

1500 SW Arrowhead Road Topeka, KS 66604-4027

Dwight D. Keen, Chair Shari Feist Albrecht, Commissioner Susan K. Duffy, Commissioner Fax: 785-271-3354 http://kcc.ks.gov/

Phone: 785-271-3100

Laura Kelly, Governor

NOTICE OF PENALTY ASSESSMENT 20-TRAM-125-PEN

September 19, 2019

Scott Taylor, President Metro Excavating, Inc. PO Box 383 Stilwell, KS 66085

This is a notice of a penalty assessment against Metro Excavating, Inc. (Metro Excavating) for violation(s) of Kansas Motor Carrier Safety Statutes, Rules, and Regulations discovered during a compliance review conducted on August 27, 2019, by Kansas Corporation Commission Special Investigator Jared Smith. Penalties are assessed in accordance with the FY 2020 Uniform Penalty Assessment Matrix, approved by the Commission on July 16, 2019. For a full description of the penalty(s) and terms and obligations please refer to the Order attached to this notice.

IF YOU ACCEPT THE PENALTY: Metro Excavating has been assessed a \$2,900 penalty. You have thirty (30) days from the date of service of the Penalty Order to pay the penalty. Please remit payment of \$2,900, through your personal account with the Kansas Corporation Commission's KTRAN system located at https://puc.kcc.ks.gov/ktran/. If you have not received a letter from the Transportation Division assigning you a PIN, please contact that Division at 785-271-3145. You must have an account through KTRAN to pay the penalty owed.

The attached Order requires a representative of Metro Excavating to attend a Commission-sponsored safety seminar within ninety (90) days from the date of the Order and to provide the undersigned Litigation Counsel with written proof of attendance. A schedule of dates and locations for safety seminars can be found at the Commission's website http://www.kcc.state.ks.us/trans/safety_meetings.htm. The Order also requires your company to submit to one follow-up safety compliance review within 18 months from the date of the Order. Transportation Staff will contact your company at a later date to determine an appropriate time for this review.

IF YOU CONTEST THE PENALTY ORDER: You have the right to request a hearing. A request for hearing must be made in writing, setting forth the specific grounds upon which relief is sought. Metro Excavating must file, within fifteen (15) days from the date of service of this Order, the request for hearing with the Commission's electronic filing system found at https://puc.kcc.ks.gov/e-filing/e-express/, and mail a copy of the request for hearing to the undersigned at the above address. If you do not have access to the internet, you can mail an original and seven copies of the request to the Executive Director at 1500 S.W. Arrowhead Road, Topeka, Kansas 66604, and mail a copy to the undersigned Litigation Counsel. K.A.R. 82-1-215; K.S.A. 2018 Supp. 77-542.

IF YOU FAIL TO ACT: Failure to pay the penalty of \$2,900 within thirty (30) days from the date of service of the Penalty Order or failure to comply with the terms of the Order, or in the alternative, failure to provide a timely written request for a hearing, will result in the Order becoming final and may result in additional sanctions of suspension and/or revocation of your motor carrier operating authority.

Respectfully,

Ahsan A. Latif Litigation Counsel (785) 271-3118

THE STATE CORPORATION COMMISSION OF THE STATE OF KANSAS

Before Commissioners:

Dwight D. Keen, Chair Shari Feist Albrecht Susan K. Duffy

In the Matter of the Investigation of **Metro**Excavating, Inc., of Stilwell, KS, Regarding the

Violation of the Motor Carrier Safety Statutes,

Rules and Regulations and the Commission's

Authority to Impose Penalties, Sanctions and/or

the Revocation of Motor Carrier Authority.

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PENALTY ORDER

The above-captioned matter comes before the State Corporation Commission of the State of Kansas (Commission). Having examined its files and records, and being duly advised in the premises, the Commission finds and concludes as follows:

I. JURISDICTION

- 1. Pursuant to K.A.R. 82-4-1b, 66-1,111, 66-1,112, 66-1,114, 66-1,114b and 66-1,115, the Commission is given full power, authority and jurisdiction to supervise and control motor carriers, as defined in K.A.R. 82-4-1, doing business or procuring business in Kansas, and is empowered to do all things necessary and convenient for the exercise of such power, authority and jurisdiction.
- 2. Pursuant to K.S.A. 2018 Supp. 66-1,129a, 66-1,130 and 66-1,142b, the Commission may suspend operations, revoke or amend certificates, and initiate sanctions or fines against every motor carrier and every person who violates any provision of Kansas law in regard

to the regulation of such motor carriers and persons, or who fails to obey any order, decision or regulation of the Commission.

3. The Commission has the authority, pursuant to K.A.R. 82-1-237, to investigate an entity under the Commission's jurisdiction and issue an order on the Commission's own motion when the Commission believes the entity is in violation of the law or any order of the Commission.

II. BACKGROUND

- 4. Metro Excavating, Inc. (Metro Excavating) operates under USDOT number 1428653.
- 5. Metro Excavating is a private motor carrier which primarily hauls rock, dirt, sand and large machinery.

III. STATEMENT OF FACTS

- 6. Pursuant to the jurisdiction and authority cited above, on August 27, 2019, Commission Staff (Staff) Special Investigator Jared Smith conducted a safety compliance review of the operations of Metro Excavating. A copy of the safety compliance review is included in this Penalty Order as Attachment "A" and is hereby incorporated by reference. As a result of this investigation, the special investigator identified five (5) violation(s) of the Motor Carrier Safety Regulations.
 - a. On June 28, 2019, Metro Excavating required or permitted its driver, Taylor Braxton, to operate a CDL-required commercial motor vehicle, a 1988 Kenworth, VIN ending in 515179, GVWR 84,000 lbs., in interstate commerce from Bucyrus, Kansas to Lees Summit, Missouri. This trip is evidenced by Driver/Vehicle Examination Report Number

MO00K5011462, dated June 28, 2019, a copy of which is attached hereto as Attachment "B" and is hereby incorporated by reference. At the time of this trip, Metro Excavating had not paid its Unified Carrier Registration (UCR) fees for 2019 to operate interstate commerce. Metro Excavating owns seven (7) power units. The carrier's failure to annually register its commercial motor vehicle(s) operating in interstate commerce and to pay the appropriate Unified Carrier Registration (UCR) fees as set out in 49 C.F.R. 367.60, is a violation of K.A.R. 82-4-30a, authorized by K.S.A. 66-1,139a and K.S.A. 66-1,129. Staff recommends a fine of \$500.

