



1500 SW Arrowhead Road
Topeka, KS 66604-4027

Phone: 785-271-3100
Fax: 785-271-3354
<http://kcc.ks.gov/>

Dwight D. Keen, Chair
Shari Feist Albrecht, Commissioner
Jay Scott Emler, Commissioner

Laura Kelly, Governor

NOTICE OF PENALTY ASSESSMENT
19-TRAM-313-PEN

February 19, 2019

Jason Thomas, Director of Safety
Royer Brothers Tree Service LLC
2401 S Lorraine St
Hutchinson, KS 67501

This is a notice of a penalty assessment against Royer Brothers Tree Service LLC (Royer Brothers Tree Service) for violation(s) of Kansas Motor Carrier Safety Statutes, Rules, and Regulations discovered during a compliance review conducted on January 7, 2019, by Kansas Corporation Commission Special Investigator Verna Jackson. Penalties are assessed in accordance with the FY 2019 Uniform Penalty Assessment Matrix, approved by the Commission on August 7, 2018. For a full description of the penalty(s) and terms and obligations please refer to the Order attached to this notice.

IF YOU ACCEPT THE PENALTY: Royer Brothers Tree Service has been assessed a \$500 penalty. You have thirty (30) days from the date of service of the Penalty Order to pay the penalty. Please remit payment of \$500, through your personal account with the Kansas Corporation Commission's KTRAN system located at <https://puc.kcc.ks.gov/ktran/>. If you have not received a letter from the Transportation Division assigning you a PIN, please contact that Division at 785-271-3145. You must have an account through KTRAN to pay the penalty owed.

The attached Order requires a representative of Royer Brothers Tree Service to attend a Commission-sponsored safety seminar within ninety (90) days from the date of the Order and to provide the undersigned Litigation Counsel with written proof of attendance. A schedule of dates and locations for safety seminars can be found at the Commission's website http://www.kcc.state.ks.us/trans/safety_meetings.htm. The Order also requires your company to submit to one follow-up safety compliance review within 18 months from the date of the Order. Transportation Staff will contact your company at a later date to determine an appropriate time for this review.

IF YOU CONTEST THE PENALTY ORDER: You have the right to request a hearing. A request for hearing must be made in writing, setting forth the specific grounds upon which relief is sought. Royer Brothers Tree Service must file, within fifteen (15) days from the date of service of this Order, the request for hearing with the Commission's electronic filing system found at <https://puc.kcc.ks.gov/e-filing/e-express/>, and mail a copy of the request for hearing to the undersigned at the above address. If you do not have access to the internet, you can mail an original and seven copies of the request to the Secretary to the Commission at 1500 S.W. Arrowhead Road, Topeka, Kansas 66604, and mail a copy to the undersigned Litigation Counsel. K.A.R. 82-1-215; K.S.A. 2018 Supp. 77-542.

IF YOU FAIL TO ACT: Failure to pay the penalty of \$500 within thirty (30) days from the date of service of the Penalty Order or failure to comply with the terms of the Order, or in the alternative, failure to provide a timely written request for a hearing, will result in the Order becoming final and may result in additional sanctions of suspension and/or revocation of your motor carrier operating authority.

Respectfully,



Ansan A. Latif
Litigation Counsel
(785) 271-3118

**THE STATE CORPORATION COMMISSION
OF THE STATE OF KANSAS**

Before Commissioners: Dwight D. Keen, Chair
 Shari Feist Albrecht
 Jay Scott Emler

In the Matter of the Investigation of **Royer**)
Brothers Tree Service LLC, of Hutchinson,)
KS, Regarding the Violation of the Motor)
Carrier Safety Statutes, Rules and Regulations) Docket No. 19-TRAM-313-PEN
and the Commission's Authority to Impose)
Penalties, Sanctions and/or the Revocation of)
Motor Carrier Authority.)

PENALTY ORDER

The above-captioned matter comes before the State Corporation Commission of the State of Kansas (Commission). Having examined its files and records, and being duly advised in the premises, the Commission finds and concludes as follows:

I. JURISDICTION

1. Pursuant to K.S.A. 2018 Supp. 66-1,108b, 66-1,111, 66-1,112, 66-1,114, 66-1,114b and 66-1,115, the Commission is given full power, authority and jurisdiction to supervise and control motor carriers, as defined in K.S.A. 2018 Supp. 66-1,108, doing business or procuring business in Kansas, and is empowered to do all things necessary and convenient for the exercise of such power, authority and jurisdiction.

