures Associated with USF #DIV/0!

**B. Certified Federal USF Receipts:**

B1. High Cost Loop Support / Frozen High Cost Support

B2. Safety Net Support

B3. Broadband Loop Support

B4. Safety Valve Support for acquired Exch.

B5. Connect America Cost Model

B6. Alternative Connect America Model

B7. CAF ICC (§§ 51.915, 51.917, 51.304)

B8. Total Certified Federal USF Receipts

\$ -

C. KUSF Receipts

D. Total FUSF and KUSF Receipts

E. Do Expenditures Exceed **FUSF** Receipts? #DIV/0!

**Amount Expenditures Exceed Certified FUSF**

#DIV/0! [A - B8]

(negative number means FUSF exceeds Expenditures)

F. Do Expenditures Exceed **FUSF & KUSF** Receipts? #DIV/0!

**Amount Expenditures Exceed Certified FUSF and/or KUSF**

#DIV/0! [A - D]

(negative number means FUSF/KUSF exceeds Expenditures)

Please provide the following information:

Contact: \_\_\_\_\_

Title: \_\_\_\_\_

Phone No.: \_\_\_\_\_

E-Mail: \_\_\_\_\_

**Example**  
**Incumbent ETC Investment and Expense**  
**Kansas Test for USF Certification**

**18-GIMT-394-GIT**  
**Attachment 2b**

**Company Name: Wabaunsee Telephone Company Inc.**

	DATA YEAR	<u>2017</u>
	LINE	REGULATED AMOUNT
<b>WORKING LOOPS</b>		
1. Total Loops	(060)	9,552
2. Category 1.3 Loops	(070)	9,262
<b>INVESTMENT</b>		
1. Plant Accounts		
a. Acct 2001	(160) \$	26,978,955
2. Selected Plant Accounts		
a. Acct 2210	(230)	5,247,838
b. Acct 2220	(235)	0
c. Acct 2230	(240)	5,962,811
d. Total Central Office Equip	(245) \$	11,210,649
e. Circuit Equip Cat 4.13	(250)	4,061,618
f. Acct 2410	(255)	13,819,015
<b>AMORTIZABLE TANGIBLE ASSETS</b>		
Acct. 2680 Tot Assets	(800)	0
Acct. 2680 (2230) COE Trans	(805)	0
Acct. 2680 (Cat. 4.13) COE Trans	(810)	0
Acct. 2680 (2410) Total CWF	(815)	0
Acct. 2680 (2410) CWF-Cat 1	(820)	0
Acct. 6560 (2680) Dep & Amort	(830)	0
<b>PART 36 - COST STUDY DATA</b>		
1. Cost Study Avg C&WF Acct 2410	(700)	11,811,817
2. Cost Study Avg C&WF Cat 1	(710)	11,718,782
3. C&WF CAT 1 Factor		0.992124
4. COE CAT 4.13 Factor		0.362300
5. Switching Factor		1.000000

**Example  
Incumbent ETC Investment and Expense  
Kansas Test for USF Certification**

**18-GIMT-394-GIT  
Attachment 2b**

**Company Name: Wabaunsee Telephone Company Inc.**

**DATA YEAR 2017**

LINE	REGULATED AMOUNT
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**For the Following Lines, Use Gross Additions for Plant and Annual Amounts  
for Expenses for the Test Year**

INVESTMENT, EXPENSE AND TAXES	LINE	
1. Selected Plant Accounts		
a. Acct 2230	(240)	198,228
b. Total Central Office Equip	(245)	480,061
c. Acct 2410 (Total)	(255)	436,274
2. Expenses - Plant Specific Exp		
a. Acct 6110	(335)	12,628
b. Acct 6110 (benefits)	(340)	1,362
c. Acct 6110 (rents)	(345)	256
d. Acct 6120	(350)	211,447
e. Acct 6120 (benefits)	(355)	8,068
f. Acct 6120 (rents)	(360)	15,114
g. Acct 6210	(365)	236,427
h. Acct 6210 (benefits)	(370)	36,157
i. Acct 6210 (rents)	(375)	2,922
j. Acct 6220	(380)	0
k. Acct 6220 (benefits)	(385)	0
l. Acct 6220 (rents)	(390)	0
m. Acct 6230	(395)	108,923
n. Acct 6230 (benefits)	(400)	14,821
o. Acct 6230 (rents)	(405)	1,222
p. (sum of lines 365+380+395)	(410)	\$ 345,350
q. Acct 6410	(430)	882,320
r. Acct 6410 (benefits)	(435)	124,429
s. Acct 6410 (rents)	(440)	63,079
t. Total Expenses Accts. 6110 - 6410	(445)	\$ 1,451,745
3. Expenses - Plant Non Specific Exp		
a. Acct 6530	(450)	287,767
b. Acct 6530 (benefits)	(455)	45,519
4. Depreciation & Amortization Exp		
a. Acct 6560 (#2210)	(510)	382,435
b. Acct 6560 (#2220)	(515)	0
c. Acct 6560 (#2230)	(520)	297,063
d. Acct 6560 (#2210-2230)	(525)	679,498
e. Acct 6560 (#2410)	(530)	677,375
5. Corporate Operating Expenses		
a. Acct 6710	(535)	73,579
b. Acct 6710 (benefits)	(540)	17,078
c. Acct 6720	(550)	428,472
d. Acct 6720 (benefits)	(555)	46,933
e. Total Corporate Operating Expense (line 535+550)	(565)	\$ 502,051
6. Other Expenses and Revenues		
a. Benefits Portion	(600)	425,974
b. Rents Portion	(610)	82,594
Sum of All Expenses (Excluding Depreciation)		\$ 2,241,563
7. Taxes		
a. Acct 7200	(650)	1,073,834

