1500 SW Arrowhead Road Topeka, KS 66604-4027 Kansas Corporation Commission

20231116132308 Kansas Corporation Complex 785-271-3100 Complex 85-271-3354 http://kcc.ks.gov/

Laura Kelly, Governor

Andrew J. French, Chairperson Dwight D. Keen, Commissioner Annie Kuether, Commissioner

#### NOTICE OF PENALTY ASSESSMENT 24-TRAM-365-PEN

November 16, 2023

Mark Augustine, CEO Triplett Inc. P.O. Box 647 Salina, KS 67401

This is a notice of a penalty assessment against Triplett Inc. for violation(s) of Kansas Motor Carrier Safety Statutes, Rules, and Regulations discovered during a compliance review conducted on October 17, 2023, by Kansas Corporation Commission Special Investigator Greg Askren. Penalties are assessed in accordance with the FY 2024 Uniform Penalty Assessment Matrix, approved by the Commission on June 29, 2023. For a full description of the penalty(s) and terms and obligations please refer to the Order attached to this notice.

**IF YOU ACCEPT THE PENALTY:** Triplett Inc. has been assessed a \$1,500 penalty. You have thirty (30) days from the date of service of the Penalty Order to pay the penalty. Please remit payment of \$1,500, through your personal account with the Kansas Corporation Commission's Kansas Trucking Regulatory Assistance Network (KTRAN) system located at https://puc.kcc.ks.gov/ktran/. If you have not received a letter from the Transportation Division assigning you a PIN, please contact that Division at 785-271-3145. You must have an account through KTRAN to pay the penalty owed.

**IF YOU CONTEST THE PENALTY ORDER: You have the right to request a hearing.** A request for a hearing must be made in writing, setting forth the specific grounds upon which relief is sought. Triplett Inc. must file, within fifteen (15) days from the date of service of this Order, the request for hearing with the Commission's electronic filing system found at https://puc.kcc.ks.gov/e-filing/e-express/, and mail a copy of the request for hearing to the undersigned at the above address. If you do not have access to the internet, you can mail an original and seven copies of the request to the Executive Director at 1500 S.W. Arrowhead Road, Topeka, Kansas 66604, and mail a copy to the undersigned Litigation Counsel.<sup>1</sup>

**IF YOU FAIL TO ACT:** Failure to pay the penalty of \$1,500 within thirty (30) days from the date of service of the Penalty Order or failure to comply with the terms of the Order, or in the alternative, failure to provide a timely written request for a hearing, will result in the Order becoming final and may result in additional sanctions of suspension and/or revocation of your motor carrier operating authority.

Respectfully,

Isl Carly R. Masenthin Carly R. Masenthin Senior Litigation Counsel (785) 271-3265 c.masenthin@kcc.ks.gov

<sup>&</sup>lt;sup>1</sup> K.A.R. 82-1-215; K.S.A. 77-542.

#### THE STATE CORPORATION COMMISSION OF THE STATE OF KANSAS

Before Commissioners:	Andrew J. French, Chairperson
	Dwight D. Keen
	Annie Kuether

In the Matter of the Investigation of **Triplett** ) Inc. of Salina, Kansas, Regarding the ) Violation(s) of the Motor Carrier Safety Statutes, ) Rules and Regulations and the Commission's ) Authority to Impose Penalties, Sanctions and/or ) the Revocation of Motor Carrier Authority. )

) Docket No. 24-TRAM-365-PEN

#### PENALTY ORDER

)

The above-captioned matter comes before the State Corporation Commission of the State of Kansas (Commission). Having examined its files and records, and being duly advised in the premises, the Commission finds and concludes as follows:

1. On October 17, 2023, Commission Staff Special Investigator (SI) Greg Askren completed a safety compliance investigation of the operations of Triplett Inc. (or, Carrier). Triplett Inc. is a motor carrier as defined in 49 C.F.R. 390.5, and operates under USDOT number 569403.

2. On October 27, 2023, Staff submitted its Report and Recommendation (R&R), attached hereto as Attachment "A" and is hereby incorporated by reference, recommending a penalty of \$1,500 to be issued to Triplett Inc. based on the frequency, timeline and severity of the violations discovered. Staff's R&R indicates that as a result of the safety compliance investigations, SI Greg Askren identified three (3) violations, accounting for three (3) counts, of

the Motor Carrier Safety Regulations (MCSRs), which carry a penalty according to the FY2024 Uniform Penalty Assessment Matrix.<sup>2</sup>

3. Staff further recommends Triplett Inc. submit a written, comprehensive corrective action plan (CAP) to Transportation Staff within thirty (30) days of the date of this order, documenting the violation(s) described in this Penalty Order, including specific and detailed information explaining Carrier's efforts and concrete steps taken to ensure the violation(s) do not occur in the future.<sup>3</sup>

4. Finally, Staff recommends that Triplett Inc. submit to one follow-up safety compliance review within eighteen (18) months from the date of this Order.<sup>4</sup> Transportation Staff will contact the motor carrier at a later date to determine an appropriate time for this review.

5. Pursuant to K.S.A 66-1,108b, 66-1,111, 66-1,112, and 66-1,114b, the Commission is given full power, authority and jurisdiction to supervise and control motor carriers, as defined in 49 C.F.R. Part 390.5 as adopted by K.A.R. 82-4-3f, doing business or procuring business in Kansas, and is empowered to do all things necessary and convenient for the exercise of such power, authority and jurisdiction.