2. Pursuant to K.S.A. 2018 Supp. 66-1,129a, 66-1,130 and 66-1,142b, the Commission may suspend operations, revoke or amend certificates, and initiate sanctions or fines against every motor carrier and every person who violates any provision of Kansas law in regard

to the regulation of such motor carriers and persons, or who fails to obey any order, decision or regulation of the Commission.

3. The Commission has the authority, pursuant to K.A.R. 82-1-237, to investigate an entity under the Commission's jurisdiction and issue an order on the Commission's own motion when the Commission believes the entity is in violation of the law or any order of the Commission.

II. BACKGROUND

4. Royer Brothers Tree Service LLC (Royer Brothers Tree Service) has private operating authority with the Commission and further operates under USDOT number 1241325.

5. Jason Thomas attended a Commission-sponsored Motor Carrier Education and Safety Instructional Meeting on June 18, 2018, on behalf of Royer Brothers Tree Service.

6. Royer Brothers Tree Service is a private motor carrier which primarily hauls motor vehicles, logs, poles, beams, lumber, building materials, machinery, large objects, liquids/gases in cargo tanks, garbage, refuse, trash, chemicals, farm supply, construction, rock, dirt, and sand.

III. STATEMENT OF FACTS

7. Pursuant to the jurisdiction and authority cited above, on January 7, 2019, Commission Staff (Staff) Special Investigator Verna Jackson conducted a safety compliance review of the operations of Royer Brothers Tree Service. A copy of the safety compliance review is included in this Penalty Order as Attachment "A" and is hereby incorporated by reference. As a result of this investigation, the special investigator identified one (1) violation(s) of the Motor Carrier Safety Regulations.

- a. On July 3, 2018, Royer Brothers Tree Service required or permitted its driver, Marcus Lanning, to operate a CDL-required commercial motor vehicle, a 2000 Freightliner, VIN ending in 36242, GVWR greater than 33,000 lbs., pulling a 2015 WITZ RGN trailer, VIN ending in 000113, in intrastate commerce from Harper, Kansas to Milan, Kansas. This trip is evidenced by Time Sheet, a copy of which is attached hereto as Attachment "B" and is hereby incorporated by reference. At the time of this transportation, Royer Brothers Tree Service failed to maintain the responses of each state agency to the annual driver record inquiry and maintain a copy in the driver's qualification file. This carrier was cited for the same violation during a safety compliance review conducted on May 15, 2017. Royer Brothers Tree Service's failure to maintain a copy of the motor vehicle record received from each State in the driver qualification file is a violation of 49 C.F.R. 391.51(b)(4), adopted by K.A.R. 82-4-3, and authorized by K.S.A. 2018 Supp. 66-1,129. Staff recommends an enhanced fine of \$500.

IV. STAFF'S RECOMMENDATIONS

8. Based upon the available facts, Staff recommends the Commission finds Royer Brothers Tree Service committed one (1) violation(s) of Kansas law that governs motor carriers, including various provisions of the Federal Motor Carrier Safety Regulations (FMCSRs), as adopted by the Kansas Administrative Regulations, and is therefore subject to sanctions or fines imposed by the Commission.

9. Additionally, Staff recommends a civil penalty of \$500 for one (1) violation(s) of the Motor Carrier Safety Statutes, Rules and Regulations.

10. Staff further recommends that a representative from Royer Brothers Tree Service be required to attend a Commission-sponsored safety seminar within ninety (90) days from the date of this Order, and provide Litigation Counsel with written proof of attendance. A schedule of the dates and locations for safety seminars can be found on the Commission's website at http://kcc.ks.gov/trans/safety_meetings.htm.

11. Finally, Staff recommends that Royer Brothers Tree Service submit to one follow-up safety compliance review within eighteen (18) months from the date of this Order. Transportation Staff will contact the motor carrier at a later date to determine an appropriate time for this review.

V. CONCLUSIONS OF LAW

12. The Commission finds it has jurisdiction over Royer Brothers Tree Service because it is a motor carrier as defined in K.S.A. 2018 Supp. 66-1,108.

13. The Commission finds Royer Brothers Tree Service committed one (1) violation(s) of Kansas law that governs motor carriers, including various provisions of the Federal Motor Carrier Safety Regulations (FMCSRs), as adopted by the Kansas Administrative Regulations, and is therefore subject to sanctions or fines imposed by the Commission.