- b. During the transportation described in paragraph a, above, Metro Excavating had not implemented an alcohol and controlled substance testing program for its CDL drivers. The carrier's failure to establish an alcohol and controlled substances testing program for its CDL drivers that complies with the procedures established in 49 C.F.R. 382.105 as adopted by K.A.R. 82-4-3c is a violation of 49 C.F.R. 382.115(a), adopted by K.A.R. 82-4-3c, and authorized by K.S.A. 2018 Supp. 66-1,129. Staff recommends a fine of \$650.
- c. On June 14, 2019, Metro Excavating required or permitted its driver, Brett Shurtz, to operate a CDL-required commercial motor vehicle, a 2005 Kenworth, VIN ending in 080924, GVWR 52,000 lbs., pulling a 2016 Armor trailer, VIN ending in 000415, GVWR 70,000 lbs., in interstate commerce from Buycrus, Kansas to Belton, Missouri. This trip is evidenced by driver's Timesheet, a copy of which is attached hereto as

Attachment "C" and is hereby incorporated by reference. At the time of this transportation, Metro Excavating failed to make an inquiry every 12 months into the annual motor vehicle record (MVR) of its driver and maintain the response(s) of each state agency in the driver qualification file. The special investigator discovered two (2) violations of this type. The carrier's failure to inquire into its driver's MVR at least once every 12 months and maintain a copy of the MVR in the driver qualification file is a violation of 49 C.F.R. Part 391.25(a) and (c)(1), adopted by K.A.R. 82-4-3g, and authorized by K.S.A. 2018 Supp. 66-1,112. Staff recommends a fine of \$250.

- d. During the transportation described in paragraph a, above, Metro Excavating failed to keep maintenance records that identify the vehicle, including make, serial number, year and tire size. The special investigator discovered five (5) violations of this type. Metro Excavating's failure to maintain the required records of vehicle inspection, maintenance, and repair on the commercial motor vehicles owned for 30 days is in violation of 49 C.F.R. 396.3(b), adopted by K.A.R. 82-4-3j, and authorized by K.S.A. 2018 Supp. 66-1,129. Staff recommends a fine of \$750.
- e. During the transportation described in paragraph a, above, Metro Excavating used a commercial motor vehicle that had not been periodically (annually) inspected. The special investigator discovered five (5) violations of this type. The carrier's failure to conduct periodic (annual) inspections on commercial motor vehicles is a violation of 49

C.F.R. 396.17(c), adopted by K.A.R. 82-4-3j, and authorized by K.S.A. 2018 Supp. 66-1,129. Staff recommends a fine of \$750.

IV. STAFF'S RECOMMENDATIONS

- 7. Based upon the available facts, Staff recommends the Commission finds Metro Excavating committed five (5) violation(s) of Kansas law that governs motor carriers, including various provisions of the Federal Motor Carrier Safety Regulations (FMCSRs), as adopted by the Kansas Administrative Regulations, and is therefore subject to sanctions or fines imposed by the Commission.
- 8. Additionally, Staff recommends a civil penalty of \$2,900 for five (5) violation(s) of the Motor Carrier Safety Statutes, Rules and Regulations.
- 9. Staff further recommends that a representative from Metro Excavating be required to attend a Commission-sponsored safety seminar within ninety (90) days from the date of this Order, and provide Litigation Counsel with written proof of attendance. A schedule of the dates and locations for safety seminars can be found on the Commission's website at http://kcc.ks.gov/trans/safety meetings.htm.
- 10. Finally, Staff recommends that Metro Excavating submit to one follow-up safety compliance review within eighteen (18) months from the date of this Order. Transportation Staff will contact the motor carrier at a later date to determine an appropriate time for this review.

V. CONCLUSIONS OF LAW

- 11. The Commission finds it has jurisdiction over Metro Excavating because it is a motor carrier as defined in K.A.R. 82-4-1.
- 12. The Commission finds Metro Excavating committed five (5) violation(s) of Kansas law that governs motor carriers, including various provisions of the Federal Motor

Carrier Safety Regulations (FMCSRs), as adopted by the Kansas Administrative Regulations, and is therefore subject to sanctions or fines imposed by the Commission.

THE COMMISSION THEREFORE ORDERS THAT:

- A. Metro Excavating, Inc., of Stilwell, KS is hereby assessed a \$2,900 civil penalty for five (5) violation(s) of Kansas law governing the regulation of motor carriers, the Kansas Administrative Regulations and provisions of the Federal Motor Carrier Safety Regulations, as adopted by the Kansas Administrative Regulations.
- B. Metro Excavating is hereby ordered to attend a Commission-sponsored safety seminar within ninety (90) days from the date of this Order, and is to provide Litigation Counsel with written proof of attendance.
- C. Carrier is hereby ordered to submit a written, comprehensive corrective action plan (CAP) to Transportation Staff within thirty (30) days of the date of this order.
- D. Metro Excavating is ordered to submit to one follow-up safety compliance review within eighteen (18) months from the date of this Order. Transportation Staff will contact the carrier to set up the appointment.
- E. Pursuant to K.S.A. 2018 Supp. 77-537 and K.S.A. 77-542, any party may request a hearing on the above issue(s) by submitting a written request setting forth the specific grounds upon which relief is sought. The request may be electronically filed with the Commission's electronic filing system at https://puc.kcc.ks.gov/e-filing/e-express/, within fifteen (15) days from the date of service of this Order, and a copy of the request mailed to the Litigation Division. If you do not have access to the internet, you can mail an original and seven copies of the request to the Executive Director at 1500 S.W. Arrowhead Road, Topeka, Kansas 66604, and mail a copy of the request to Litigation Counsel. A hearing will be scheduled only upon written

request. Failure to timely request a hearing will result in a waiver of Metro Excavating's right to a hearing, and this Penalty Order will become a Final Order assessing a \$2,900 civil penalty against Metro Excavating, and ordering a representative from Metro Excavating to attend a Commission-sponsored safety seminar within ninety (90) days from the date of this Order and provide Litigation Counsel with written proof of attendance, and to submit to a safety compliance review within 18 months from the date of this Order.