6. Pursuant to K.S.A. 66-1,129a, 66-1,130 and 66-1,142b, the Commission may suspend operations, revoke or amend certificates, and initiate sanctions or fines against every motor carrier and every person who violates any provision of Kansas law in regard to the regulation of such motor carriers and persons, or who fails to obey any order, decision or regulation of the Commission.

 $<sup>^2</sup>$  Order Designating Guidance Document and Approving Staff's Use of the Transportation Division's Uniform Penalty Assessment Table, pp. 11 – 26 (Jun. 29, 2023) ("Penalty Matrix").

<sup>&</sup>lt;sup>3</sup> See Staff's Report and Recommendation, p. 3 (Oct. 27, 2023).

<sup>&</sup>lt;sup>4</sup> See id.

7. The Commission has the authority, pursuant to K.A.R. 82-1-237, to investigate an entity under the Commission's jurisdiction and issue an order on the Commission's own motion when the Commission believes the entity is in violation of the law or any order of the Commission.

#### IT IS THEREFORE, BY THE COMMISSION ORDERED THAT:

A. Triplett Inc., of Salina, Kansas is hereby assessed a \$1,500 civil penalty for three
(3) violations, accounting for three (3) counts, of Kansas law governing the regulation of motor carriers, the Kansas Administrative Regulations and provisions of the Federal Motor Carrier Safety Regulations, as adopted by the Kansas Administrative Regulations.

B. Triplett Inc. is hereby ordered to submit a written, comprehensive corrective action plan (CAP) to Transportation Staff within thirty (30) days of the date of this order, documenting the violation(s) described in this Penalty Order, including specific and detailed information explaining its efforts and concrete steps taken to ensure the violation(s) do not occur in the future.

C. Triplett Inc. is ordered to submit to one follow-up safety compliance review within eighteen (18) months from the date of this Order. Transportation Staff will contact Triplett Inc. to set up the appointment.

D. Pursuant to K.S.A. 77-537 and K.S.A. 77-542, any party may request a hearing on the above issue(s) by submitting a written request setting forth the specific grounds upon which relief is sought. The request may be electronically filed with the Commission's electronic filing system at https://puc.kcc.ks.gov/e-filing/e-express/, within fifteen (15) days from the date of service of this Order, and a copy of the request mailed to the Litigation Division. If you do not have access to the internet, you can mail an original and seven copies of the request to the

Executive Director at 1500 S.W. Arrowhead Road, Topeka, Kansas 66604, and mail a copy of the request to Litigation Counsel. A hearing will be scheduled only upon written request. Failure to timely request a hearing will result in a waiver of Triplett Inc.'s right to a hearing, and this Penalty Order will become a Final Order.

E. If a request for hearing is filed, attorneys for all parties shall enter their appearances in Commission proceedings by giving their names and addresses for the record. For civil penalties exceeding \$500, a corporation shall appear before the Commission by its attorney, unless waived by the Commission for good cause shown and a determination that such waiver is in the public interest.<sup>5</sup>

F. If you do not request a hearing, the payment of the civil penalty of \$1,500 is due in thirty (30) days from the date of service of this Order. Payment of \$1,500 must be made through your personal account with the Kansas Corporation Commission's Kansas Trucking Regulatory Assistance Network (KTRAN) system located at <u>https://puc.kcc.ks.gov/ktran/</u>. You must have an account through KTRAN to pay the penalty.

G. Failure to pay the \$1,500 civil penalty within thirty (30) days from the date of service of this Penalty Order and/or failure to comply with the provisions of this Order may result in suspension of Triplett Inc.'s motor carrier operating authority without further notice.<sup>6</sup> Additionally, the Commission may impose further sanctions to include, but not limited to, the issuance and enforcement of revocation of authority and/or cease and desist orders, and any other remedies available to the Commission by law, without further notice.

<sup>&</sup>lt;sup>5</sup>. K.S.A. 77-515(c); K.A.R. 82-1-228(d)(2); K.A.R. 82-1-202(a).

<sup>&</sup>lt;sup>6</sup> K.S.A. 66-1,105.

### BY THE COMMISSION IT IS SO ORDERED.

French, Chairperson; Keen, Commissioner; Kuether, Commissioner

Dated: 11/16/2023

Lynn M. Ref Lynn M. Retz

Executive Director

CRM

# ATTACHMENT "A"

Transportation Division 1500 SW Arrowhead Road Topeka, KS 66604-4027

Andrew J. French, Chairperson Dwight D. Keen, Commissioner Annie Kuether, Commissioner



Phone: 785-271-3145 Fax: 785-271-3124 http://kcc.ks.gov/

Laura Kelly, Governor

### REPORT AND RECOMMENDATION TRANSPORTATION DIVISION

- TO: Andrew J. French, Chairperson Dwight D. Keen, Commissioner Annie Kuether, Commissioner
- **FROM:** Gary Davenport, Deputy Director of Transportation Jared Smith, Public Service Executive
- **DATE:** October 27, 2023

### SUBJECT: Docket No. 24-TRAM-365-PEN In the Matter of the Investigation of Triplett Inc of Salina, Kansas Regarding the Violation of the Motor Carrier Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority

### **EXECUTIVE SUMMARY:**

Triplett Inc is a motor carrier (MC) possessing public for-hire operating authority from the Commission, primarily hauling liquids and gases. Triplett Inc operates under USDOT 569403. On October 17, 2023, Commission Staff Special Investigator (SI) Greg Askren completed a safety compliance investigation of the operations of Triplett Inc. A copy of the safety compliance report is attached hereto as **Exhibit 1** and is hereby incorporated by reference. As a result of this investigation, the SI identified three (3) violations, accounting for three (3) specific counts, of the Motor Carrier Safety Regulations (MCSRs), which carry a penalty according to the FY2024 Uniform Penalty Assessment Matrix approved by the Commission. Based on the frequency, timeline and severity of the violations discovered by the SI, Staff recommends penalty of \$1,500 be issued to the MC.