THE COMMISSION THEREFORE ORDERS THAT:

A. Royer Brothers Tree Service LLC, of Hutchinson, KS is hereby assessed a \$500 civil penalty for one (1) violation(s) of Kansas law governing the regulation of motor carriers, the Kansas Administrative Regulations and provisions of the Federal Motor Carrier Safety Regulations, as adopted by the Kansas Administrative Regulations.

B. Royer Brothers Tree Service is hereby ordered to attend a Commission-sponsored safety seminar within ninety (90) days from the date of this Order, and is to provide Litigation Counsel with written proof of attendance.

C. Royer Brothers Tree Service is ordered to submit to one follow-up safety compliance review within eighteen (18) months from the date of this Order. Transportation Staff will contact the carrier to set up the appointment.

D. Pursuant to K.S.A. 2018 Supp. 77-537 and K.S.A. 77-542, any party may request a hearing on the above issue(s) by submitting a written request setting forth the specific grounds upon which relief is sought. The request may be electronically filed with the Commission's electronic filing system at <https://puc.kcc.ks.gov/e-filing/e-express/>, within fifteen (15) days from the date of service of this Order, and a copy of the request mailed to the Litigation Division. If you do not have access to the internet, you can mail an original and seven copies of the request to the Secretary to the Commission, at 1500 S.W. Arrowhead Road, Topeka, Kansas 66604, and mail a copy of the request to Litigation Counsel. A hearing will be scheduled only upon written request. Failure to timely request a hearing will result in a waiver of Royer Brothers Tree Service's right to a hearing, and this Penalty Order will become a Final Order assessing a \$500 civil penalty against Royer Brothers Tree Service, and ordering a representative from Royer Brothers Tree Service to attend a Commission-sponsored safety seminar within ninety (90) days from the date of this Order and provide Litigation Counsel with written proof of attendance, and to submit to a safety compliance review within 18 months from the date of this Order.

E. If a request for hearing is filed, attorneys for all parties shall enter their appearances in Commission proceedings by giving their names and addresses for the record. For civil penalties exceeding \$500, a corporation shall appear before the Commission by its attorney,

unless waived by the Commission for good cause shown and a determination that such waiver is in the public interest. K.S.A. 77-515(c); K.A.R. 82-1-228(d)(2); K.A.R. 82-1-202(a). For civil penalties less than \$500, a corporation may appear by a duly authorized representative of the corporation. K.S.A. 2018 Supp. 66-1,142b(e) and amendments thereto.

F. If you do not request a hearing, the payment of the civil penalty of \$500 is due in thirty (30) days from the date of service of this Order. Payment of \$500 must be made through your personal account with the Kansas Corporation Commission's KTRAN system located at <https://puc.kcc.ks.gov/ktran/>. You must have an account through KTRAN to pay the penalty.

G. Failure to pay the \$500 civil penalty within thirty (30) days from the date of service of this Penalty Order, *see* K.S.A. 66-1,105, and/or failure to comply with the provisions of this Order, may result in suspension of Royer Brothers Tree Service's motor carrier operating authority without further notice. Additionally, the Commission may impose further sanctions to include, but not limited to, the issuance and enforcement of revocation of authority and/or cease and desist orders, and any other remedies available to the Commission by law, without further notice.

H. The Commission retains jurisdiction over the subject matter and the parties for the purpose of entering such further orders, as necessary.

BY THE COMMISSION IT IS SO ORDERED.

Keen, Chair; Albrecht, Commissioner; Emler, Commissioner


Dated: 02/19/2019



Lynn M. Retz
Secretary to the Commission

AAL

ATTACHMENT “A”