**Example  
Incumbent ETC Investment and Expense  
Kansas Test for USF Certification**

**18-GIMT-394-GIT  
Attachment 2b**

Company Name: <u>Wabaunsee Telephone Company Inc.</u>	
DATA YEAR <u>2017</u>	
LINE	REGULATED AMOUNT
Test for use of FUSF and KUSF	
CAPITAL:	
1. Category 1 C&WF	432,838
2. Category 4.13 COE and Switching	455,759
MAINTENANCE:	
3. CWF - MAINT. EXP.	689,340
4. COE - MAINT. SW	197,348
5. COE - MAINT-OP SYSTEM	0
6. COE - MAINT. - TRANS.	63,266
7. CWF - NETWORK SUPPORT	5,595
8. COE - NETWORK SUPPORT	3,799
9. CWF GENERAL SUPPORT	95,673
10. COE GENERAL SUPPORT	64,963
20. CWF NETWORK OPERATION	123,106
21. COE NETWORK OPERATION	83,591
22. CWF EXEC. & PLANNING	28,713
23. COE EXEC. & PLANNING	19,496
24. CWF GENERAL ADMIN.	193,891
25. COE GENERAL ADMIN.	131,655
26. CWF OPERATING TAXES	545,701
27. COE OPERATING TAXES	370,541
28. CWF BENEFITS - TTL OPER EXP	216,472
29. COE BENEFITS - TTL OPER EXP	146,988
30. CWF RENTS - TTL OPER EXP	41,973
31. COE RENTS - TTL OPER EXP	28,500

**Example  
Incumbent ETC Investment and Expense  
Kansas Test for USF Certification**

**18-GIMT-394-GIT  
Attachment 2b**

Company Name: <u>Wabaunsee Telephone Company Inc.</u>		
DATA YEAR <u>2017</u>		
LINE	REGULATED AMOUNT	
A. Total Cash Expenditures Assd with USF	3,939,208	
<b>B. Certified Federal USF Receipts:</b>		
B1. High Cost Loop Support / Frozen High Cost Support	820,931	
B2. Safety Net Support		
B3. Broadband Loop Support		
B4. Safety Valve Support for acquired Exch.		
B5. Connect America Cost Model		
B6. Alternative Connect America Model		
B7. CAF ICC (§§ 51.915, 51.917, 51.304)		
<b>B8. Total Certified Federal USF Receipts</b>	<u>820,931</u>	
C. KUSF Receipts	2,500,000	
D. Total FUSF and KUSF Receipts	<b>3,320,931</b>	
E. Do Expenditures Exceed FUSF Receipts?	<b>Yes</b>	
Amount Expenditures Exceed Certified FUSF	\$ 3,118,277	[A - B7]
(negative number means FUSF exceeds Expenditures)		
F. Do Expenditures Exceed FUSF & KUSF Receipts?	<b>Yes</b>	
Amount Expenditures Exceed Certified FUSF & KUSF	618,277	[A - D]
(negative number means FUSF & KUSF exceeds Expenditures)		
Please provide the following information:		
Contact: <u>John Smith</u>		
Title: <u>Accountant</u>		
Phone No.: <u>785-555-1234</u>		
E-Mail: <u>jsmith@wtci.com</u>		



**Competitive ETC Investment and Expense  
Test for USF Certification**

**18-GIMT-394-GIT  
Attachment 3a**

Company Name : \_\_\_\_\_

All CETCs must complete this form to receive certification for its use of FUSF and KUSF support, pursuant to 47 C.F.R. § 54.314, Kansas statutes, and KCC Requirements. Please attach additional pages if necessary. If you have any questions, please email the KCC Staff at c.aarnes@kcc.ks.gov.

**Data  
Year      2017**

	AMOUNT FOR KANSAS	ALLOCATION PERCENT	FUSF CODE (see Notes) C	AMOUNT FOR FUSF AREAS (Excluding SWBT Area) D=AxB	ALLOCATION PERCENT	KUSF CODE (see Notes) F	AMOUNT FOR KUSF AREAS (Including SWBT Area) G = AxE
FUSF WORKING LOOPS/LINES							
KUSF SUPPORTED LINES							
<b>NEW INVESTMENTS:</b>							
1. SWITCHING				-			-
2. OUTSIDE PLANT (LOCAL LOOPS, CELL SITES)(1)				-			-
<b>SUBTOTAL NEW INVESTMENTS</b>	\$ -			\$ -			\$ -
<b>EXPENSES:</b>							
3. SWITCH MAINTENANCE				-			-
4. OUTSIDE PLANT MAINTENANCE				-			-
5. NETWORK SUPPORT				-			-
6. ADMINISTRATIVE EXPENSE				-			-
<b>SUBTOTAL EXPENSES</b>	\$ -			\$ -			\$ -
<b>A. TOTAL CASH EXPENDITURES ASSD WITH USF</b>	\$ -			\$ -			\$ -
<b>B. CERTIFIED FUSF RECEIPTS FOR CETCS</b>							
B1. Frozen High Cost Support							
B2. Mobility Fund Support							
B3. Rural Broadband Experiments Fund				\$ -			\$ -
<b>B4. Total Certified Federal USF Receipts</b>				\$ -			\$ -
<b>C. KUSF RECEIPTS</b>				\$ -			\$ -
<b>D. TOTAL FUSF AND/OR KUSF</b>				\$ -			\$ -
<b>E. DO EXPENDITURES EXCEED FUSF RECEIPTS?</b> <b>No</b>				\$ -			\$ -
(negative number means FUSF exceeds Expenditures)							
<b>F. DO EXPENDITURES EXCEED FUSF and/or KUSF RECEIPTS?</b> <b>No</b>				\$ -			\$ -
(negative number means KUSF exceeds Expenditures)						<b>No</b>	

**Notes:**

- 1) Exclude the cost of transport between switches (dial-tone and/or tandem).
- 2) Allocation Codes (describe how the costs are allocated): *[the following are examples only, not a complete list.]*
  - a. Based on number of switched MOUs from USF supported cell sites.
  - b. Based on actual expenditures at USF cell sites. An allocation of USF area to total served area is applied at each cell site. (i.e. 200,000 investment at Cell Site A, which serves 80% USF supported area, results in 160,000 of USF dollars.)
  - c. Based on percent of USF served areas to all areas.

Contact Name: \_\_\_\_\_ Title: \_\_\_\_\_

Phone No.: \_\_\_\_\_ E-Mail: \_\_\_\_\_

Example  
CETC Investment and Expense  
Test for USF Certification

18-GIMT-394-GIT  
Attachment 3b

Company Name : Everyday Telephone Company, Inc.

All CETCs must complete this form to receive certification for its use of FUSF and KUSF support, pursuant to 47 C.F.R. § 54.314, Kansas statutes, and KCC Requirements. Please attach additional pages if necessary. If you have any questions, please email the KCC Staff at [c.arnes@kcc.ks.gov](mailto:c.arnes@kcc.ks.gov).