- F. If a request for hearing is filed, attorneys for all parties shall enter their appearances in Commission proceedings by giving their names and addresses for the record. For civil penalties exceeding \$500, a corporation shall appear before the Commission by its attorney, unless waived by the Commission for good cause shown and a determination that such waiver is in the public interest. K.S.A. 77-515(c); K.A.R. 82-1-228(d)(2); K.A.R. 82-1-202(a). For civil penalties less than \$500, a corporation may appear by a duly authorized representative of the corporation. K.S.A. 2018 Supp. 66-1,142b(e) and amendments thereto.
- G. If you do not request a hearing, the payment of the civil penalty of \$2,900 is due in thirty (30) days from the date of service of this Order. Payment of \$2,900 must be made through your personal account with the Kansas Corporation Commission's KTRAN system located at https://puc.kcc.ks.gov/ktran/. You must have an account through KTRAN to pay the penalty.
- H. Failure to pay the \$2,900 civil penalty within thirty (30) days from the date of service of this Penalty Order, *see* K.S.A. 66-1,105, and/or failure to comply with the provisions of this Order, may result in suspension of Metro Excavating's motor carrier operating authority without further notice. Additionally, the Commission may impose further sanctions to include,

but not limited to, the issuance and enforcement of revocation of authority and/or cease and desist orders, and any other remedies available to the Commission by law, without further notice.

I. The Commission retains jurisdiction over the subject matter and the parties for the purpose of entering such further orders, as necessary.

BY THE COMMISSION IT IS SO ORDERED.

Keen, Chair; Albrecht, Commissioner; Duffy, Commissioner

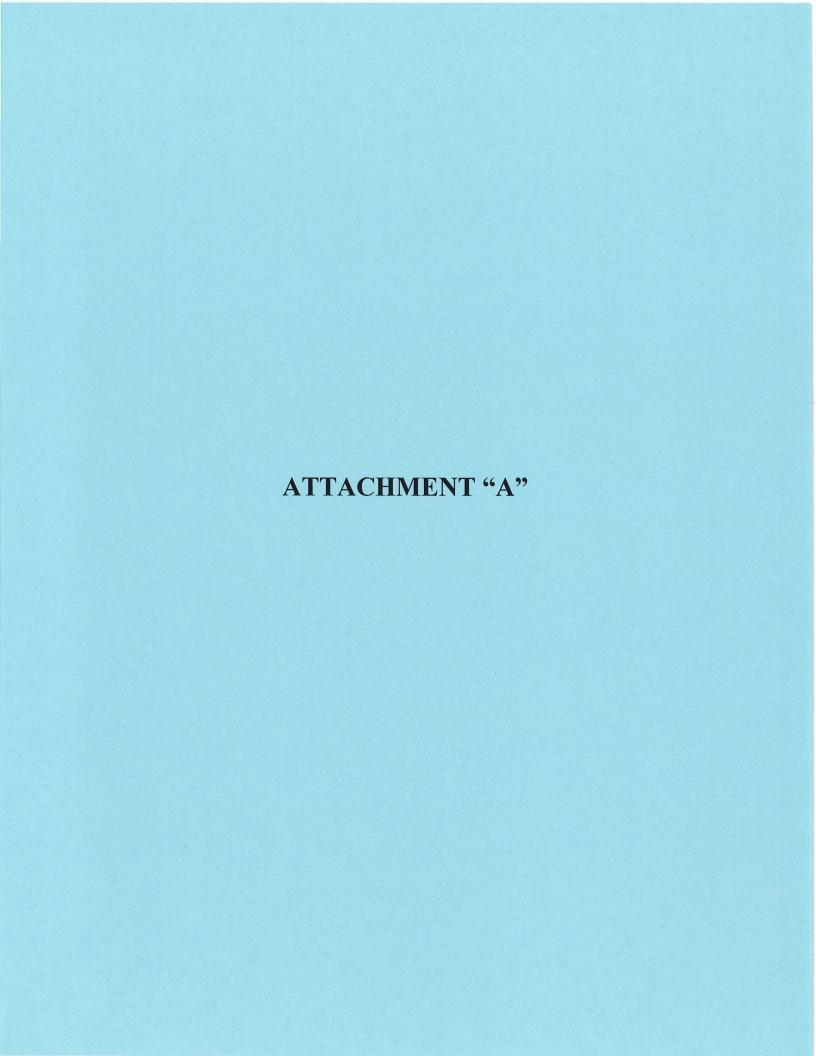
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Dated:		

Lynn M. Retz

Executive Director

Lynn M. Ret

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UNITED STATES DEPARTMENT OF TRANSPORTATION

Investigation Date: Legal: METRO EXCAVATING INC U.S. DOT#: 1428653 08/27/19 Operating (DBA): MC/MX#: Location of Investigation: Company principal place of business Investigation Type: Onsite Comprehensive Investigation (PPOB) **Extent of Operations:** Entire Operation **Mailing Address Physical Address** PO BOX 383 21080 K 68 HWY STILWELL, KS 66085-0383 PAOLA, KS 66071 **United States** United States **Contact Information** Contact Name: SCOTT TAYLOR Email: Phone: **Business and Financial Business Type:** Corporation **Gross Revenue:** For Year Ending: 12/31/18 Federal Tax ID: Operation Classification and Type Cargo Type of Operation: Non-HM Interstate Carrier, Non-HM Other (Rock, dirt, sand), Machinery, Large Objects Intrastate Carrier **Operation Classification** Private Motor Carrier Property - Non-Hazardous Materials Equipment **Driver Information**

Owned Term Leased Trip Leased Straight Trucks | 2 Truck Tractors Trailers

Power units used in the U.S.: 7

Percentage of time used in the U.S.: 100.0%

Drivers		
	Intrastate	Interstate
< 100 Miles		3
>= 100 Miles		THE PERSON OF MACHINE SHAPE SH

Average trip leased driver/month: 0

Drivers with CDL: 3 Total Drivers: 3

Person(s) Interviewed Name: SCOTT TAYLOR Title: VICE PRESIDENT Questions Questions Questions about this report or the Federal Motor Carrier Safety or Hazardous Materials regulations may be addressed to the Federal Motor Carrier Safety Administration at: This report will be used to assess your safety compliance.

Violations

1. Primary: 382.115(a)

Failing to implement an alcohol and/or controlled substances testing program on the date the employer begins commercial motor vehicle operations.



Acute

Violations Discovered				
Fed	State	Total		
1		1		
Violations Checked				
Fed	State	Total		

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Rating Factor 2: Driver = Part 382

Example/Notes:

On June 28, 2019 Metro Excavating Inc. had driver Taylor Braxton operate a 1988 Kenworth (VIN # 515179.) This vehicle has a gross vehicle weight rating of 84,000 lbs. Driver Taylor Braxton operated in commerce on an interstate trip from Bucyrus, Kansas to Lees Summit, Missouri. This trip is evidenced by a Level 1 roadside inspection performed by C. Praschak (MO4496) with the Missouri State Highway Patrol. At the time of this trip carrier was found to be in violation of failing to implement an alcohol and/or controlled substances testing program on the date the employer begins commercial motor vehicle operations

١	Dilacial Actific	163
1		
	In Violation	Checked
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2. Primary: 396.17(a)

Using a commercial motor vehicle not periodically inspected.