### **DISCUSSION AND ANALYSIS:**

On October 17, 2023, Commission Staff SI Greg Askren conducted a safety compliance investigation of the operations of Triplett Inc. The investigation covers a 365-day period. Each specific count is detailed below.

### Count One (1 of 3)

Jurisdiction: 49 C.F.R. 367 – Failure to Pay Unified Carrier Registration (UCR) Fees Intent of Law: Requires the MC to pay the appropriate UCR fees as set out in 49 C.F.R. 367. Supporting Documents: On August 26, 2023, Triplett Inc required or permitted its driver to operate a commercial driver's license (CDL) required commercial motor vehicle (CMV)a 2020 Freightliner Cascadia, VIN ending in 6185, GVWR 52350 lbs., pulling a 2012 Heil tanker trailer, VIN ending in 7364, GVWR 68000 lbs) without having paid the appropriate UCR fees prior to the transport. This violation was discovered in the course of SI Askren's investigation and is evidenced in the safety compliance report attached as Exhibit 1. Driver operated in intrastate commerce from Wichita Ks to Salina Ks. This transport is evidenced by driver Patricia Bussart's Bill of Lading (BOL), with load number WB1178471003, attached hereto as Exhibit 2. A copy of the MC's USDOT Profile is attached hereto as Exhibit 3. Although Triplett Inc has declared itself to be an intrastate carrier, its USDOT Profile states that it also possesses an MC Number. An MC Number is a unique identifier assigned by the Federal Motor Carrier Safety Administration (FMCSA) to MCs that transport interstate cargo both inside their state and crossing over state lines. MCs possessing an MC Number are required to pay UCR fees. Triplett Inc did not pay its UCR fees until October 13, 2023, after the date of this transport. A copy of the MC's UCR payment receipt is attached hereto as Exhibit 4.

<u>Violation</u>: At the time of the above-described transport, Triplett Inc had not paid the appropriate Unified MC Registration (UCR) fees as set out in 49 C.F.R. 367 (Exhibit 1, Page 3). (One 1) violation was discovered.

**Recommendation:** Staff recommends a fine of \$500.

### Count Two (2 of 3)

Jurisdiction: 49 C.F.R. 382.701(a), adopted by K.A.R. 82-4-3c, and authorized by K.S.A. 66-1,129.

**Intent of Law:** The MC must conduct a pre-employment inquiry into its drivers with the FMCSA Drug and Alcohol Clearinghouse (DACH). The MC must conduct a full inquiry for a pre-employment check.

**Supporting Documents**: This violation took place during the transport described in Count One, above, and is detailed further in the safety compliance report attached as **Exhibit 1**. The MC allowed Driver Patricia Bussart to conduct transport without having conducted a full preemployment inquiry with the DACH. A copy of the MC DACH search, attached hereto as **Exhibit 5**, evidences Triplett Inc's failure to conduct a pre-employment inquiry. The MC DACH search confirms only a "limited" inquiry was conducted, which is not sufficient under 49 C.F.R. 382.701(a).

<u>Violation</u>: Triplett Inc failed to conduct a pre-employment inquiry in DACH (Exhibit 1, Page 3). One (1) violation was discovered.

**Recommendation:** Staff recommends a fine of \$500.

### Count Three (3 of 3)

Jurisdiction: K.S.A. 66-1,111 and 49 C.F.R. 392.2, adopted by K.A.R. 82-4-3h, and authorized by K.S.A. 66-1,129.

<u>Intent of Law</u>: The MC must obtain proper KCC authority to operate as a Public (For-Hire) MC before conducting intrastate commercial operations.

**Supporting Documents:** This violation took place during the transport described in Count One, above and is supported by the safety compliance report attached as **Exhibit 1**. Triplett, Inc conducted transport without proper KCC Motor Carrier operating authority.

**Violation:** During the course of the investigation, SI Askren discovered Triplett, Inc had failed to obtain proper KCC Public Motor Carrier operating authority Inc prior to the transport described in Count One (**Exhibit 1, Page 3 to 4**). One (1) violations was discovered.

**Recommendation:** Staff recommends a fine of \$500.

#### **<u>RECOMMENDATION</u>**:

Transportation Staff recommends the Commission find Triplett Inc committed three (3) violation(s), accounting for three (3) specific counts, of Kansas law that governs MCs, including various provisions of the Federal Motor Carrier Safety Regulations (FMCSRs), as adopted by the Kansas Administrative Regulations (K.A.R.s), and is therefore subject to sanctions or fines imposed by the Commission.

Due to the frequency, timeline and severity of the violations, Staff recommends a civil penalty of \$1,500 for three (3) violation(s) of the MC Safety Statutes, Rules and Regulations, in accordance with the recommended penalties listed in the applicable Uniform Penalty Assessment Matrix.

