

**THE STATE CORPORATION COMMISSION  
OF THE STATE OF KANSAS**

In the Matter of the Investigation of Neal )  
Concrete, Inc., of Wichita, Kansas, Regarding )  
the Violation of the Motor Carrier Safety )  
Statutes, Rules and Regulations and the ) Docket No. 18-TRAM-135-PEN  
Commission's Authority to Impose Penalties, )  
Sanctions and/or the Revocation of Motor )  
Carrier Authority. )

**JOINT MOTION FOR APPROVAL OF  
STIPULATED SETTLEMENT AGREEMENT**

The Staff of the State Corporation Commission of the State of Kansas (Staff and Commission, respectively), and Neal Concrete, Inc. (Respondent), file this Joint Motion requesting the Commission issue an order approving the attached Stipulated Settlement Agreement. In support of its Motion, Staff and Respondent state as follows:

1. On August 30, 2017, Commission Staff (Staff) Special Investigator Gary Goeller conducted a compliance review on Respondent's motor carrier operations. As a result of the review, Investigator Goeller identified six (6) violations of the Motor Carrier Safety Regulations.
2. On September 21, 2017, the Commission issued a Penalty Order in this docket assessing a \$4,400 civil penalty against Respondent.
3. To date, Respondent's unpaid balance on the civil penalty assessed in the above Penalty Order is \$2,200.
4. On Friday, October 20, 2017, Kelli Neal, of Neal Concrete, Inc. and Ahsan Latif, Litigation Counsel for Staff (Staff), informally discussed the possibility of a settlement. During the informal discussions, Staff and Respondent were able to reach mutually agreeable terms in

anticipated settlement of the issues. It was agreed that Staff would subsequently reduce the terms to writing and forward the draft to Respondent for approval and signature.

5. The resulting signed Stipulated Settlement Agreement is attached hereto and is hereby incorporated by reference. This Agreement resolves all issues in this proceeding between Staff and Respondent. The parties believe that the Agreement represents a reasonable and fair resolution of this matter and that the Commission should approve the Agreement.

6. Should the Commission accept the terms of the attached Stipulated Settlement Agreement, the parties waive their respective rights to cross-examine witnesses and present oral arguments or written briefs to the Commission. The parties also waive their rights to request reconsideration of the Commission order approving the Agreement and waive their rights to seek judicial review of said order.

WHEREFORE, for the reasons set forth herein, Staff and Neal Concrete, Inc. request this Joint Motion be granted, and that the attached Stipulated Settlement Agreement be approved.

Respectfully Submitted,

By:



Ahsan A. Latif, S.Ct. #24709  
Litigation Counsel  
Kansas Corporation Commission  
1500 S.W. Arrowhead Road  
Topeka, Kansas 66604-4027  
Phone: 785-271-3118  
Fax: 785-271-3124  
Email: a.latif@kcc.ks.gov

Attorney for Commission Staff

By:



Brian R. Neal, Vice President  
Neal Concrete, Inc.  
1906 W. 69<sup>th</sup> N.  
Wichita, Kansas 67024

Respondent

**THE STATE CORPORATION COMMISSION  
OF THE STATE OF KANSAS**

Before Commissioners:      Pat Apple, Chairman  
                                      Shari Feist Albrecht  
                                      Jay Scott Emler

)

In the Matter of the Investigation of Neal )  
Concrete, Inc., of Wichita, Kansas, Regarding )  
the Violation of the Motor Carrier Safety )  
Statutes, Rules and Regulations and the )      Docket No. 18-TRAM-135-PEN  
Commission's Authority to Impose Penalties, )  
Sanctions and/or the Revocation of Motor )  
Carrier Authority.

**STIPULATED SETTLEMENT AGREEMENT**

This Stipulated Settlement Agreement (Agreement) is entered into by and between the Staff of the Corporation Commission of the State of Kansas (Staff and Commission, respectively), and Neal Concrete, Inc., (Respondent). Its effective date will be the date the Commission enters an order approving or amending the terms of the Agreement.