Critical \

At least 10% of the number checked had violations

Disco	vered	
Fed	State	Total
5		5
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Fod	Ctoto	Total

Violations

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1	

5

Rating Factor 4: Vehicle Maintenance Vehicle = Part 396

Example/Notes:

On June 28, 2019 Metro Excavating Inc. had driver Taylor Braxton operate a 1988 Kenworth (VIN # 515179.) This vehicle has a gross vehicle weight rating of 84,000 lbs. Driver Taylor Braxton operated in commerce on an interstate trip from Bucyrus, Kansas to Lees Summit, Missouri. This trip is evidenced by a Level 1 roadside inspection performed by C. Praschak (MO4496) with the Missouri State Highway Patrol. At the time of this trip carrier was found to be in violation of using a commercial motor

Drivers/Vehicles

BASIC Impacted

In Violation	Checked
	L
5	5

vehicle not periodically inspected.			nagaganak paprapananan n 14 bil Nobber odi	
	Violation	 ns Discover		
3. Primary: 367	Fed	≀ State	Total	
Failed to pay Unified Carrier Registration (UCR) fee when operating in Interstate Commerce	1		1	
	Violations Checked			
	Fed	State	Total	
	1		<u> </u> 1	
Example/Notes:	Drivers/	/Vehicles		
On June 28, 2019 Metro Excavating Inc. had driver Taylor Braxton operate a 1988	In Violat	ion C	hecked	
Kenworth (VIN # 515179.) This vehicle has a gross vehicle weight rating of				
84,000 lbs. Driver Taylor Braxton operated in commerce on an interstate trip from Bucyrus, Kansas to Lees Summit, Missouri. This trip is evidenced by a Level 1 roadside inspection performed by C. Praschak (MO4496) with the Missouri State Highway Patrol. At the time of this trip carrier was found to be in violation of Failure to pay Unified Carrier Registration (UCR) fee when operating in Interstate Commerce				
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4. Primary: 391.23(c)	Violations Discovered			
Failing to investigate driver's background within 30 days of employment.	Fed	State	Total	
	1		1	
	Violatio	ns Checked	1	
	ll e.a	State	; .	
	Fed	State	Total	
	3	State	3	
	3			
Example/Notes:	3 Drivers	/Vehicles	3	
On June 28, 2019 Metro Excavating Inc. had driver Taylor Braxton operate a 1988	3	/Vehicles		
On June 28, 2019 Metro Excavating Inc. had driver Taylor Braxton operate a 1988 Kenworth (VIN # 1985-1985) This vehicle has a gross vehicle weight rating of	3 Drivers	/Vehicles	3	
On June 28, 2019 Metro Excavating Inc. had driver Taylor Braxton operate a 1988 Kenworth (VIN # \$\frac{1}{2}\text{Excavating} \text{515179.}) This vehicle has a gross vehicle weight rating of 84,000 lbs. Driver Taylor Braxton operated in commerce on an interstate trip from Bucyrus, Kansas to Lees Summit, Missouri. This trip is evidenced by a Level 1 roadside	3 Drivers	/Vehicles	3	
On June 28, 2019 Metro Excavating Inc. had driver Taylor Braxton operate a 1988 Kenworth (VIN # \$\frac{1}{2}\text{Excavating} \text{515179.}) This vehicle has a gross vehicle weight rating of 84,000 lbs. Driver Taylor Braxton operated in commerce on an interstate trip from Bucyrus, Kansas to Lees Summit, Missouri. This trip is evidenced by a Level 1 roadside inspection performed by C. Praschak (MO4496) with the Missouri State Highway Patrol. At the time of this trip carrier was found to be in violation of failing to investigate driver's	3 Drivers	/Vehicles	3	
On June 28, 2019 Metro Excavating Inc. had driver Taylor Braxton operate a 1988 Kenworth (VIN # 515179.) This vehicle has a gross vehicle weight rating of 84,000 lbs. Driver Taylor Braxton operated in commerce on an interstate trip from Bucyrus, Kansas to Lees Summit, Missouri. This trip is evidenced by a Level 1 roadside inspection performed by C. Praschak (MO4496) with the Missouri State Highway Patrol. At the time of this trip carrier was found to be in violation of failing to investigate driver's background within 30 days of employment.	Drivers, In Violat	/Vehicles	hecked	
Example/Notes: On June 28, 2019 Metro Excavating Inc. had driver Taylor Braxton operate a 1988 Kenworth (VIN # 15179.) This vehicle has a gross vehicle weight rating of 84,000 lbs. Driver Taylor Braxton operated in commerce on an interstate trip from Bucyrus, Kansas to Lees Summit, Missouri. This trip is evidenced by a Level 1 roadside inspection performed by C. Praschak (MO4496) with the Missouri State Highway Patrol. At the time of this trip carrier was found to be in violation of failing to investigate driver's background within 30 days of employment. 5. Primary: 391.51(b)(4) Failing to maintain the responses of each State agency to the annual driver record inquiry	Drivers, In Violat	/Vehicles	hecked	

and the second s	Violation	Violations Checked		
	Fed	State	Total	
	3		.3	
On June 14, 2019 Metro Excavating Inc. had driver Brett Shurtz (KS CDL# D80924) in combination with a 2016 Armor (VIN# 000415.) These vehicle have a gross vehicle weight rating of 52,000 lbs. and 70,000 lbs. Driver Brett Shurtz operated in commerce on an interstate trip from Buycrus, Kansas to Belton, Missouri. This trip is evidenced by a driver's record of duty status and an invoice. At the time of this trip carrier was found to be in violation of failing to maintain the responses of each State agency to the annual driver record inquiry required by 391.25(a).	Drivers/ In Violati	/Vehicles	Checked	
	Violatio	ns Discov	vered	
6. Primary: 391.51(b)(5) Failing to maintain a note relating to the annual review of the driver's driving record as	Fed	State	Total	
required by 391.25(c)(2).	2		2	
	Violations Checked			
	Fed	State	Total	
	3	1	13	
Example/Notes:	Drivers	/Vehicles		
On June 14, 2019 Metro Excavating Inc. had driver Brett Shurtz (KS CDL# poperate a 2005 Kenworth (Unit # 5, VIN #	In Violat	ion	Checked	
	Violetic	ns Discov	· · · · · · · · · · · · · · · · · · ·	
7. Primary: 391.51(b)(6)	Fed	State	verea Total	
Failing to maintain a list or certificate relating to violations of motor vehicle laws and ordinances required by 391.27.	2		12	
	Violation	ns Check	:	
	Fed	State	Total	
	2	!	12	