Staff further recommends Triplett Inc submit a written, comprehensive Corrective Action Plan (CAP) to Transportation Staff within thirty (30) days of the date of this order, documenting the violation(s) described in the Penalty Order, including specific and detailed information explaining the carrier's efforts and concrete steps taken to ensure the violation(s) do not occur in the future.

Finally, Staff recommends that Triplett Inc submit to one follow-up safety compliance review within eighteen (18) months from the date of the Penalty Order. Transportation Staff will contact the motor carrier at a later date to determine an appropriate time for the review.

EXHIBIT 1

### UNITED STATES DEPARTMENT OF TRANSPORTATION

9	U.S. DOT#: 569403 MC/MX#: 828637	Legal: TRIPLETT INC Operating (DBA):		Investigation Date: 10/17/2023		
Investigation Type: Onsite Comprehensive Investigation		<b>Location of Investigation:</b> Company principal place of business (PPOB) <b>Extent of Operations:</b> Entire Operation				
Physical	Address		Mailing Address			
429 N Oł SALINA, k United Sta	KS 67401		PO Box 647 Salina, KS 67401 United States			
Contact	Information					
Email: juc	Name: JUDD BIRCHER ddb@triplettinc.com 785)823-7839 <b>Cell:</b> ()- <b>Fax:</b>	(785)823-7792				
Business	and Financial					
Name of						
Operatio	on Classification and Type		Cargo			
Type of C	<b>Dperation:</b> HM Intrastate Carrier		Liquids/Gases			
For-Hire N Prope	<b>n Classification</b> Motor Carrier erty azardous Materials					
Hazardo	us Materials					
	the following hazardous materials i pes the company transport?	requiring a Safety	None			
	company have a satisfactory securi in 49 CFR Part 385, Subpart E?	ty program in place as	Yes			
ls an HM	Permit required by any State?		No			
Hazardou	us Materials		Class 3 Flammable and Combustik Combustible Liquid (Refer to 49 C			

Equipment		Driver Information	Driver Information							
	Owned	Term Leased	Trip Leased	Drivers	Drivers					
Straight Trucks	2				Intrastate Interstate					
Truck Tractors	6			< 100 Miles	10					
Trailers	5			>= 100 Miles	>= 100 Miles					
	er units used in the U.S.: 8 Average trip leased driver/month: 0 Drivers with CDL: 10 Total Drivers: 10									
Person(s) Inter	viewed									
Name: JUDD BI	RCHER			Title: CONTROLLE	R/Treasurer					
Name: Ted Aug	gustine			Title: President						
Name: Jeremy \	/opat			Title: Fuel Distribu	tion Manager					
Questions										
Questions about this report or the Federal Motor Carrier1303 SW FIRST AMERICAN PL STE 200Safety or Hazardous Materials regulations may be addressed to the Federal Motor Carrier SafetyTOPEKA, KS 66604-4040Administration at:Phone: (785) 271-1260Fax: (877) 547-0378										
		This report	will be used t	o assess your safety c	compliance.					

### Violations

1. Primary: 367	Violatio	ns Discovere	ed		
Fail to pay Unified Carrier Registration (UCR) fee when classified as an intrastate carrier	Fed	State	Total		
with an active MC #.		1	1		
	Checke	d			
	Fed	State	Total		
		1	1		
	_				
Example/Notes:		/Vehicles in			
The carrier failed to pay UCR fees for 2022 and 2023. The carrier is registered as an	Fed	State	Total		
tate carrier. The company also has an active MC #828637 which requires UCR fees to		•			
be paid.	Checke				
	Fed	State	Total		
2. Primary: 382.701(a)	Violatio	ns Discovere	ed		
<b>Z. Primary: 502.701(a)</b> Failing to conduct a pre-employment query.	Fed	State	Total		
ranny to conduct a pre-employment query.			1		
	1 Checke	d			
	Fed	State	Total		
			5		
	5				
Example/Notes:	<b>Drivers/Vehicles in Violation</b>				
•	Fed	State	Total		
Failing to conduct a pre-employment full query on driver Patricia Bussart . Hire date was 7/29/2023. The company ran a limited on 3/21/2023.	1		1		
	Checke	d	1		
	Fed	State	Total		
	5		5		
2 Primorus 202 2	Violatio	ns Discovere	ed		
3. Primary: 392.2 State Equivalent: KSA 66-1,111	Fed	State	Total		
Operating in Intrastate Commerce without having Kansas Operating Authority.		1	1		
	Checke				
	Fed	State	Total		
		1	1		
			'		
Example/Notes:	Drivers	/Vehicles in	Violation		
•	Fed	State	Total		

Public (For-Hire) MC before conducting intrastate commercial operations. The company's authority was dismissed on 1/24/2014 for non renewal in Ktran.	Checked	1		
	Fed	State	Total	

### **Safety Fitness Rating**

### This Investigation is Not Rated

DataQs: If you dispute the violations recorded in the Violations section of this investigation report, and the violations were not used in the calculation of your safety rating, you may submit a Request for Data Review (RDR) through DataQs. The DataQs system is the method to remove violations that did not affect your safety rating. DataQs is an online system that allows a motor carrier or driver to request and track a review of Federal and State issued data that it believes to be incomplete or incorrect. To submit an RDR, go to https://dataqs.fmcsa.dot.gov.

### **Process Breakdown and Remedies**

None

### Recommendations

### 1. Additional Information

Please visit the CSA outreach site for additional guidance: https://csa.fmcsa.dot.gov.