Data  
Year **2017**

	AMOUNT FOR KANSAS	ALLOCATION PERCENT	FUSF CODE (see Notes)	AMOUNT FOR FUSF AREAS (Excluding SWBT Area) D=AxB	ALLOCATION PERCENT	KUSF CODE (see Notes)	AMOUNT FOR KUSF AREAS (Including SWBT Area) G = AxE
	A	B	C		E	F	G
FUSF WORKING LOOPS/LINES	50,000	N/A		17,500	N/A		15,000
KUSF SUPPORTED LINES							
<b>NEW INVESTMENTS:</b>							
1. SWITCHING	5,000,000	35%	a	1,750,000	50%		2,500,000
2. OUTSIDE PLANT (LOCAL LOOPS, CELL SITES)(1)	7,000,000	62%	b	4,340,000	75% c		5,250,000
<b>SUBTOTAL NEW INVESTMENTS</b>	<b>12,000,000</b>			<b>6,090,000</b>			<b>7,750,000</b>
<b>EXPENSES:</b>							
3. SWITCH MAINTENANCE	1,500,000	75%	a	1,125,000	25%		375,000
4. OUTSIDE PLANT MAINTENANCE	4,000,000	52%	c	2,080,000	48%		1,920,000
5. NETWORK SUPPORT	500,000	52%	c	260,000	48%		240,000
6. ADMINISTRATIVE EXPENSE	400,000	75%	a	300,000	25%		100,000
<b>SUBTOTAL EXPENSES</b>	<b>6,400,000</b>			<b>3,765,000</b>			<b>2,635,000</b>
<b>A. TOTAL CASH EXPENDITURES ASSD WITH USF</b>	<b>18,400,000</b>			<b>9,855,000</b>			<b>10,385,000</b>
<b>B. CERTIFIED FUSF RECEIPTS FOR CETCS</b>							
B1. Frozen High Cost Support				83,500			83,500
B2. Mobility Fund Support							
B3. Rural Broadband Experiments Fund							
<b>B4. Total Certified Federal USF Receipts</b>				<b>\$ 83,500</b>			<b>\$ 83,500</b>
<b>C. KUSF RECEIPTS</b>				<b>\$ 200,000</b>			<b>\$ 200,000</b>
<b>D. TOTAL FUSF AND/OR KUSF</b>				<b>\$ 283,500</b>			<b>\$ 283,500</b>
<b>E. DO EXPENDITURES EXCEED FUSF RECEIPTS?</b> Yes				<b>\$ 9,771,500</b>			<b>\$ 10,301,500</b>
(negative number means FUSF exceeds Expenditures)							
<b>F. DO EXPENDITURES EXCEED FUSF and/or KUSF RECEIPTS?</b> Yes				<b>\$ 9,571,500</b>		Yes	<b>\$ 10,101,500</b>
(negative number means KUSF exceeds Expenditures)							

**Notes:**

- 1) Exclude the cost of transport between switches (dial-tone and/or tandem).
- 2) Allocation Codes (describe how the costs are allocated): [the following are examples only, not a complete list.]
  - a. Based on number of switched MOUs from USF supported cell sites.
  - b. Based on actual expenditures at USF cell sites. An allocation of USF area to total served area is applied at each cell site. (i.e. 200,000 investment at Cell Site A, which serves 80% USF supported area, results in 160,000 of USF dollars.)
  - c. Based on percent of USF served areas to all areas.

Contact: Robert Jones

Title: Accounting

Phone No.: 316-555-9876

E-Mail: [rjones@edtc.com](mailto:rjones@edtc.com)



# Narrative Report for New Investments

ETC Certification for Use of **USF** Support  
Provided to the Kansas Corporation Commission

Carrier Name: 0  
Data Year: 2017

Supplemental  
Pages

					Amount Used in the USF Supported Areas
Town or Exchange	Description of Improvement	Cash Investment	Allocation %	Notes	
A	B	C	D	E	F= C x D

Provided to the Kansas Corporation Commission

Data Year: 2017

**NOTES:**

E-Mail: \_\_\_\_\_



Annual ETC Certification of Requirements Imposed by the  
Commission in Docket Number 06-GIMT-446-GIT

1. All ETCs must provide detailed information on any outage in the prior calendar year, as that term is defined in 47 CFR 4.5, of at least 30 minutes in duration for each service area in which an eligible telecommunications carrier is designated for any facilities it owns, operates, leases, or otherwise utilizes that potentially affect: (i) at least 10% of the end users served in a designated service area; or (ii) a 911 specialty facility as defined in 47 CFR 4.5(e).

Date and time of Onset of the Outage	Description of the Outage and its Resolution	Particular services affected	Geographic Areas Affected	Steps Taken to Prevent a Similar Situation in the Future	Number of Customers Affected

(If necessary, please provide additional pages.)

2. Please provide the number of requests for service from potential customers within the recipient's service areas that were unfulfilled during the prior calendar year. If applicable, please explain how your company attempted to provide service to those potential customers.

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3. Please provide the number of complaints per 1,000 connections (fixed or mobile) in the prior calendar year.

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4. A wireline ETC must certify that it is in compliance with the Commission's quality of service standards and a wireless ETC must certify that it is in compliance with the CTIA Code. **Please complete the following, as applicable to your company:**

**QUALITY OF SERVICE WIRELINE ANNUAL CERTIFICATION**

**KCC Docket Reference: 06-GIMT-446-GIT**

(Please type or print legibly)

1. My title is \_\_\_\_\_ of the \_\_\_\_\_  
(Company/ Cooperative). In this capacity, I am in a position of authority to certify whether the Company/ Cooperative is complying with required quality of service standards. I am binding \_\_\_\_\_(Company/Cooperative) to the statements made in this certification.

2. By this affidavit, I certify that \_\_\_\_\_(Company/ Cooperative) is in compliance with the Commission's quality of service standards as adopted in Docket No. 191,206-U.

I certify under penalty of perjury under the laws of the state of Kansas that the foregoing is true and correct. (Pursuant to Kan. Stat. Ann. 53-601.) Executed on \_\_\_\_\_(date).

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Printed/Typed Name

**QUALITY OF SERVICE WIRELESS ANNUAL CERTIFICATION**

**KCC Docket Reference: 06-GIMT-446-GIT**

(Please type or print legibly)

1. My title is \_\_\_\_\_ of the \_\_\_\_\_  
(Company/ Cooperative). In this capacity, I am in a position of authority to certify whether the Company/ Cooperative is complying with required quality of service standards. I am binding \_\_\_\_\_(Company/Cooperative) to the statements made in this certification.