**I. JURISDICTION**

1. Pursuant to K.S.A. 2016 Supp. 66-1,108b, 66-1,111, 66-1,112, 66-1,114, 66-1,114b and 66-1,115, and amendments thereto, the Commission is given full power, authority and jurisdiction to supervise and control motor carriers, as defined in K.S.A. 2016 Supp. 66-1,108, doing business or procuring business in Kansas, and is empowered to do all things necessary and convenient for the exercise of such power, authority and jurisdiction.

2. Pursuant to K.S.A. 2016 Supp. 66-1,129a, 66-1,130 and 66-1,142b, and amendments thereto, the Commission may suspend operations, revoke or amend certificates, and initiate sanctions or fines against every motor carrier and every person who violates any provision of

Kansas law in regard to the regulation of such motor carriers and persons, or who fails to obey any order, decision or regulation of the Commission.

3. The Commission has the authority, pursuant to K.A.R. 82-1-237, to investigate a party under its jurisdiction.

## **II. BACKGROUND**

1. Under the above jurisdiction and authority, on August 30, 2017, Commission Staff (Staff) Special Investigator Gary Goeller conducted a compliance review on Respondent's motor carrier operations. As a result of the review, Investigator Goeller identified six (6) violations of the Motor Carrier Safety Regulations.

2. On September 21, 2017, the Commission issued a Penalty Order in this docket assessing a \$4,400 civil penalty against Respondent.

3. To date, Respondent's unpaid balance on the civil penalty assessed in the above Penalty Order is \$2,200.

4. On Wednesday, October 20, 2017, Kelli Neal, of Neal Concrete, Inc., and Ahsan Latif, Litigation Counsel for Staff (Staff), informally discussed the possibility of a settlement. During the informal discussions, Staff and Respondent were able to reach mutually agreeable terms in anticipated settlement of the issues. It was agreed that Staff would subsequently reduce the terms to writing and forward the draft to Respondent for approval and signature.

## **III. TERMS OF THE STIPULATED SETTLEMENT AGREEMENT**

5. The parties agree that the Commission has jurisdiction and authority over this matter.

6. The parties also agree that adoption of this Agreement is in the public interest and that the Commission should approve the stipulations as set forth below.

7. Respondent stipulates to the violations as listed in the Commission's Penalty Order, which are hereby incorporated by reference.

8. Respondent stipulates that he has submitted a corrective action plan to bring the motor carrier operation into compliance with both state and federal motor carrier safety regulations and will maintain such compliance into the future.

9. Respondent, therefore, agrees to pay fines totaling \$4,400 for the above violations. Staff agrees to extend the timeline for total payments due to February 28, 2018, to be repaid as follows: Respondent will make one \$2,200 payment on or before October 31, 2017. The remaining \$2,200 will be paid in four payments of \$550, each due before the last day of each month until February 28, 2018. Payments shall be made payable to the Kansas Corporation Commission and mailed to the Kansas Corporation Commission, Legal Division, 1500 S.W. Arrowhead Road, Topeka, Kansas, 66604-4027. ***Respondent shall include the docket number of these proceedings (18-TRAM-135-PEN) in the memo field of the payment. Respondent understands and agrees that failure to make the payment will result in the entire remaining balance becoming due in full at that time, as well as the immediate suspension of the carrier's operating authority (if then applicable) without further notice.***

10. Respondent acknowledges that at least one safety compliance review will be conducted within 18 months from the date this Agreement is approved or amended pursuant to entry of an order by the Commission. Staff will contact Respondent at a later date to determine an appropriate time for this review.

11. Respondent further agrees to attend a Commission-sponsored safety seminar within the next ninety (90) days from the date this Agreement is approved or amended pursuant to entry of an order by the Commission. Respondent agrees to provide Litigation Counsel with written proof

of attendance. A schedule of dates and locations for the safety seminar can be found at the Commission's website at [http://www.kcc.state.ks.us/trans/safety\\_meetings.htm](http://www.kcc.state.ks.us/trans/safety_meetings.htm).

12. Respondent understands that failure to pay the stipulated civil penalty as set out in ¶ 9 above, could result in the suspension of its authority without further notice and the Commission could submit the matter for judicial enforcement or enforcement through the Kansas Attorney General's Office.

13. Staff agrees to recommend to the Commission that this Agreement be approved. Staff further agrees that upon approval by the Commission, and barring default proceedings pursuant to K.S.A. 2016 Supp. 77-520, as described in ¶ 9 above, this Agreement shall constitute a final resolution of this matter.