Example/Notes:	Drivers/	Vehicles		
On June 14, 2019 Metro Excavating Inc. had driver Brett Shurtz (KS CDL#	In Violation	on C	hecked	
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interstate trip from Buycrus, Kansas to Belton, Missouri. This trip is evidenced by a driver's				
record of duty status and an invoice. At the time of this trip carrier was found to be in				
violation of failing to maintain a list or certificate relating to violations of motor vehicle laws and ordinances required by 391.27.				
	1			
	Violation	s Discover	ed:	
8. Primary: 391.51(b)(9)	Fed	State	Total	
Failing to place a note related to the verification of the medical examiner's listing on the National Registry of Certified Medical Examiners required by 391.23(m) in driver	3		3	
qualification file(s)	Violations Checked			
	Fed	State	Total	
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	L			
Example/Notes:	Drivers/	Vehicles		
	In Violation Check		hecked	
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interstate trip from Buycrus, Kansas to Belton, Missouri. This trip is evidenced by a driver's				
record of duty status and an invoice. At the time of this trip carrier was found to be in				
violation of failing to place a note related to the verification of the medical examiner's listing on the National Registry of Certified Medical Examiners required by 391.23(m) in				
driver qualification file(s).				
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	Violations Discovered			
9. Primary: 396.3(b)(1)	Fed	State	Total	
Failing to keep a maintenance record which identifies the vehicle, including make, serial number, year, and tire size.	5	<u> </u>	5	
	Violations Checked			
	Fed	State	Total	
	5			
			5	
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Example/Notes:	Drivers/Vehicles			

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On June 28, 2019 Metro Excavating Inc. had driver Taylor Braxton operate a 1988 Kenworth (VIN # 515179.) This vehicle has a gross vehicle weight rating of 84,000 lbs. Driver Taylor Braxton operated in commerce on an interstate trip from		Chec 5	ked	
Bucyrus, Kansas to Lees Summit, Missouri. This trip is evidenced by a Level 1 roadside inspection performed by C. Praschak (MO4496) with the Missouri State Highway Patrol. At the time of this trip carrier was found to be in violation of failing to keep a maintenance record which identifies the vehicle, including make, serial number, year, and tire size	L			
	Violations Di	scovered		
10. Primary: 396.3(b)(2) Failing to have a means of indicating the nature and due date of the various inspection and maintenance operations to be performed.	Fed S	State	Total	,
	1	ar nan and	1	
	Violations Checked			
	Fed 5	State	Total	
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Example/Notes:	Drivers/Vehi	- 444		
On June 28, 2019 Metro Excavating Inc. had driver Taylor Braxton operate a 1988	In Violation	Chec	:ked	
Kenworth (VIN # 515179.) This vehicle has a gross vehicle weight rating of 84,000 lbs. Driver Taylor Braxton operated in commerce on an interstate trip from Bucyrus, Kansas to Lees Summit, Missouri. This trip is evidenced by a Level 1 roadside inspection performed by C. Praschak (MO4496) with the Missouri State Highway Patrol. At the time of this trip carrier was found to be in violation of failing to have a means of indicating the nature and due date of the various inspection and maintenance operations, to be performed	LOUIS AND			Jennes

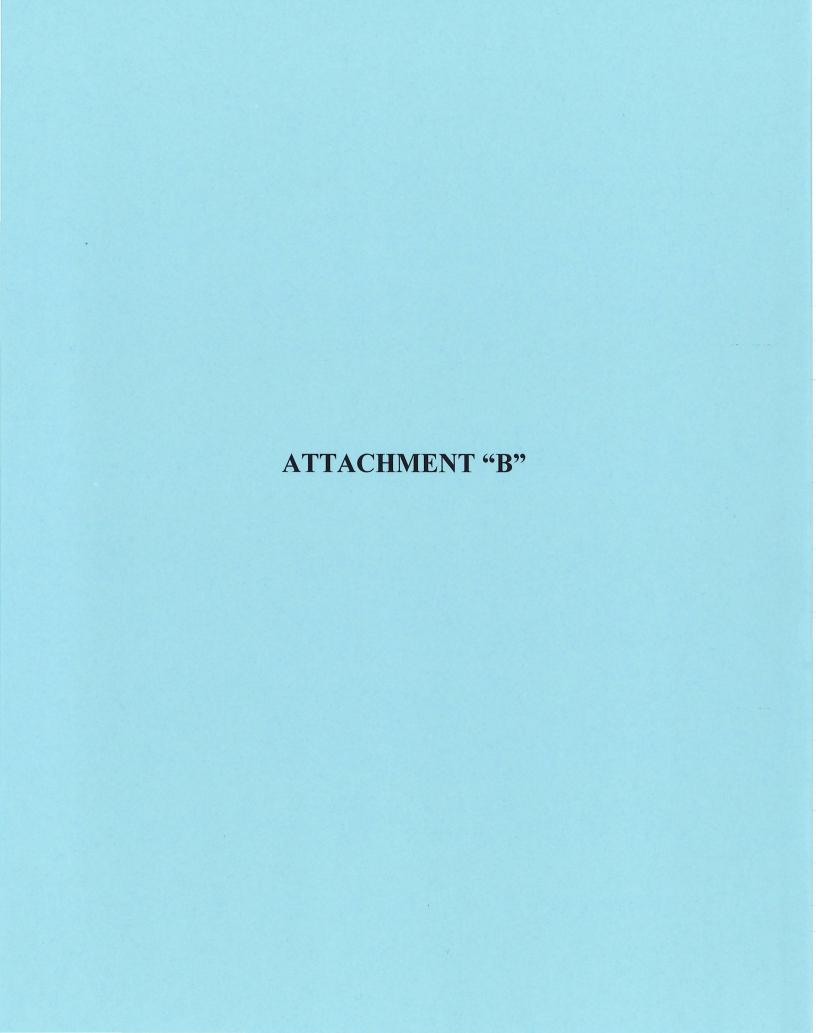
, Safety Fitness Rating

Your proposed safety rating is: **SATISFACTORY** 0 UNSATISFACTORY rating factors and 2 or fewer CONDITIONAL rating factors. Corrective actions must be taken for any violations (deficiencies) identified in this report. See below for more information.