	US DOT # 1241325	Legal: ROYER BROTHERS TREE SERVICE LLC Operating (DBA):																											
MC/MX #:		Federal Tax ID: [REDACTED] (EIN)																											
Review Type: Non-ratable Review - CSA																													
Scope: Principal Office		Location of Review/Audit: Other		Territory:																									
<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:15%;">Operation Types</td> <td style="width:15%;">Interstate</td> <td style="width:15%;">Intrastate</td> <td colspan="3"></td> </tr> <tr> <td>Carrier:</td> <td>N/A</td> <td>HM</td> <td colspan="3">Business: Other</td> </tr> <tr> <td>Shipper:</td> <td>N/A</td> <td>N/A</td> <td colspan="3">Gross Revenue: \$6,768,865 62</td> </tr> <tr> <td>Cargo Tank:</td> <td colspan="2">N/A</td> <td colspan="3">for year ending: 12/31/2017</td> </tr> </table>						Operation Types	Interstate	Intrastate				Carrier:	N/A	HM	Business: Other			Shipper:	N/A	N/A	Gross Revenue: \$6,768,865 62			Cargo Tank:	N/A		for year ending: 12/31/2017		
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Shipper:	N/A	N/A	Gross Revenue: \$6,768,865 62																										
Cargo Tank:	N/A		for year ending: 12/31/2017																										
Company Physical Address: [REDACTED] HUTCHINSON, KS 67501-9606																													
Contact Name: Jason Thomas Phone numbers: (1) [REDACTED] E-Mail Address: [REDACTED]																													
Company Mailing Address: [REDACTED] HUTCHINSON, KS 67501																													
Carrier Classification Private Property																													
Cargo Classification <table style="width:100%;"> <tr> <td>Motor Vehicles</td> <td>Logs, Poles, Beams, Lumber</td> <td>Building Materials</td> </tr> <tr> <td>Machinery, Large Objects</td> <td>Liquids / Gases in Cargo Tanks</td> <td>Garbage, Refuse, Trash</td> </tr> <tr> <td>Chemicals</td> <td>Farm Supply</td> <td>Construction</td> </tr> <tr> <td>Other: Rock, Dirt, Sand</td> <td></td> <td></td> </tr> </table>						Motor Vehicles	Logs, Poles, Beams, Lumber	Building Materials	Machinery, Large Objects	Liquids / Gases in Cargo Tanks	Garbage, Refuse, Trash	Chemicals	Farm Supply	Construction	Other: Rock, Dirt, Sand														
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Equipment <table style="width:100%;"> <tr> <th></th> <th>Owned</th> <th>Term Leased</th> <th>Trip Leased</th> <th></th> <th>Owned</th> <th>Term Leased</th> <th>Trip Leased</th> </tr> <tr> <td>Truck</td> <td>23</td> <td>0</td> <td>0</td> <td>Truck Tractor</td> <td>5</td> <td>0</td> <td>0</td> </tr> <tr> <td>Trailer</td> <td>21</td> <td>0</td> <td>0</td> <td></td> <td></td> <td></td> <td></td> </tr> </table>							Owned	Term Leased	Trip Leased		Owned	Term Leased	Trip Leased	Truck	23	0	0	Truck Tractor	5	0	0	Trailer	21	0	0				
	Owned	Term Leased	Trip Leased		Owned	Term Leased	Trip Leased																						
Truck	23	0	0	Truck Tractor	5	0	0																						
Trailer	21	0	0																										
Power units used in the U.S.: 28 Percentage of time used in the U.S. 100																													
Does carrier transport placardable quantities of HM? No Is an HM Permit required? N/A																													
Driver Information <table style="width:100%;"> <tr> <td style="width:15%;"></td> <td style="width:10%;">Inter</td> <td style="width:10%;">Intra</td> <td colspan="3"></td> </tr> <tr> <td>< 100 Miles:</td> <td></td> <td></td> <td colspan="3">Average trip leased drivers/month: 0</td> </tr> <tr> <td>>= 100 Miles:</td> <td></td> <td>29</td> <td colspan="3">Total Drivers: 29</td> </tr> <tr> <td></td> <td></td> <td></td> <td colspan="3">CDL Drivers: 29</td> </tr> </table>							Inter	Intra				< 100 Miles:			Average trip leased drivers/month: 0			>= 100 Miles:		29	Total Drivers: 29						CDL Drivers: 29		
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			CDL Drivers: 29																										





ROYER BROTHERS TREE SERVICE LLC
U S. DOT # 1241325

Review Date
01/07/2019

Part A

QUESTIONS regarding this report or the Federal Motor Carrier Safety or
Hazardous Materials rules may be addressed to the Kansas Corporation Commission at:

1500 SW Arrowhead Road
Topeka, KS 66604-4027
Phone 785-271-3104

This report will be used to assess your safety compliance.

Person(s) Interviewed

Name: Jason Thomas

Title: Director of Safety

Name:

Title:





ROYER BROTHERS TREE SERVICE LLC

U.S DOT #: 1241325

Review Date.