2. Obtain copies of the regulations, forms, interpretations, manuals.

Copies of the regulations, forms, interpretations, and manuals are available from a variety of sources. Check the FMCSA website for a current list of suppliers. www.fmcsa.dot.gov/safety-security/eta/index.htm

3. A copy of your profile can be obtained by accessing the Portal.

A copy of your carrier profile can be obtained at no cost from the FMCSA Portal (https://portal.fmcsa.dot.gov/login).

### 4. Ensure that all vehicles are properly marked.

Ensure that all vehicles are properly marked with your name or trade name and U.S. DOT number. If your vehicles are also periodically operating for other carriers, they must be marked with that carrier's name and U.S. DOT#.

### 5. Clearinghouse Pre-employment Query

Ensure pre-employment (full) queries are conducted in the Clearinghouse before hiring a driver to perform a safety sensitive function.

### 6. For all Investigations not Unsat.

For all Investigations:

Understand Why Compliance Saves Time and Money: Compliance with FMCSRs will not only save lives, but also saves your business time and money. Tracking how much your business spends on non-compliance activities can help you understand the many benefits of compliance to your business and why safety is good business.

Document and Follow Through on Action Plans: Document and follow through on action plans to ensure the actions you are taking are creating improvement in safety management and compliance.

NOTICE: A pattern and/or repeated violations of the same or related acute or critical regulations (violations of the same Part in Title 49, Code of Federal Regulations) will cause the maximum penalties allowed by law to be assessed under Section 222 of the Motor Carrier Safety Improvement Act of 1999 (MCSIA). A pattern of violations means two or more violations of acute and/or critical regulations in three or more Parts of Title 49, Code of Federal Regulations discovered during any eligible investigation. Repeated violations means violation(s) of an acute regulation of the same Part of Title 49, Code of Federal Regulations discovered in an investigation after one or more closed enforcement actions within a six year period and/or violation(s) of a critical regulation in the same Part of Title 49, Code of Federal Regulations discovered in an investigation after two or more closed enforcement actions within a six year period.

NOTICE: 49 CFR Part 391.23 requires prospective employers to, at a minimum, investigate a driver s employment information, crash record, and alcohol and controlled substances history from all employers the driver worked for within the previous 3 years. The Pre-Employment Screening Program (PSP) is a screening tool that assists motor carriers in investigating crash history and roadside safety performance of prospective drivers. The PSP allows motor carriers to purchase 5 years of crash data and 3 years of roadside inspection data from the Federal Motor Carrier Safety Administration s (FMCSA) Motor Carrier Management Information System (MCMIS). Records are available 24 hours a day via Web request. Motor carriers should visit the following website for more information: http://www.psp.fmcsa.dot.gov/Pages/default.aspx

All motor carriers and truck drivers are needed to fight against terrorism and hijacking. You could be a target. Protect yourself, your trucks, your cargo, and your facilities. Discuss with your employees/drivers the "Security Measures for Truck Drivers and Companies" which were provided and reviewed with motor carrier official. Motor carriers should visit the following website for more information:

http://www.fmcsa.dot.gov/documents/Hijacking-Brochure.pdf

FMCSA recently announced planned improvements to the Carrier Safety Measurement System (SMS) which was implemented in December 2010 as part of the Agency's broader Compliance, Safety, Accountability (CSA) initiative. A preview of these improvements is currently available to motor carriers. The system changes are scheduled to be available to the public in July 2012. There will be additional opportunity for public comment on the changes after the preview period ends in July 2012. The improvements to SMS are based on ongoing analysis and feedback from enforcement personnel, the motor carrier industry, and other stakeholders. The changes more effectively identify and prioritize high-risk and other unsafe motor carriers for enforcement interventions designed to reduce commercial motor vehicle crashes and hazardous materials incidents. Motor carrier s currently have the ability to preview how the improvements impact their individual safety data in SMS. These improvements include: (1) Changes to the SMS methodology that identify higher risk carriers while addressing industry biases; (2) better applications of SMS results for Agency interventions by more accurately identifying safety sensitive carriers (i.e., carriers transporting people and carriers

hauling hazardous materials (HM)), so that such firms can be selected for CSA interventions at more stringent levels; and, (3) more specific fact-based displays of SMS results on the SMS Web site. The data preview may be found at http://csa.fmcsa.dot.gov/. During the data preview period, the Agency requests comments on the impacts of the changes.

7. The KCC requires that you prepare a corrective action plan, addressing the measures taken to correct all the violations identified within this report. Submit this letter within 30 days outlining the carrier s updated changes to their policies and procedures regarding all listed violations. Explain in detail how, as a carrier representative, you will rectify these deficiencies and prevent their reoccurrence going forward. Include any supporting documentation and evidence as indicated in the recommendations above, (example: vehicle inspections performed, proof of drug and alcohol testing in place, etc.) necessary to prove that corrective action has been taken. Submit the letter along with copies of your supporting evidence to:

Email: g.davenport@kcc.ks.gov FAX: 785-271-3124 or mail: Kansas Corporation Commission Attn: Gary Davenport 1500 SW Arrowhead Rd Topeka, KS 66604-4027

Failure to submit the plan & attend a KCC safety presentation within 30 days of the closing of the compliance review can result in further penalties in a follow up review. Carrier\_\_\_\_\_ Date\_\_\_\_\_

I acknowledge that these requirements/violations and/or recommendations have been discussed with me and my questions have been answered. I understand that failure to satisfactorily remedy the above-listed requirements, and/or failure to comply with Kansas Motor Carrier Safety Statutes and Regulations could result in the suspension of Triplett Inc vehicles operating authority and/or the impoundment of Triplett Inc vehicles.