RATING FACTORS	RATING	ACUTE	CRITICAL
Factor 1: General = Parts 387 and 390	Satisfactory		
Factor 2: Driver = Parts 382, 383 and, 391	Conditional	1	
Factor 3 ⁻ Operational = Parts 392 and 395	Satisfactory		
Factor 4: Vehicle = Parts 393 and 396 OOS Vehicles (CR). 0 Number of Vehicles Inspected (CR): 0 OOS Vehicles (MCMIS): 0 Number of Vehicles Inspected (MCMIS): 3 OOS Rate: 0%	Conditional		1
Factor 5: Haz. Mat. = Parts 397, 171, 177 and, 180	Not Rated		
Factor 6: Accident Factor = Recordable Rate Total Miles Operated: 100,000 Recordable Accidents: 0 Recordable Accidents/Million Miles: 0.00	Satisfactory	N/A	N/A

You must take corrective actions for any violations (deficiencies) identified in the Violations section of this report.

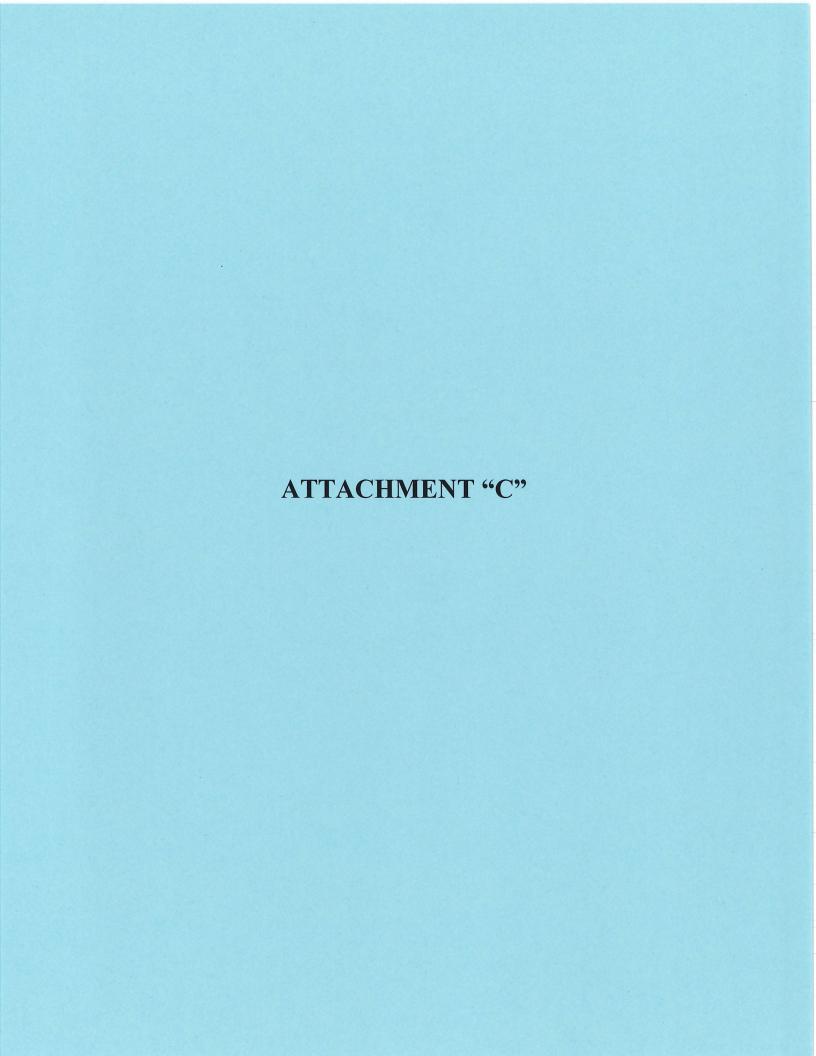
<u>DataQs</u>: If you dispute the violations recorded in the Violations section of this investigation report, and the violations were not used in the calculation of your safety rating, you may submit a Request for Data Review (RDR) through DataQs. The DataQs system is the method to remove violations that did not affect your safety rating. DataQs is an online system that allows a motor carrier or driver to request and track a review of Federal and State issued data that it believes to be incomplete or incorrect. To submit an RDR, go to https://dataqs.fmcsa.dot.gov.