01/07/2019

Part B Violations

1 STATE	Primary: 391.23(c)	Discovered 1	Checked 1	Drivers/Vehicles In Violation 1	Checked 1
Description Failing to investigate driver's background within 30 days of employment. Example Dustin Young 5/7/2018 Harper, KS area Royer Brothers Tree Service LLC failed to investigate the driver's driving record for the preceding 3 years within the first 30 days of employment. MVR was dated 10/22/2018 and the hire date was 11/9/2017.					
2 STATE	Primary: 391.51(b)(4)	Discovered 2	Checked 2	Drivers/Vehicles In Violation 2	Checked 2
Description Failing to maintain the responses of each State agency to the annual driver record inquiry required by 391.25(a). Example Marcus Lanning July 3, 2018 Harper, KS to Milan, KS Royer Brothers Tree Service LLC failed to maintain the responses of each State agency to the annual MVR every 12 months.					
3 STATE	Primary: 391.51(b)(5)	Discovered 2	Checked 2	Drivers/Vehicles In Violation 2	Checked 2
Description Failing to maintain a note relating to the annual review of the driver's driving record as required by 391.25(c)(2). Example Marcus Lanning July 3, 2018 Harper, KS to Milan, KS Royer Brothers Tree Service LLC failed to maintain a note relating to the review of the driver's annual MVR.					
4 STATE	Primary 391.51(b)(6)	Discovered 2	Checked 2	Drivers/Vehicles In Violation 2	Checked 2
Description Failing to maintain a list or certificate relating to violations of motor vehicle laws and ordinances required by 391.27. Example Marcus Lanning July 3, 2018 Harper, KS to Milan, KS Royer Brothers Tree Service LLC failed to maintain a list or certificate relating to the violations discovered on the driver's annual MVR.					
Safety Fitness Rating Information:					
Total Miles Operated	521,220	OOS Vehicle (CR): 0			
Recordable Accidents	1	Number of Vehicle Inspected (CR): 5			
		OOS Vehicle (MCMIS): 0			
		Number of Vehicles Inspected (MCMIS): 0			





ROYER BROTHERS TREE SERVICE LLC
U S DOT #: 1241325

Review Date:
01/07/2019

Part B Violations

Your proposed safety rating is :

This Review is not Rated.





ROYER BROTHERS TREE SERVICE LLC
U S DOT #. 1241325

Review Date:
01/07/2019

Safety Management Process Breakdowns and Remedies

1. For all Investigations:

- Understand Why Compliance Saves Time and Money: Compliance with FMCSRs will not only save lives, but also saves your business time and money. Tracking how much your business spends on non-compliance activities can help you understand the many benefits of compliance to your business and why safety is good business
- Document and Follow Through on Action Plans: Document and follow through on action plans to ensure the actions you are taking are creating improvement in safety management and compliance.

• NOTICE: A pattern and/or repeated violations of the same or related acute or critical regulations (violations of the same Part in Title 49, Code of Federal Regulations) will cause the maximum penalties allowed by law to be assessed under Section 222 of the Motor Carrier Safety Improvement Act of 1999 (MCSIA). A pattern of violations means two or more violations of acute and/or critical regulations in three or more Parts of Title 49, Code of Federal Regulations discovered during any eligible investigation. Repeated violations means violation(s) of an acute regulation of the same Part of Title 49, Code of Federal Regulations discovered in an investigation after one or more closed enforcement actions within a six year period and/or violation(s) of a critical regulation in the same Part of Title 49, Code of Federal Regulations discovered in an investigation after two or more closed enforcement actions within a six year period.

- NOTICE: 49 CFR Part 391.23 requires prospective employers to, at a minimum, investigate a driver's employment information, crash record, and alcohol and controlled substances history from all employers the driver worked for within the previous 3 years.