Signed \_\_\_\_\_\_ Date\_\_\_\_\_

I understand that monetary penalties will be assessed as a result of violations found in this compliance review. Carrier representative:\_\_\_\_\_\_ Date:\_\_\_\_\_

Exhibit 2

STRAIGHT BILL OF LADING – SHORT FORM Lading herein described received subject to the classifications and tariffs agreed to in writing or the applicable transportation contract in effect on the date of issue of this Bill of Lading and the applicable terms and conditions of the Uniform Freight Classification 60000 Straight Bill of Lading. The Carrier has made a final inspection to ensure that the trailer is properly sealed and, in addition to the carrier's common law liability, the carrier agrees to indemnify and hold Cargill and its affiliates harmless against any injury, loss, or damage caused by carrier's failure to inspect or negligent inspection.



**ORIGINAL -- NOT NEGOTIABLE** 

Truck Bill of Lading/Loading Order / Delivery Ticket Cargill Agricultural Supply Chain North America

GROSS 7000 GAL	WICHITA BIODIESEL, GOS 1417 N Barwise							
TARE O	Line 2 (701) 282-1618							
NET 6922 GAL	Wichita							
	Kansas							
	S 24/7 Travel Store, Salina, KS H Salina I Kansas							
	P 65401							
ited States. This statement is	LOADING LOCATIONDATE ORDEREDDATE SCHEDUL6077408/17/202308/23/2023			DATE SCHEDULED 08/23/2023				
oduct data sheet and Bill of Ilance with FSMA's Hazard				MERCHANT Ben Snyder				
C.F.R. Part 507, Subport C and 21 C.F.R. Part 117, Subpart C: Cargill's process does not contain a validated preventive control for physical hazards or microbial hazards. This product is intended to be further			CUSTOMER P.O.					
processed. As always, Cargill manufactures these products under strict good manufacturing practices (GMP's) and strives to make high quality and food safe products for their appropriate intended use.			FREIGHT TERMS FOB					
DRIVER'S NAME (FIRST & LAST) pATTY			TRUCK LICENSE PLATE & STATE TRAILER LICENSE PLATE & STATE 77364					
	TARE 0 NET 6922 GAL LOADED BY Truck Driver to solid (non-liquid) products ited States. This statement is only, does not reflect any changes d is consistent with the statements orduct data sheet and Bill of blance with FSMA's Hazard Control requirements under 21 S.R. Part 117, Subpart C: Cargill's I preventive control for physical roduct is Intended to be further scitures these products under strict (s) and strives to make high quality ropriate intended use.	TARE 01417 N BarwiseIARE 01417 N BarwiseNET 6922 GAL(701) 282-1618LOADED BY Truck DriverWichita KansasLOADED BY Truck DriverS 24/7 Travel Store, S H Salina I Kansas P 65401 ToLoadid (non-liquid) products ited States. This statement is only, does not reflect any changes d is consistent with the statements roduct data sheet and Bill of Bilance with FSMA's Hazard Control requirements under 21 R. Part 117, Subpart C: Cargil's I preventive control for physical roduct is Intended to be further retures these products under strict ('s) and strives to make high quality ropriote intended use.LOADING LOCATION 60774T)TRUCK LICENSE PLATE of TRUCK LICENSE PLATE of CARRIER Test Customer	TARE 0       1417 N Barwise         TARE 0       Line 2         NET 6922 GAL       Wichita         LOADED BY       Kansas         Truck Driver       S 24/7 Travel Store, Salina, KS         H Salina       I Kansas         I Kansas       P 65401         To       To         Ito solid (non-liquid) products ited States. This statement is only, does not reflect any changes d is consistent with the statements roduct data sheet and Bill of bilance with FSMA's Hazard Control requirements under 21       LOADING LOCATION 60774       DATE ORDI 08/17/2023         COMMODITY/PRODUCT DESCRIPTIO Biodiesel B999 Methyl Esters       CONTRACT NUMBER WIBIOS S 956-5       CARRIER Test Customer         To       TRUCK LICENSE PLATE & STATE       TRUCK LICENSE PLATE & STATE	TARE 0       1417 N Barwise         Line 2       (701) 282-1618         NET 6922 GAL       Wichita         LOADED BY       Kansas         Truck Driver       S 24/7 Travel Store, Salina, KS         H Salina       I Kansas         P 65401       To         To       DATE ORDERED         Biodiesel Byer       COMMODITY/PRODUCT DESCRIPTION         Biodiesel B999 Methyl Esters       COMMODITY/PRODUCT DESCRIPTION         Biodiesel B999 Methyl Esters       CONTRACT NUMBER         VillOS S 956-5       CUSTOMEF         To       Test Customer       FREIGHT TE         To       TRUCK LICENSE PLATE & STATE       TRAILER LIC				

(1) ensuring that the gross weight of the vehicle after loading will not exceed any applicable legal weight limits, (2) ensuring that the trailer has been properly cleaned in accordance with applicable laws including 21 CFR 589.2000 to prevent any carryover of mammalian protein products from one load to the next, and (3) complying with all requirements and regulations under the Food Safety Modernization Act and the Sanitary Food Transportation Act.