2. By this affidavit, I certify that \_\_\_\_\_(Company/ Cooperative) is in compliance with the CTIA Code.

I certify under penalty of perjury under the laws of the state of Kansas that the foregoing is true and correct. (Pursuant to Kan. Stat. Ann. 53-601.) Executed on \_\_\_\_\_(date).

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Print / Typed Name



5. Each ETC must certify that it will be able to function in an emergency as set forth in 47 CFR § 54.202(a)(2).

**ABILITY TO FUNCTION IN AN EMERGENCY ANNUAL CERTIFICATION**  
**KCC Docket Reference: 06-GIMT-446-GIT**  
(Please type or print legibly)

1. My title is \_\_\_\_\_ of the \_\_\_\_\_  
(Company/ Cooperative). In this capacity, I am in a position of authority to certify whether the Company/ Cooperative is able to function in an emergency. I am binding \_\_\_\_\_ (Company/Cooperative) to the statements made in this certification.

2. By this affidavit, I certify that \_\_\_\_\_ (Company/ Cooperative) is capable of functioning in an emergency.

I certify under penalty of perjury under the laws of the state of Kansas that the foregoing is true and correct. (Pursuant to Kan. Stat. Ann. 53-601.) Executed on \_\_\_\_\_ (date).

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Printed / Typed Name

6. 47 U.S.C. § 214(e)(1)(B) requires every ETC to advertise its services throughout the service area for which it has been designated “using media of general distribution.”

**Please complete the following:**

Name of Media	Type of Media	Geographic Areas Reached	Dates Published

(If necessary, please attach additional pages.)

7. A competitive ETC must certify that it offers a local usage plan comparable to that of the incumbent. Please provide a description of the local usage plan(s) that is comparable to that of the incumbent and complete the certification.

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**COMPARABLE LOCAL USAGE PLAN ANNUAL CERTIFICATION**

**KCC Docket Reference: 06-GIMT-446-GIT**

(Please type or print legibly)

1. My title is \_\_\_\_\_ of the \_\_\_\_\_  
(Company/ Cooperative). In this capacity, I am in a position of authority to certify whether the Company/ Cooperative offers a local usage plan comparable to that of the incumbent. I am binding \_\_\_\_\_(Company/Cooperative) to the statements made in this certification.

2. By this affidavit, I certify that \_\_\_\_\_ (Company/ Cooperative) offers a local usage plan comparable to that of the incumbent.

I certify under penalty of perjury under the laws of the state of Kansas that the foregoing is true and correct. (Pursuant to Kan. Stat. Ann. 53-601.) Executed on \_\_\_\_\_(date).

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Printed/Typed Name

Kansas Corporation Commission  
ETC Certification Instructions for Attachments 1 - 6

The Kansas Corporation Commission (KCC) requires every Eligible Telecommunications Carrier (ETC) to complete the ETC certification forms as part of the annual ETC certification process.

If you have any questions, please contact the Telecommunications Division at 785-271-3132 or [c.arnes@kcc.ks.gov](mailto:c.arnes@kcc.ks.gov).

**1. Line Definitions:**

**Working Loops for federal High-Cost Supported Services**

Provide the line counts by incumbent local exchange carrier (ILEC) study area or wire center that were reported to the Universal Service Administrative Company (USAC) for the same year as the cost data is reported.

**2. Attachments 2 and 3 – ILEC ETC and Competitive ETC Investment and Expense Reports**

**a. ILEC ETC Report Format**

Attachment 2a is used by Incumbent ETCs to report their use of federal high-cost support received in the prior year. The report is a modified version of the cost information submitted to USAC for high-cost support, with the prior year data used to evaluate past certifications provided by the companies. Amounts reported should reflect the amounts actually used to provide universal service in the supported areas for which the support was paid.<sup>1</sup> Support should be segregated by the type of federal high-cost support received. KUSF support is required to be certified and, similar to federal support, the support must also be used to provide and maintain universal service. Attachment 2b is an example of how to complete Form 2a.

**b. Competitive ETC (CETC) Report Format**

Attachment 3a is used by CETCs to report their use of high-cost funds received in the prior year. Prior year data is used to evaluate past certifications provided by the companies. Amounts reported should reflect the amounts actually expended to provide universal service in the supported areas for which the support was paid.<sup>2</sup> If no universal service support was received for the prior year, the company need only file a statement to that effect. Cost information is split by new investment expenditures and expenses. This is compared to the amount of federal high-cost support received. KUSF support is required to be certified and, similar to federal high-cost support, the support must also be

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<sup>1</sup> Per 47 C.F.R. § 54.314, federal USF support, "will be used only for the provision, maintenance, and upgrading of facilities and services for which the support is intended." If investments or expenses are for service areas larger than the supported service areas, then allocations of the expenditures are required. See 2c Allocation Methods.

<sup>2</sup> Per 47 C.F.R. § 54.314, federal USF support, "will be used only for the provision, maintenance, and upgrading of facilities and services for which the support is intended." If investments or expenses are for service areas larger than the supported service areas, then allocations of the expenditures are required. See 2c Allocation Methods.

Kansas Corporation Commission  
ETC Certification Instructions for Attachments 1 - 6

used to provide and maintain universal service. Attachment 3b is an example showing how to complete Form 3a.

The company should exclude the cost of transport between switches. This makes the reporting consistent with the costs submitted by the Incumbent ETCs. Once a call leaves the local switch, it is on the interoffice network and costs for those facilities are not included. The switch is the device that provides dial tone and/or switching the call to the proper location for termination. This can be a smart remote with stand-alone capability<sup>3</sup> or a stand-alone/host switch.

**c. Allocation Methods**

The cost reports attempt to capture the cost to provide universal service and exclude certain types of investments and expenses. The FCC has deregulated some services, including voice messaging and inside wire; therefore, they should be excluded.

The allocation of new investments and expenses may play an important role in properly identifying the costs associated with the USF supported areas. Incumbent LECs utilize a series of allocation rules in the Separations process that are specific and documented. However, even ILECs may encounter situations where only a portion of their territory receives high-cost support.