The Pre-Employment Screening Program (PSP) is a screening tool that assists motor carriers in investigating crash history and roadside safety performance of prospective drivers. The PSP allows motor carriers to purchase 5 years of crash data and 3 years of roadside inspection data from the Federal Motor Carrier Safety Administration's (FMCSA) Motor Carrier Management Information System (MCMIS). Records are available 24 hours a day via Web request. Motor carriers should visit the following website for more information

<http://www.psp.fmcsa.dot.gov/Pages/default.aspx>

- All motor carriers and truck drivers are needed to fight against terrorism and hijacking. You could be a target. Protect yourself, your trucks, your cargo, and your facilities. Discuss with your employees/drivers the "Security Measures for Truck Drivers and Companies" which were provided and reviewed with motor carrier official. Motor carriers should visit the following website for more information

<http://www.fmcsa.dot.gov/documents/Hijacking-Brochure.pdf>

FMCSA recently announced planned improvements to the Carrier Safety Measurement System (SMS) which was implemented in December 2010 as part of the Agency's broader Compliance, Safety, Accountability (CSA) initiative. A preview of these improvements is currently available to motor carriers. The system changes are scheduled to be available to the public in July 2012. There will be additional opportunity for public comment on the changes after the preview period ends in July 2012. The improvements to SMS are based on ongoing analysis and feedback from enforcement personnel, the motor carrier industry, and other stakeholders. The changes more effectively identify and prioritize high-risk and other unsafe motor carriers for enforcement interventions designed to reduce commercial motor vehicle crashes and hazardous materials incidents. Motor carriers currently have the ability to preview how the improvements impact their individual safety data in SMS. These improvements include: (1) Changes to the SMS methodology that identify higher risk carriers while addressing industry biases. (2) better applications of SMS results for Agency interventions by more accurately identifying safety sensitive carriers (i.e., carriers transporting people and carriers hauling hazardous materials (HM)), so that such firms can be selected for CSA interventions at more stringent levels; and, (3) more specific fact-based displays of SMS results on the SMS Web site. The data preview may be found at <http://csa.fmcsa.dot.gov/>. During the data preview period, the Agency requests comments on the impacts of the changes.

The KCC requires that you prepare a corrective action letter, addressing the measures taken to correct the violations identified within this report. Submit this letter within 30 days outlining the carrier's updated changes to their policies and procedures regarding all listed violations. Explain in detail how, as a carrier representative, you will rectify these deficiencies and prevent their reoccurrence going forward. Include any supporting documentation and evidence as indicated in the recommendations above, (example: vehicle inspections performed, proof of drug and alcohol testing in place, etc.) necessary to prove that corrective action has been taken. Mail the letter along with copies of your supporting evidence to:

Kansas Corporation Commission
Attn. Gary Davenport
1500 SW Arrowhead Rd
Topeka, KS 66604-4027





ROYER BROTHERS TREE SERVICE LLC
U S DOT # 1241325

Review Date
01/07/2019

Safety Management Process Breakdowns and Remedies

2. DRIVER FITNESS BASIC PROCESS BREAKDOWN. Monitoring and Tracking

DESCRIPTION OF PROCESS BREAKDOWN: Royer Brothers Tree Service LLC failed to investigate the driver's previous driving record within 30 days of the driver's hire date. Royer Brothers Tree Service LLC failed to obtain and maintain the driver's annual driving record every 12 months. If the motor carrier fails to obtain the driver's driving record within 30 days, the motor carrier cannot validate that the driver is valid to drive the vehicle assigned. The motor carrier does not know if the driver has a valid license with the correct endorsements. Not pulling the MVRs every 12 months, the motor carrier is not following through with verification that the driver maintains a valid license.

BASIC SPECIFIC RECOMMENDED REMEDIES

Implement Safety Improvement Practices: The following are recommended practices related to Monitoring and Tracking Processes.

- Implement an effective process for monitoring and documenting all drivers' job functions, training, qualifications, renewal dates, disclosed medical conditions, and operational restrictions, including those of drivers on a waiver program or with impairments that may be satisfied by a Skill Performance Evaluation certificate, to ensure that assignments are covered by qualified drivers.
- Review and retain each driver's Motor Vehicle Record (MVR) at least annually to ensure compliance with company policies, Federal regulations, and State and local laws and ordinances related to driver fitness. If a driver seems to have numerous violations, the MVR should be reviewed more often. Random MVR checks in addition to annual checks are also effective. File the MVR in each driver's driver qualification file after review.
- Maintain each driver's investigation history file in a secure location with limited and controlled access for as long as the driver is employed and for three years thereafter.
- Maintain roadside inspection reports, moving violation records, training records, the Commercial Driver's License (CDL), the dispatch schedule, bills of lading, and the medical report to help evaluate the performance of all staff involved in qualifying drivers (dispatchers and managers) and the effectiveness of the policies and procedures.
- Evaluate personnel who are monitoring driver fitness performance by making sure they are reviewing driver-assignment and qualification files; applying the performance standards fairly, consistently, and equitably; and documenting the evaluations.
- Regularly evaluate the company's driver fitness-related inspection results via the Federal Motor Carrier Safety Administration's (FMCSA) website at <http://ai.fmcsa.dot.gov/SMS>. Assess violations for process breakdowns and how to remedy them. Use data to help implement an effective process beyond self-reporting to monitor, document, and evaluate compliance with driver-fitness regulations and company policies.
- When monitoring and tracking any driver-fitness-related issue, always assess whether it is individual or represents a systemic breakdown in one of the Safety Management Processes (Policies and Procedures, Roles and Responsibilities, etc.).