DRIVER/VEHICLE EXAMINATION REPORT

PO BOX 383 USDOT: 1428653 Phoneff: Fax#: USDOT: 1428653 Phoneff: Fax#: USDOT: 1428653 Phoneff: Fax#: Licenseff: CoDriver: Licenseff: State: Date of Bill CoDriver: Licenseff: State: State: State: State: State: But of Birth: Cocation: 150 HWY AND HOLMES Highway: MO-150 County: JACKSON Destination: LEES SUMMIT, MO Cargo: ROCK; GRAVEL VEHICLE IDENTIFICATION Unit Type Make Year State Plate 1 TR KW 1988 KS 615696 E179 E15179 84000 WIN GW/R CVSA# Issued# QQS Sticker BRAKE ADJUSTMENTS Able.# 1 2 3 Right 1 3/4 1 3/4 2 1/8 Left 2 1/8 2 1/8 1 1/2 Chamber C-30 C-30 C-30 C-30 C-30 WIOLATIONS Saction Type Unit CQS Sticker N N N Coperating a CMV without proof of a penodic inspection 393 9/1 F 1 N N N Coperating a CMV without proof of a penodic inspection 393.2038 F 1 N N N Cabbody improperly secured to frame 393.2038 F 1 N N N Cabbody improperly secured to frame 393.2038 F 1 N N N Cabbody improperly secured to frame 393.2038 F 1 N N N Cabbody improperly secured to frame 393.2038 F 1 N N N Cabbody improperly secured to frame 393.47E F 1 N N N Cabbody improperly secured to frame 393.47E F 1 N N N Cabbody improperly secured to frame 393.47E F 1 N N N Cabbody improperly secured to frame 393.47E F 1 N N N Cabbody improperly secured to frame 393.47E F 1 N N N Cabbody improperly secured to frame 393.47E F 1 N N N Cabbody improperly secured to frame 393.47E F 1 N N N Cabbody improperly secured to frame 393.47E F 1 N N N Cabbody improperly secured to frame 393.47E F 1 N N N Cabbody improperly secured to frame 393.47E F 1 N N N Cabbody improperly secured to frame 393.47E F 1 N N N Cabbody improperly secured to frame 393.47E F 1 N N N Cabbody improperly secured to frame 393.47E F 1 N N N Cabbody improperly secured to frame 393.47E F 1 N N N Cabbody improperly secured to frame 393.47E F 1 N N N Cabbody improperly secured to frame 393.47E F 1 N N N Cabbody improperly secured to frame 393.47E F 1 N N N Cabbody improperly secured to frame 393.47E F 1 N N N Cabbody improperly secured to frame 393.47E F 1 N N N Cabbody improperly secured	Missouri State Highway Patrol Commercial Vehicle Enforcement Division Post Office Box 568 Jefferson City, MO 65102-0568 Phone: (573)526-6128 Fax: (573)526-4637							Report Number: MO00K5011462 Inspection Date: 06/28/2019 Start: 8:09 AM CT End: 8 40 AM CT Inspection Level: I - Full HM Inspection Type: None						
State#: Location: 150 HWY AND HOLMES Highway: MO-150 County: JACKSON Destination: LEES SUMMIT, MO Cargo: ROCK; GRAVEL WEHICLE IDENTIFICATION Unit Type Make Year State Plate 1 TR KW 1988 KS 615696 Edupment ID 5179 Edupment ID 51879 Edupment	METRO EXCAVATING INC PO BOX 383 STILWELL, KS, 66085-0383						License#: Date of Bit			State: KS				
Location: 150 HWW AND HOLMES Milepost: Shipper: N/A Origin: BUCYRUS, KS Bill of Lading: N/A Cargo: ROCK; GRAVEL	MC/MX#:											St	tate:	
Highway: MO-150 County: JACKSON Destination: LEES SUMMIT, MO Cargo: ROCK; GRAVEL VEHICLE IDENTIFICATION Unit Type Make Year State Plate 61569		1A YW	VD H	OLMES			Milepo			er: N/A				
Unit Type Make Year State Plate 615696 5179	Highway: MO-18	50					Origin:	Origin: BUCYRUS, KS Bill of Lad						
Axle # 1 3/4 21/8 21/8 11/2 Chamber C-30 C-30 C-30 VIOLATIONS Section Ivpa Unit OOS Citation # VerifyCrash Miolations Discovered 393 9H F 1 N N N Inoperable head lamps 393 203B F 1 N N N Operating a CMV without proof of a penodic inspection 393 203B F 1 N N N Cab/body improperly secured to frame 393 203B F 1 N N N Cab/body improperly secured to frame 393 47E F 1 N N N Calmp or Roto type brake out-of-adjustment 393 47E F 1 N N N Calmp or Roto type brake out-of-adjustment 393 47E F 1 N N N Calmp or Roto type brake out-of-adjustment 393 47E F 1 N N N A Clamp or Roto type brake out-of-adjustment 393 47E F 1 N N N A N Clamp or Roto type brake out-of-adjustment 393 47E F 1 N N N N Axle positioning parts defective/missing HazMat: No HM transported Placard: Cargo Tank: Special Checks: Alcohol/Controlled Substance Check Traffic Enforcement Size and Weight Enforcement Dission, PASA Conducted Inspection Size and Weight Enforcement Dission, PASA Conducted Inspection PBBT Inspection I certify that the above violation(s) was/were corrected Signature Of Repairer X Facility Date NOTICE TO DRIVER/MOTOR CARRIER The motor carrier name that appears on this report must sign the certification and fax, mail to the Missoun State Highway Parto, Commercial Vehicle Enforcement Division, P.O. Box 568, Jefferson City, MO 65102-0568 or Email to overmail@mshp dps.mo gov within 15 days. If no violations were discovered, you are NOT required to sign and return a copy of the report. Report Prepared By. Badge #: Copy Received By:	Unit Type Make	Year S	state	Plate				VIN	515179		CVSA#	Issued#	OOS Sticker	
Section Type Unit OCS Citation # VerifyCrash Molations Discovered 393 91 H F 1 N N N N Operating a CMV without proof of a periodic inspection 393 93 9H F 1 N N N Operating a CMV without proof of a periodic inspection 393 203B F 1 N N N Cab/body improperly secured to frame 393 203B F 1 N N N Cab/body improperly secured to frame 393 207B F 1 N N N Cab/body improperly secured to frame 393 47E F 1 N N N Clamp or Roto type brake out-of-adjustment 393 47E F 1 N N N Clamp or Roto type brake out-of-adjustment 393 207B F 1 N N N Axle positioning parts defective/missing HazMat: No HM transported Placard: Cargo Tank: Special Checks: Alcohol/Controlled Substance Check Traffic Enforcement PASA Conducted Inspection	Axle # 1 Right 1 3/4 Left 2 1/8	2 4 13 8 21	/4 2 /8 1	1/8 1/2					-					
393 9H F 1 N N N Inoperable head lamps 396 17C F 1 N N N Operating a CMV without proof of a periodic inspection 393 2038 F 1 N N N Cab/body improperly secured to frame 393.2038 F 1 N N N Cab/body improperly secured to frame 393.2038 F 1 N N N Cab/body improperly secured to frame 393.47E F 1 N N N Clamp or Roto type brake out-of-adjustment 393 47E F 1 N N N Clamp or Roto type brake out-of-adjustment 393 47E F 1 N N N Clamp or Roto type brake out-of-adjustment 393.207A F 1 N N N Axle positioning parts defective/missing HazMat: No HM transported Placard: Cargo Tank: Special Checks: X Conducted by Local Jurisdiction PASA Conducted Inspection Size and Weight Enforcement Drug Interdiction Search I certify that the above violation(s) was/were corrected Signature Of Repairer X Facility Date NOTICE TO DRIVER/MOTOR CARRIER The motor carrier name that appears on this report must sign the certification and fax, mail to the Missouri State Highway Patrol, Commercial Vehicle Enforcement Division, P O, Box 568, Jefferson City, MO 65102-0568 or Email to cvemail@mshp.dps.mo gov within 15 days. If no violations were discovered, you are NOT required to sign and return a copy of the report. Report Prepared By. Badge.#: Copy Received By:	VIOLATIONS	hait 0.0			\ /a w.f/	^b	\	Na						
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393.203B F 1 N N N Cab/body improperly secured to frame 393.47E F 1 N N N Clamp or Roto type brake out-of-adjustment 393.47E F 1 N N N Clamp or Roto type brake out-of-adjustment 393.47E F 1 N N N Clamp or Roto type brake out-of-adjustment 393.47E F 1 N N N Clamp or Roto type brake out-of-adjustment 393.47E F 1 N N N Clamp or Roto type brake out-of-adjustment 393.47E F 1 N N N Clamp or Roto type brake out-of-adjustment 393.47E F 1 N N N Axle positioning parts defective/missing HazMat: No HM transported Placard: Cargo Tank: Special Checks: Alcohol/Controlled Substance Check Traffic Enforcement Post Crash Inspection Size and Weight Enforcement Drug Interdiction Search Size and Weight Enforcement Drug Interdiction Search eScreen Inspection I certify that the above violation(s) was/were corrected Signature Of Repairer X Facility Date NOTICE TO DRIVER/MOTOR CARRIER The motor carrier name that appears on this report must sign the certification and fax, mail to the Missouri State Highway Patrol, Commercial Vehicle Enforcement Division, P O. Box 568, Jefferson City, MO 65102-0568 or Email to cvemail@mshp dps.mo gov within 15 days. If no violations were discovered, you are NOT required to sign and return a copy of the report. Fino Violations ARE CITED THEN NO RETURN IS NECESSARY. Signature Of Motor Carrier X Title Date Copy Received By:	396 17C F	1 N	1		N		-		it proof of	a periodic	inspection			
393.47E F 1 N N N Clamp or Roto type brake out-of-adjustment 393.47E F 1 N N N Clamp or Roto type brake out-of-adjustment 393.47E F 1 N N N Clamp or Roto type brake out-of-adjustment 393.207A F 1 N N N Axle positioning parts defective/missing HazMat: No HM transported Placard: Cargo Tank: Special Checks: Alcohol/Controlled Substance Check Traffic Enforcement Post Crash Inspection Size and Weight Enforcement Drug Interdiction Search eScreen Inspection I certify that the above violation(s) was/were corrected Signature Of Repairer X Facility Date NOTICE TO DRIVER/MOTOR CARRIER The motor carrier name that appears on this report must sign the certification and fax, mail to the Missouri State Highway Patrol, Commercial Vehicle Enforcement Division, P O. Box 558, Jefferson City, MO 65102-0568 or Email to cvernail@mshp dps.mo gov within 15 days. If no violations were discovered, you are NOT required to sign and return a copy of the report. Report Prepared By. Badge #: Copy Received By:	393.203B F	1 N	ı		Ν	N	-							
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HazMat: No HM transported Placard: Cargo Tank: Special Checks: Alcohol/Controlled Substance Check X Conducted by Local Jurisdiction Size and Weight Enforcement eScreen Inspection I certify that the above violation(s) was/were corrected Signature Of Repairer X NOTICE TO DRIVER/MOTOR CARRIER The motor carrier name that appears on this report must sign the certification and fax, mail to the Missouri State Highway Patrol, Commercial Vehicle Enforcement Division, P O. Box 568, Jefferson City, MO 65102-0568 or Email to cvemail@mshp.dps.mo gov within 15 days. If no violations were discovered, you are NOT required to sign and return a copy of the report. Report Prepared By. Badge #: Copy Received By:		-					•							
HazMat: No HM transported Placard: Cargo Tank: Special Checks: Alcohol/Controlled Substance Check		-					-	• •		•				
Special Checks: Alcohol/Controlled Substance Check X Conducted by Local Jurisdiction Size and Weight Enforcement eScreen Inspection I certify that the above violation(s) was/were corrected Signature Of Repairer X NOTICE TO DRIVER/MOTOR CARRIER The motor carrier name that appears on this report must sign the certification and fax, mail to the Missouri State Highway Patrol, Commercial Vehicle Enforcement Division, P O. Box 568, Jefferson City, MO 65102-0568 or Email to cvemail@mshp.dps.mo gov within 15 days. If no violations were discovered, you are NOT required to sign and return a copy of the report. Report Prepared By. Badge #: Copy Received By:							7 Mile poditio					Corno To	mle:	
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Size and Weight Enforcement eScreen Inspection I certify that the above violation(s) was/were corrected Signature Of Repairer X	opoolal ollowing									ation		<u>-</u>		
Signature Of Repairer X	Size and Weight Enforcement									•		PDD11	nspection	
Highway Patrol, Commercial Vehicle Enforcement Division, P.O. Box 568, Jefferson City, MO 65102-0568 or Email to cvemail@mshp.dps.mo gov within 15 days. If no violations were discovered, you are NOT required to sign and return a copy of the report. IF NO VIOLATIONS ARE CITED THEN NO RETURN IS NECESSARY. Signature Of Motor Carrier X	I certify that the above violation(s) was/were corrected Signature Of Repairer X Date													
Signature Of Motor Carrier X Title Date Report Prepared By. Badge #: Copy Received By:	NOTICE TO DRIVER/MOTOR CARRIER The motor carrier name that appears on this report must sign the certification and fax, mail to the Missouri State Highway Patrol, Commercial Vehicle Enforcement Division, P O. Box 568, Jefferson City, MO 65102-0568 or Email to cvemail@mshp.dps.mo gov within 15 days. If no violations were discovered, you are NOT required to sign and return a copy of the report.													
Report Prepared By Badge #: Copy Received By:									e	Dat	e		·	
O FRACTOR TATLOR	Report Prepared C PRASCHAK	By.	Bad 4496					<u>Cor</u> BR/	y Rece	ived By: TAYLOF	₹			
X	X							X						