CETCs, especially, may serve exchanges that are supported as well as areas that are not supported. Some costs may be specific to the supported area, while others may involve both areas. A number of valid methods are available to make these allocations. Below is a list of examples that will normally be acceptable in making allocations:

Outside plant projects –the supported areas’ allocations can be determined as follow:

1. Identify the specific costs in supported areas and assign it as a qualified cost.
2. Determine the number of lines in the supported area versus the total lines served by the facilities.
3. Determine the geographic area in the supported area versus the total area served (this method is especially applicable to cellular towers).
4. Calculate the percent of miles on a cable that serves a supported area versus the total miles to all the areas.
5. Calculate the percent of fiber strands or cable pair that go to a supported area versus the total strands in service.

Expenses may be allocated based on the allocation determined for the related investment, or based on a percentage of lines or customers in the supported areas.

Maintenance expense may be allocated based on the number of items being maintained that are in the supported areas.

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<sup>3</sup> A smart remote with stand-alone capability is one that can still provide local calling even if its link to the host switch is severed.

Kansas Corporation Commission  
ETC Certification Instructions for Attachments 1 - 6

**Switching may be allocated using the following methods:**

1. Calculate the percent of Minutes of Use (MOU) for the lines in the supported area versus all minutes.
2. Calculate the percent of lines in the supported area versus all lines served by the switch.
3. Similar allocations could apply to circuit equipment used for switched access.

**General rules to follow when deciding on the allocation method:**

1. Allocations may be calculated by individual investment location, by region or for the whole state.
2. Companies may decide which methods work best based on the accounting and network information they have available.
3. Methods can vary for different types of investment or expense.
4. The method is one that is appropriate for the item being allocated (i.e. MOU would be appropriate for a switch allocation but not for a loop).
5. The allocation is based on measurable data.
6. The method captures a reasonable cost of the investment and/or expense.
7. The company should maintain consistency in the allocation methods used from year to year when providing data to the Commission. This will avoid gaming the system and provide the ability to make comparisons from year to year.
8. When a company changes an allocation method, it should be noted in the data submission, complete with rationale explaining why this new method is more appropriate. Also, the company should provide a calculation of what effect the new allocation would have on the prior year's report.

**4. Attachment 4 - New Investments Utilizing High-Cost Support in Supported Areas**

**a. Report Format**

For the prior calendar year, please provide a description of the new investments in supported areas where the high-cost support was used. Please use a format similar to the *Narrative for New Investment Report*. The first example is for a wireless ETC and the second example is for a wireline ETC. It is acceptable to submit a mechanized report if it contains the essential information. Any projects over the threshold should be listed separately. Those projects less than the threshold should be combined into one or more line items. See 3b below for the threshold.

Kansas Corporation Commission  
ETC Certification Instructions for Attachments 1 - 6

**EXAMPLE of Narrative for New Investment Report**

**EXAMPLE of a WIRELESS COMPANY**

Town or Exchange	Description of Improvement	Cash Investment	Allocation %	Notes	Amount Used in the USF Supported Areas
A	B	C	D	E	F=C x D
20 miles West of Oakley serving USF areas: Levant, Winona, and Russell Springs.	New tower and fiber trunking.	\$300,000	70%	[1]	\$210,000
Wichita - Serves all customers in Kansas.	Switch Software Upgrade.	\$250,000	25%	[2]	\$50,000
<b>TOTAL</b>		<b>\$550,000</b>			<b>\$260,000</b>

**NOTES**

[1] Percent of the service area that is USF supported on geography served.

[2] Percent of switch that is USF supported based on lines served.

**EXAMPLE of a WIRELINE COMPANY**

Town or Exchange	Description of Improvement	Cash Investment	Allocation %	Notes	Amount Used in the USF Supported Areas
A	B	C	D	E	F=C x D
Buffalo, Quincy, Toronto	Replaced OSP with digital line carrier and fiber feeder	\$1,250,000	100%	[1]	\$1,250,000
Eureka thru Hamilton, Quincy, Yates Center, Chanute, Fredonia, Fall River & serves USF areas: Buffalo, Toronto, Altoona, Benedict, and Coyville.	Fiber ring from remote terminals to remote switch in Eureka.	\$800,000	50%	[2]	\$400,000
<b>TOTAL</b>		<b>\$2,050,000</b>			<b>\$1,650,000</b>

**NOTES**

[1] All of the exchanges in this project are USF supported.

[2] Percent of lines served by the fiber ring in the USF supported exchanges.

The objective of this report is to identify that new investment is being spent to benefit supported areas. Listing individual exchanges that will benefit will help meet this purpose.

**Kansas Corporation Commission**  
**ETC Certification Instructions for Attachments 1 - 6**

b. Threshold - For companies spending less than \$10M in new projects in Kansas, use \$200,000 as the project threshold. For companies spending \$10M or more, use \$500,000 as the project threshold. It is acceptable to provide more detail than the threshold requires if it helps identify which supported areas are receiving benefit.

**5. Attachment 5 - Report on the Use of KUSF Support Funding in SWBT Exchanges**

The information and instructions for Attachment 5 are the same as Attachment 4 except that the purpose is to describe the use of KUSF Support in SWBT exchanges. ETCs that receive KUSF for SWBT exchanges should complete this report.

Additional questions on the allocation process may be referred to the KCC Staff, see contact number at the top of these instructions.

**6. Attachment 6 – Annual Certification of Requirements Imposed by the Commission in Docket Number 06-GIMT-446-GIT.**

Provide the information as requested on the attachment and attach additional pages, as necessary. All ETCs must complete Questions 1-6. Only Competitive ETCs need to complete Question 7.

## CERTIFICATE OF SERVICE

18-GIMT-394-GIT

I, the undersigned, certify that the true copy of the attached Order has been served to the following parties by means of

first class mail/hand delivered on 04/06/2018.