Seek Out Resources

- You are encouraged to review your company's record at the following website: <http://ai.fmcsa.dot.gov/SMS>. You will need to use your PIN Number that has been provided by the FMCSA.
- Contact industry associations to get resources and ideas on safety improvement practices from other carriers in the industry.

3. Pursuant to 391.23 (a) (1) An inquiry to each state where the driver held or holds a motor vehicle operator's license or permit during the preceding 3 years to obtain that driver's motor vehicle record.
4. As required by 391.25(a), motor carriers shall, at least once every 12 months, make an inquiry to obtain the motor vehicle record of each driver it employs, covering at least the preceding 12 months, to the appropriate agency of every State in which the driver held a commercial motor vehicle operator's license or permit during the time period.
5. Per 49 CFR 391.51(b)(5) and (b)(6), the motor carrier is required to process an annual review and certification of the driver's driving record - 391.51(b)(5) A note relating to the annual review of the driver's driving record as required by 391.25(c)(2); 391.51(b)(6) A list or certificate relating to violations of motor vehicle laws and ordinances required by 391.27.





ROYER BROTHERS TREE SERVICE LLC
U.S. DOT #: 1241325

Review Date
01/07/2019

Safety Management Process Breakdowns and Remedies

6. I acknowledge that the requirements and/or recommendations resulting from this off-site, focused review have been discussed with me and my questions have been answered. I further acknowledge that KCC recommendations only cover the scope of this focused review, and that areas not reviewed by the KCC have not necessarily been endorsed as compliant. I understand that failure to satisfactorily remedy the above-listed requirements, and/or failure to comply with Kansas Motor Carrier Safety Statutes and Regulations could result in the suspension of Royer Brothers Tree Service LLC's operating authority and/or the impoundment of Royer Brothers Tree Service LLC's commercial motor vehicles.

Carrier Representative

Date

After reviewing these Requirements and/or Recommendations, please sign and date where indicated, and return to the Kansas Corporation Commission, ATTN Verna Jackson, Transportation Division, 1500 SW Arrowhead Rd, Topeka, Kansas 66604-4027, or fax 785-271-3124.

7. I understand that monetary penalties will be assessed as a result of violations found in this compliance investigation. The penalty schedule can be found at this web site: http://kcc.ks.gov/trans.penalty_assessment_table.htm

Carrier Name

Carrier Official



ATTACHMENT “B”



Time Sheet

Name: Malcos Lanning

Week Ending: 7/7/18

Other: Harper

(Examples: Ark Valley, Shop, BPU, Long Fellows, Par, etc...)

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday	Total
Westar Trans- Regular								
Westar Trans- Overtime								
Westar Trans- Double Time								
Westar Tree- Regular								
Westar Tree- Over Time								
Westar Tree- Double Time								
Other Regular		10	10		10			30
Other- Over Time								
Other- Double Time								
Per Diem		X	X		X			3 days
Vacation								
Holiday				8				8 H
Total		10	10	8	10			38

Comments:

If injured on the job during this time period, you must turn in an Incident report to your supervisor

*** Si se lesiona en el trabajo durante este periodo, tiene que entregar un reporte de accidente a su supervisor***

I was NOT injured on the job this week ☒

I was injured on the job this week ☐

NO me lesione en el trabajo esta semana

Me lesione en el trabajo esta semana

Employee's Signature: [Signature]

Date: 7/5/18

CERTIFICATE OF SERVICE

19-TRAM-313-PEN

I, the undersigned, certify that the true copy of the attached Order has been served to the following parties by means of first class mail/hand delivered on 02/20/2019.

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/S/ DeeAnn Shupe

DeeAnn Shupe