Metro Excavating, Inc.

We Make Dirk LOOK GOOD

Brett Shutz

Week ending: 6-17

Day	Date	START	LUNCH	STOP	JOB	зов	JOB	Total
Monday	6/11	7:00	30 nin	5:00m	Haul truck	mbelton	_	96
Tuesday	6912	7.00	30 min	5.30an	How truck	in Belton		10.0
Wednesday	413	7:00	30min	5:50m	Hauf truck	in Geston		10.0
Thursday	6/14	7.00	30 MIZ	5: Dan	Haultruck	Repl Stader	on my/onar	6-0.0
riday	1/15	7:00	30min	5:300m	Houl trust	ein Bolton		10.0
Saturday	6/16			- //				
Sunday	6/17				No.			
Total hours	1			*				49 6

CERTIFICATE OF SERVICE

20-TRAM-125-PEN I, the undersigned, certify that a true copy of the attached Order has been served to the following by means of

first class mail/hand delivered on	09/20/2019		
AHSAN LATIF, LITIGATION CO KANSAS CORPORATION COM 1500 SW ARROWHEAD RD TOPEKA, KS 66604 Fax: 785-271-3354 a.latif@kcc.ks.gov		SCOTT TAYLOR, PRESIDENT METRO EXCAVATING, INC. PO BOX 383 STILWELL, KS 66085-0383	
	ISI	DeeAnn Shupe	

DeeAnn Shupe