ANDREW SCHWANTNER, MANAGER-CONTRACT  
COMPLIANCE  
BIG RIVER TELEPHONE COMPANY, LLC  
24 S MINNESOTA AVE 270  
CAPE GIRARDEAU, MO 63703  
Fax: 314-225-2235  
noc@bigrivercom.com

SHARYL FOWLER, MANAGER, REGULATORY  
ADMINISTRATION  
BIRCH TELECOM OF KANSAS, INC.  
320 INTERSTATE NORTH PKWY SE  
ATLANTA, GA 30339  
Fax: 816-300-3350  
sharyl.fowler@birch.com

KORENE WAPP, ACCOUNTS PAYABLE  
BLUE VALLEY TELE-COMMUNICATIONS, INC.  
1559 PONY EXPRESS HWY  
HOME, KS 66438  
Fax: 785-799-3530  
kwapp@bluevalleyinc.net

KEVIN J KASTOR, DIRECTOR-GOVERNMENT AFFAIRS  
BLUESTEM TELEPHONE COMPANY, INC.  
350 SOUTH LOOP 336 WEST  
CONROE, TX 77304  
kevin.kastor@consolidated.com

JAMES T. BALVANZ  
BOOMERANG WIRELESS, LLC  
955 KACENA RD STE A  
HIAWATHA, IA 52233  
Fax: 319-294-6081  
jbalvanz@readymobile.com

MICHAEL A. PIERCE, WIRELESS MANAGER  
CELLULAR NETWORK PARTNERSHIP  
D/B/A PIONEER CELLULAR  
108 E ROBBERTS AVE  
PO BOX 539  
KINGFISHER, OK 73750  
Fax: 405-375-0782  
nekretchmar@ptci.com

THOMAS J. CONNORS, ATTORNEY AT LAW  
CITIZENS' UTILITY RATEPAYER BOARD  
1500 SW ARROWHEAD RD  
TOPEKA, KS 66604  
Fax: 785-271-3116  
tj.connors@curb.kansas.gov

TODD E. LOVE, ATTORNEY  
CITIZENS' UTILITY RATEPAYER BOARD  
1500 SW ARROWHEAD RD  
TOPEKA, KS 66604  
Fax: 785-271-3116  
t.love@curb.kansas.gov

DAVID W. NICKEL, CONSUMER COUNSEL  
CITIZENS' UTILITY RATEPAYER BOARD  
1500 SW ARROWHEAD RD  
TOPEKA, KS 66604  
Fax: 785-271-3116  
d.nickel@curb.kansas.gov

SHONDA RABB  
CITIZENS' UTILITY RATEPAYER BOARD  
1500 SW ARROWHEAD RD  
TOPEKA, KS 66604  
Fax: 785-271-3116  
s.rabb@curb.kansas.gov



## CERTIFICATE OF SERVICE

18-GIMT-394-GIT

DELLA SMITH  
CITIZENS' UTILITY RATEPAYER BOARD  
1500 SW ARROWHEAD RD  
TOPEKA, KS 66604  
Fax: 785-271-3116  
d.smith@curb.kansas.gov

LARRY GATES, UTILITIES DIRECTOR  
CITY OF CHANUTE  
101 SOUTH LINCOLN  
MEMORIAL BLDG, PO BOX 907  
CHANUTE, KS 66720  
lgates@chanute.org

PATRICIA CARROLL, GENERAL MANAGER  
COLUMBUS TELEPHONE CO. INC.  
224 S KANSAS AVE  
COLUMBUS, KS 66725  
Fax: 620-429-1704  
tcarroll@columbus-telephone.com

ROB LOGSDON, DIRECTOR REGULATORY AFFAIRS\*\*  
COX KANSAS TELCOM, L.L.C.  
D/B/A COX COMMUNICATIONS, INC  
6301 WATERFORD BLVD STE 200  
OKLAHOMA CITY, OK 73118-1161  
Fax: 402-933-0011  
rob.logsdon@cox.com

CRAIG WILBERT, GENERAL MANAGER  
CRAW-KAN TELEPHONE COOPERATIVE, INC.  
200 N OZARK  
PO BOX 100  
GIRARD, KS 66743  
crwilbert@ckt.net

BRENT CUNNINGHAM, VICE PRESIDENT & GENERAL  
MANAGER  
CUNNINGHAM TELEPHONE COMPANY, INC.  
220 W MAIN  
PO BOX 108  
GLEN ELDER, KS 67446  
Fax: 785-545-3277  
brent@ctctelephony.tv

RELINDA SHOOK  
ELKHART TELEPHONE COMPANY, INC.  
610 S COSMOS  
PO BOX 817  
ELKHART, KS 67950  
Fax: 620-697-9997  
rshook@epictouch.com

KEVIN J KASTOR, DIRECTOR-GOVERNMENT AFFAIRS  
FAIRPOINT COMMUNICATIONS MISSOURI, INC.  
350 SOUTH LOOP 336 WEST  
CONROE, TX 77304  
Fax: 620-227-8576  
kevin.kastor@consolidated.com

DAVID SKOGEN, PRESIDENT/CEO  
GLOBAL CONNECTION INC. OF AMERICA  
5555 OAKBROOK PKWY STE 620  
NORCROSS, GA 30093  
Fax: 678-741-6333  
dskogen@gcioa.com

BEAU REBEL, GENERAL MANAGER  
GOLDEN BELT TELEPHONE ASSOCIATION.  
103 LINCOLN ST  
PO BOX 229  
RUSH CENTER, KS 67575  
Fax: 785-372-4210  
brebel@gbtlive.com

MICHAEL J. MURPHY, PRESIDENT & MANAGER  
GORHAM TELEPHONE COMPANY  
100 MARKET  
PO BOX 235  
GORHAM, KS 67640  
Fax: 785-637-5590  
mmurphy@gorhamtel.com

ROBERT A. KOCH, PRESIDENT/GEN MGR  
H&B CABLE SERVICE, INC.  
108 N MAIN  
PO BOX 108  
HOLYROOD, KS 67450  
Fax: 785-252-3229  
robkoch@hbcomm.net

## CERTIFICATE OF SERVICE

18-GIMT-394-GIT

ROBERT A. KOCH, PRESIDENT/GEN MGR  
H&B COMMUNICATIONS, INC.  
108 N MAIN  
PO BOX 108  
HOLYROOD, KS 67450  
Fax: 785-252-3229  
robkoch@hbcomm.net

SUE A LEPPERT, ASSISTANT ACCOUNTANT  
HAVILAND TELEPHONE COMPANY, INC.  
104 N MAIN  
PO BOX 308  
HAVILAND, KS 67059  
Fax: 620-862-7299  
sue@havilandtelco.com

RICHARD BALDWIN, PRESIDENT  
HOME TELEPHONE COMPANY, INC.  
211 S MAIN ST  
BOX 8  
GALVA, KS 67443  
Fax: 620-654-3122  
rbaldwin@hci-ks.com

DANIEL P. FRIESEN, PRESIDENT  
IDEATEK TELCOM, LLC  
10400 E 69TH  
PO BOX 407  
BUHLER, KS 67522  
Fax: 866-459-2829  
daniel@ideatek.biz