#### **IV. RESERVATIONS**

14. This Stipulated Settlement Agreement fully resolves issues specifically addressed between the parties. The terms of this Agreement constitute a fair and reasonable resolution of the issues addressed herein.

15. The terms and provisions of this Agreement have resulted from negotiations between the signatories and are interdependent. In the event the Commission does not approve the terms of the Agreement in total, any party has the option to terminate this Agreement and, if so terminated, none of the signatories hereto shall be bound by, prejudiced, or in any way affected by any of the terms or provisions hereof, unless otherwise provided herein.

16. Unless (and only to the extent) otherwise specified in this Agreement, the signatories to this Agreement shall not be prejudiced, bound by, or affected in any way by the terms of this Agreement: (1) in any future Commission or court proceeding; (2) in any proceeding currently pending before the Commission under a separate docket; and/or (3) in this proceeding, if the

Commission decides not to approve this Agreement in total or in any way conditions its approval of the same. This paragraph is not meant to limit future enforcement of this Agreement, should either party fail to fulfill all terms and provisions.

17. Unless (and only to the extent) otherwise specified in this Agreement, this Agreement does not prejudice or waive any party's legal rights, positions, claims, assertions or arguments in any proceedings in this docket, or any other proceeding before the Commission or in any court.


18. If the Commission approves this Agreement in its entirety and incorporates the same into a final order in this docket, the parties agree to be bound by its terms and the Commission's order incorporating its terms as to all issues addressed herein, and will not appeal the Commission's order.

19. This Stipulated Settlement Agreement shall be binding on all parties upon signing.

IN WITNESS WHERETO, the parties hereby execute and approve this Stipulated Settlement Agreement by subscribing their signatures below.

By:   
Ahsan A. Latif, S.Ct. #24709  
Litigation Counsel  
Kansas Corporation Commission  
1500 S.W. Arrowhead Road  
Topeka, Kansas 66604-4027  
Phone: 785-271-3118  
Fax: 785-271-3124  
Email: a.latif@kcc.ks.gov

Attorney for Commission Staff

By:   
Brian R. Neal, Vice President  
Neal Concrete, Inc.  
1906 W. 69<sup>th</sup> N.  
Wichita, Kansas 67024

Respondent

**VERIFICATION**

**18-TRAM-135-PEN**

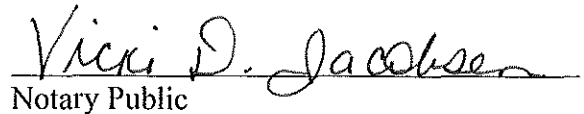
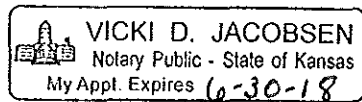
STATE OF KANSAS                     )  
  ) ss.  
COUNTY OF SHAWNEE            )

Ahsan A. Latif, of lawful age, being duly sworn upon his oath deposes and states that he is Litigation Counsel for the State Corporation Commission of the State of Kansas; that he has read and is familiar with the foregoing *Joint Motion for Approval of Stipulated Settlement Agreement*, and attests that the statements therein are true and correct to the best of his knowledge, information and belief.



Ahsan A. Latif, S. Ct. # 24709  
Litigation Counsel  
The State Corporation Commission  
of the State of Kansas

SUBSCRIBED AND SWORN to before me this 26<sup>th</sup> day of October, 2017.

  
Notary Public

My Appointment Expires: June 30, 2018




## CERTIFICATE OF SERVICE

18-TRAM-135-PEN

I, the undersigned, certify that a true and correct copy of the above and foregoing Joint Motion for Approval of Stipulated Settlement Agreement was served via electronic service this 26th day of October, 2017, to the following:

AHSAN LATIF, LITIGATION COUNSEL  
KANSAS CORPORATION COMMISSION  
1500 SW ARROWHEAD RD  
TOPEKA, KS 66604-4027  
Fax: 785-271-3354  
a.latif@kcc.ks.gov

BRIAN R. NEAL, VICE PRESIDENT  
NEAL CONCRETE, INC.  
1906 W 69TH N  
WICHITA, KS 67204-1055  
captainconcrete0472@yahoo.com

  
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Vicki Jacobsen