OTHER COMMANDELAL DATA AS ADDUCADUS	CHARANTEED ANALYSIS	
CARRIER NAME: Test Customer	(DOT/MC#):	LOT NUMBER:
DRIVER'S PRINTED NAME:		NET GALLONS: 6922
DRIVER'S SIGNATURE:	DATE: 08/26/2023	GROSS GALLONS: 7000
PRIOR COMMODITY: B99 Biodiesel		TEMPERATURE AT LOAD: 84.2 F
ABOVE COMMODILY RECEIVED BT.		FOR BIODIESEL UNLT

OTHER COMMERCIAL DATA. AS APPLICABLE STANDARD GRADE:	GUARANTEED ANALYSIS:	
SPECIALTY GRADE: B-99.9		
ADDITIVE(S):		
SEAL NUMBER(S):		

EXHIBIT 3

2	
U.S Department of Transportation	1
Federal Motor Carrier	
Safety Administration	
DEASON FOD FILING	10

### **MOTOR CARRIER IDENTIFICATION REPORT** (Application for U.S. DOT NUMBER)

SON FOR FILING

NEW APPLICATION

(Check Only One) X BIENNIAL UPDATE OR CHANGES

OUT OF BUSINESS NOTIFICATION	REAPPLICATION (AFTER REVOCATION OF NEW ENTRANT)

1. NAME OF MOTOR CAI TRIPLETT INC	RRIER				2. TRADE OR D.B.A. (DOING BUSINESS AS) NAME									
3. PRINCIPAL ADDRESS 429 N OHIO			4. CITY SALINA			STATE/P ANSAS	ROVIN	CE		6. ZIP CODE · 67401	+ 4 7. CC	DLONIA (	MEXICO OI	NLY)
8. MAILING ADDRESS PO BOX 647			9. CITY SALINA			10. STATE/PROVINCE11. ZIP CODE+412. COLONIA (MEXICO ON 67401KANSAS67401				DNLY)				
13. PRINCIPAL BUSINESS (785) 823-7839	PHONE NUN	MBER	14. PRIN	CIPAL CONTAC	T CELL PH	HONE NU	MBER			15. PRINCIP (785) 823-		ESS FAX	NUMBER	
16. USDOT NO.	17. MC OR	MX NO.		& BRADSTREE	ΓNO. 19	). IRS/TA	X ID NC	).						
569403	MC8286	637	3138	6980		EIN#				SSN#				
20. INTERNET E-MAIL AD						I. CARRII 743552	ER MILI	EAGE (	to nearest 10	),000 miles fo	r Last Cale	endar Yea	ar) YEAF <b>2022</b>	2
22. COMPANY OPERATIC A. Interstate Carrier	Intrastate Ha	azmat Carrie		te Non-Hazmat (	Carrier D	). Intersta	te Hazn	nat Ship	oper E. Inti	rastate Hazma	at Shipper	F. Veł	nicle Registr	ant Only
23. OPERATION CLASSIF	,	Circle All the												
A. Authorized For-Hire	D.	Private Pas	sengers (Busin	ess)	G. U. S.	. Mail				J. Local G	Bovernmer	nt		
B. Exempt For-Hire	E.	Private Pas	sengers (Non-E	Business)	H. Fede	eral Gove	rnment			K. Indian	Tribe			
C. Private Property	F.	Migrant			I. State	e Governi	ment			L. Other				
24. CARGO CLASSIFICAT	ONS (Ci	ircle All that	Apply)											
A. GENERAL FREIGHT	F. LOGS, POL BEAMS, LU		J. FRI	ESH PRODUCE	Ρ.	GRAIN, FI	EED, HA	Y	V. COM	IMODITIES DR	Y BULK	BB. CO	ONSTRUCTIO	N
	G. BUILDING	JMBER	(K) LIQ	UIDS/GASES	Q.	COAL/CO	KE		W. REF	RIGERATED FO	DOD	CC. W	ATER WELL	
GOODS	MATERIAL	S	L. INT	ERMODAL CONT.	R.	MEAT			X. BEV	ERAGES		DD. O	THER	
C. METAL; SHEETS; COILS; ROLLS	H. MOBILE HO	OMES	M. PA	SSENGERS	S.	GARBAGI	E, REFU	SE, TRA	SH Y. PAP	ER PRODUCTS	3			
	I. MACHINEF LARGE OB		N. OIL	FIELD EQUIPMEN	NT T.	U.S. MAIL			Z. UTIL	.ITY				
E. DRIVE			O. LIV	ESTOCK	U.	CHEMICA	LS		AA FAR	M SUPPLIES				
<u>AWAY/TOWAWAY</u> 25. HAZARDOUS MATERI,	ALS CARRIED	OR SHIPP		that Apply) C-0				ULK) -		ANKS NB(N	ON-BULK	) - IN PA(	CKAGE	
C S A. DIV 1.1 C S B. DIV 1.2 C S C. DIV 1.3 C S D. DIV 1.4 C S E. DIV 1.5 C S F. DIV 1.6 C S G. DIV 2.1 LF C S I. DIV 2.1 LF C S J. DIV 2.2		B       C       S         B       C       S         C       S       S         B       C       S         B       C       S         B       C       S         B       C       S         B       C       S         B       C       S         B       C       S         B       C       S         B       C       S	K. DIV 2.2A ( L. DIV 2.3A M. DIV 2.3B N. DIV 2.3C O. DIV 2.3D P. Class 3 Q. Class 3A R. Class 3B S. COM LIQ T. DIV 4.1	в N в N в N В N В N В N В В N N В В В В В В В В В	BCS	U. DIV V. DIV W. DIV X. DIV Y. DIV Z. DIV AA. DIV BB. DIV CC. DIV DD. CLA	4.3 5.1 5.2 6.2 6.1A 6.1B 6.1 Po 6.1 SC		NBCNBCNBCNBCNBCNBCNBCNBCNBCNBC	S KK. INF S LL. MAI S MM. HAZ	ASS 8 ASS 8A ASS 8B ASS 9 EVATED T ECTIOUS RINE POL ZARDOUS ZARDOUS	WASTE LUTANT SUB(RC	B NB S B NB	
26. NUMBER OF VEHICLE	S THAT CAN	BE OPERA	TED IN THE U.	S.										
Straigl Truck		Trailers	Hazmat Cargo	Hazmat Cargo Tank Trailers	Motor Coach	S	chool B		Mini-bus	Va			Limousine	
THUCK			Tank Trucks			1-8	Numbe	r of veh	icles carrying 16+	number of pass	engers (inc 9-15	luding the	driver) belov 9-15	w 16+
OWNED	2 6	6 !	5											
TERM LEASED														
27. DRIVER INFORMATIO	N		I INTERSTATE	I INTE	RASTATE			ТОТ	I AL DRIVERS	;	Т	I OTAL CE	L DE DRIVERS	3
Within 100-Mile Beyond 100-Mil				0		10 0				10				10
28. IS YOUR U.S. DOT NUMBE If Yes, enter your U.S. DO		ION CURREN	TLY REVOKED E	BY THE FEDERAL	MOTOR CAF	RRIER SAF	ETY AD	MINISTF	RATION?			Yes_	No	<u>x</u>
29. PLEASE ENTER NAME(S)	OF SOLE PRO	PRIETOR(S),	OFFICERS OR P	ARTNERS AND TI	TLES (e.g. PI	RESIDENT	, TREAS	URER,	GENERAL PA	RTNER, LIMITE	D PARTNE	R)		
1. JUDD BIRCHER, CO	NTROLLER/		R			2			(F	Please print N	ame)			_
30. CERTIFICATION STATEM	• •	,	authorized officia	ll)										
I, JUDD BIRCHER	(Please print			, certify that Under pen correct, ar	alties of perju id complete.					ulations and/or I n this report is, 1				
Signature JUDD BIRCHE	R			Date 10	0/13/2023				Titl			1)		
Form MCS-150 (Rev. 3-24	-2005)									(F	<u>lease prin</u> Expirati		07/31/2012	