JOHN WILLIS  
I-WIRELESS, LLC  
ONE LEVEE WAY STE 3104  
NEWPORT, KY 41071-1661  
Fax: 859-261-6639  
john.willis@iwirelesshome.com

MARK WADE, VP OF OPERATIONS  
J.B.N. TELEPHONE COMPANY, INC.  
PO BOX 111  
HOLTON, KS 66436  
Fax: 785-866-4121  
mark@havilandtelco.com

DANA PIERCE, CEO/GENERAL MANAGER  
KANOKLA TELEPHONE ASSN., INC.  
100 KANOKLA AVE  
PO BOX 111  
CALDWELL, KS 67022  
Fax: 620-845-5636  
dana@kanokla.com

AHSAN LATIF, LITIGATION COUNSEL  
KANSAS CORPORATION COMMISSION  
1500 SW ARROWHEAD RD  
TOPEKA, KS 66604-4027  
Fax: 785-271-3354  
a.latif@kcc.ks.gov

HARRY J. LEE, JR., PRESIDENT/GENERAL MANAGER  
LAHARPE TELEPHONE COMPANY, INC.  
D/B/A LAHARPE LONG DISTANCE  
109 W 6TH ST  
PO BOX 123  
LA HARPE, KS 66751  
harry.lee@laharpetel.com

JOHN TIETJENS, PRESIDENT AND GENERAL MANAGER  
LR COMMUNICATIONS, INC.  
D/B/A MUTUAL TELECOMMUNICATIONS  
365 MAIN ST  
PO BOX 338  
LITTLE RIVER, KS 67457  
jtietjens@mtc4me.com

SHERRY L. DEWITT, PRESIDENT  
MADISON TELEPHONE LLC  
117 N THIRD  
PO BOX 337  
MADISON, KS 66860-0337  
Fax: 620-437-2108  
madtel@madtel.net

AMANDA MOLINA, AVP OF EXTERNAL RELATIONS  
MOKAN DIAL, INC.  
1525 SURFSIDE BLVD  
MERRITT IS, FL 32952-5746  
amolina@townes.net

## CERTIFICATE OF SERVICE

18-GIMT-394-GIT

HARRY M. WEELBORG, PRESIDENT  
MOUNDRIDGE TELEPHONE COMPANY  
109 N CHRISTIAN AVE  
PO BOX 960  
MOUNDRIDGE, KS 67107  
Fax: 620-345-6106  
weel@mtelco.net

ERIC L PRESTON, GENERAL COUNSEL  
NE COLORADO CELLULAR, INC.  
D/B/A VIAERO WIRELESS  
1224 W PLATTE AVE  
FORT MORGAN, CO 80701  
Fax: 970-867-3589  
eric.preston@viaero.com

JIMMY TODD, CEO/GENERAL MANAGER  
NEX-TECH, LLC  
145 N. MAIN  
PO BOX 158  
LENORA, KS 67645  
Fax: 785-625-4479  
jtodd@nex-tech.com

CATHERINE MOYER, GENERAL MANAGER & CEO  
PIONEER TELEPHONE ASSN., INC.  
D/B/A PIONEER COMMUNICATIONS  
120 W KANSAS AVE  
PO BOX 707  
ULYSSES, KS 67880-0707  
Fax: 620-424-3133  
catherine.moyer@pioncomm.net

BEVERLY ARMSTRONG, DIRECTOR OF ADMINISTRATION  
RAINBOW TELECOMMUNICATIONS ASSOCIATION, INC.  
608 MAIN ST  
PO BOX 147  
EVEREST, KS 66424-0147  
Fax: 785-548-7517  
bev@rainbowtel.com

JANET BATHURST, MANAGER  
S&A TELEPHONE COMPANY, INC.  
413 MAIN ST  
PO BOX 68  
ALLEN, KS 66833  
Fax: 620-528-3226  
jbathurst@satelephone.com

BECKY ERICKSON, OFFICE MANAGER/ACCOUNTANT  
MUTUAL TELEPHONE COMPANY  
365 MAIN ST  
PO BOX 338  
LITTLE RIVER, KS 67457  
Fax: 620-897-6211  
berickson@mtc4me.com

DARON E. JAMISON, FINANCE/BUSINESS  
DEVELOPMENT SUPERVISOR  
NEX-TECH WIRELESS, L.L.C  
3001NEW WAY  
HAYS, KS 67601  
Fax: 785-621-2599  
djamison@nex-techwireless.com

KATHY BILLINGER, CEO/GENERAL MANAGER  
PEOPLES TELECOMMUNICATIONS, LLC  
208 N BROADWAY  
PO BOX 450  
LA CYGNE, KS 66040  
Fax: 913-757-2724  
kathy@peoplestelecom.net

ISSA ASAD  
Q LINK WIRELESS LLC  
499 E SHERIDAN ST STE 400  
DANIA BEACH, FL 33004  
legal@qlinkwireless.com

RHONDA S GODDARD, COO  
RURAL TELEPHONE SERVICE COMPANY, INC.  
D/B/A Nex-Tech  
145 N MAIN  
PO BOX 158  
LENORA, KS 67645  
Fax: 785-567-4401  
rgoddard@nex-tech.com

ZACK O'DELL, CEO  
S&T COMMUNICATIONS LLC  
320 KANSAS AVE  
PO BOX 99  
BREWSTER, KS 67732  
Fax: 785-694-2750  
zack.odell@sttelcom.com

## CERTIFICATE OF SERVICE

18-GIMT-394-GIT

STEVE RICHARDS, GENERAL MANAGER  
S&T COMMUNICATIONS, INC.  
320 KANSAS AVE  
PO BOX 99  
BREWSTER, KS 67732  
Fax: 785-694-2750  
srichards@st-tel.net

CASSANDRA MILLIGAN, REGULATORY AFFAIRS  
SAGE TELECOM COMMUNICATIONS, LLC  
1149 S HILL ST STE 400  
LOS ANGELES, CA 90015-2894  
Fax: 214-495-4789  
cmilligan@truconnect.com

JACK KOO, CHIEF EXECUTIVE OFFICER  
SKYBEAM, LLC  
61 INVERNESS DR E  
SUITE 250  
ENGLEWOOD, CO 80112-5147

STEVE DAVIS, GENERAL MANAGER  
SOUTH CENTRAL COMMUNICATIONS, INC.  
PO DRAWER B, 101W KANSAS  
MEDICINE LODGE, KS 67104-0802

KENDALL S. MIKESELL, PRESIDENT  
SOUTHERN KANSAS TELEPHONE COMPANY, INC.  
112 S LEE ST  
PO BOX 800  
CLEARWATER, KS 67026-0800  
Fax: 620-584-2268  
kendall.mikesell@sktcompanies.com

JANET ARNOLD, AREA MANAGER EXTERNAL AFFAIRS  
SOUTHWESTERN BELL TELEPHONE CO.  
D/B/A AT&T KANSAS  
220 SE SIXTH ST.  
ROOM 505  
TOPEKA, KS 66603-3596  
Fax: 785-276-1988  
js0746@att.com

KEVIN J KASTOR, DIRECTOR-GOVERNMENT AFFAIRS  
SUNFLOWER TELEPHONE COMPANY, INC.  
D/B/A FAIRPOINT COMMUNICATIONS  
350 SOUTH LOOP 336 WEST  
CONROE, TX 77304  
Fax: 620-227-8576  
kevin.kastor@consolidated.com

ASHLEY BROWN, COMPLIANCE REPROTING  
SPECIALIST-COMPLIANCE & REGULATORY AFFAIRS  
TAG MOBILE, LLC  
1330 CAPITAL PKWY  
CARROLLTON, TX 75006  
ashley.brown@tagmobile.com

BRIAN LISLE, PRESIDENT  
TELRITE CORPORATION  
2300 WINDY RIDGE PKWY STE 350S  
ATLANTA, GA, GA 30339  
brian.lisle@telrite.com

SHARYL FOWLER, REGULATORY & LIFELINE  
COMPLIANCE MANAGER  
TEMPO TELECOM, LLC  
320 INTERSTATE NORTH PKWY SE  
ATLANTA, GA 30339  
sharyl.fowler@birch.com

MARK M. GAILEY, PRESIDENT & GENERAL MANAGER  
TOTAH COMMUNICATIONS, INC.  
101 MAIN ST  
PO BOX 300  
OCHELATA, OK 74051-0300  
Fax: 918-535-2701  
mmgailey@totelcsi.com

HIDEKI KATO, COO  
TOTAL CALL MOBILE, INC.  
2200 FLETCHER AVE STE 600  
FORT LEE, NJ 07024-5016  
hidekik@totalcallusa.com

## CERTIFICATE OF SERVICE

18-GIMT-394-GIT

RICHARD B. SALZMAN, EXECUTIVE VICE PRESIDENT  
TRACFONE WIRELESS, INC.  
D/B/A Safe Link  
9700 NW 112TH AVE  
MIAMI, FL 33178  
rsalzman@tracfone.com

ADAM GLENDENING  
TWIN VALLEY TELEPHONE, INC.  
22 SPRUCE  
PO BOX 395  
MILTONVALE, KS 67466  
Fax: 785-427-2216  
tvtaacctpay@tvttinc.net

JOHN R. IDOUX, DIRECTOR KANSAS GOVERNMENTAL  
AFFAIRS  
UNITED TELEPHONE CO. OF KANSAS  
D/B/A CENTURYLINK  
100 CENTURYLINK DR  
MONROE, LA 71203  
Fax: 913-345-6756  
john.idoux@centurylink.com

GRANT SPELLMEIER, DIRECTOR, EXTERNAL AFFAIRS  
USCOC OF NEBRASKA/KANSAS LLC  
8410 BRYN MAWR  
CHICAGO, IL 60631  
Fax: 847-864-3133  
grant.spellmeier@uscellular.com

JEFF WICK, PRESIDENT/GENERAL MANAGER  
WAMEGO TELECOMMUNICATIONS COMPANY, INC.  
1009 LINCOLN  
PO BOX 25  
WAMEGO, KS 66547-0025  
Fax: 785-456-9903  
jwick@wtcks.com

BRIAN BOISVERT, GENERAL MANAGER  
WILSON TELEPHONE COMPANY, INC.  
2504 AVE D  
PO BOX 190  
WILSON, KS 67490-0190  
Fax: 785-658-3344  
boisvert@wilsoncom.us

DALE JONES, GENERAL MANAGER  
TRI-COUNTY TELEPHONE ASSOCIATION, INC.  
1568 S 1000 RD  
PO BOX 299  
COUNCIL GROVE, KS 66846  
Fax: 785-366-7007  
djones@tctainc.net

TODD HOUSEMAN, ASST. GENERAL MANAGER  
UNITED TELEPHONE ASSN., INC.  
1107 MCARTOR RD  
PO BOX 117  
DODGE CITY, KS 67801  
Fax: 620-227-7032  
toddh@unitedtelcom.net

TODD HOUSEMAN, ASSISTANT GENERAL MANAGER  
UNITED WIRELESS COMMUNICATIONS, INC.  
1107 MCARTOR RD  
PO BOX 117  
DODGE CITY, KS 67801  
toddh@unitedtelcom.net

DIANE C BROWNING, COUNSEL STATE REGULATORY  
AFFAIRS  
VIRGIN MOBILE USA, L.P.  
KSOPHN0314-3A459  
6450 SPRINT PKWY  
OVERLAND PARK, KS 66251  
Fax: 913-523-0571  
diane.c.browning@sprint.com

CARRIE LOOS, ACCOUNTING ADMINISTRATOR  
WHEAT STATE TELEPHONE COMPANY, INC.  
PO BOX 320  
UDALL, KS 67146  
Fax: 620-782-3302  
cloos@wheatstate.com

DALE SCHMICK, VICE-PRESIDENT/GEN. MANAGER  
YOURTEL AMERICA, INC.  
D/B/A TERRACOM  
710 CEDAR LAKE BLVD  
SUITE 100  
OKLAHOMA CITY, OK 73114-7811  
Fax: 816-388-1044  
dale@terracominc.com

## CERTIFICATE OF SERVICE

18-GIMT-394-GIT

KATHY PRICE, GENERAL MANAGER\*  
ZENDA TELEPHONE COMPANY, INC.  
208 N MAIN  
PO BOX 128  
ZENDA, KS 67159  
Fax: 620-243-7611  
kprice@zendatelephone.com

/S/ DeeAnn Shupe  
\_\_\_\_\_  
DeeAnn Shupe