**EXHIBIT 4** 



## 2023 UCR Registration is VALID!



Confirmation # 000-0419-7870 Registered on: 10/13/2023 09:04 EST Generated: 11/08/2023 14:17 EST

Year:

2023

Paid:	<b>Date</b> 10/13/2023	<b>Bracket</b> Bracket 1 [1 veh.]		Conv. Fee \$1.22	<b>Total</b> \$42.22
Bracket:		0 to 2 vehicle	s [1 vehicle(	(s)]	
USDOT #:		569	9403		
Classifications:	Motor Carri	er			
Legal Name:		TRIPL	ETT INC		
Base State:		Ka	insas	/ X	
		429 N	I OHIO		
Principal:		SALINA	<mark>, KS</mark> 67401	Υ.	
	$v_{r_r}$	τ	US		
Payor:		TRIPL	ETT INC		
	*** Ex	pires: 12/31/2	2023 ***		

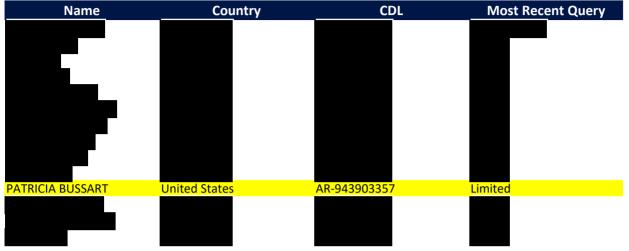
# Exhibit 5

# Report Compliance - DRIVER CHECK (ANNUAL QUERIES CONDUC TRIPLETT INC (USDOT# 569403)

Address:429 N OHIO; SALINA, KS 67401; US

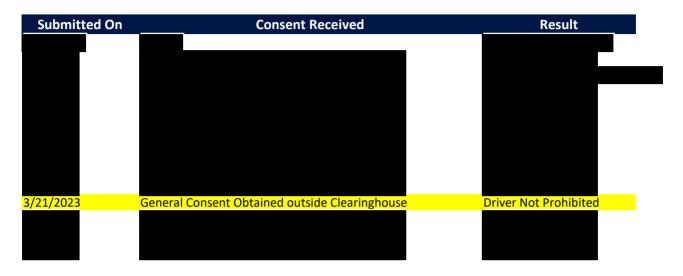
As of:10/12/2023 10:42

Report Generated on : 10/12/2023 10:42



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TED)



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### **CERTIFICATE OF SERVICE**

#### 24-TRAM-365-PEN

I, the undersigned, certify that a true copy of the attached Order has been served to the following by means of

first class mail and electronic service on 11/16/2023

Mark Augustine, CEO Triplett Inc PO Box 647 Salina, KS 67401 juddb@triplettinc.com CARLY MASENTHIN, LITIGATION COUNSEL KANSAS CORPORATION COMMISSION 1500 SW ARROWHEAD RD TOPEKA, KS 66604 c.masenthin@kcc.ks.gov

/S/ KCC Docket Room KCC Docket Room

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