

1500 SW Arrowhead Road Topeka, KS 66604-4027

Dwight D. Keen, Chair Shari Feist Albrecht, Commissioner Jay Scott Emler, Commissioner Phone: 785-271-3100 Fax: 785-271-3354 http://kcc.ks.gov/

Laura Kelly, Governor

#### NOTICE OF PENALTY ASSESSMENT 19-TRAM-422-PEN

April 23, 2019

Christopher Soehner, Manager Seed Solutions, LLC 585 Woofter Ave Colby, KS 67701

This is a notice of a penalty assessment against Seed Solutions, LLC (Seed Solutions) for violation(s) of Kansas Motor Carrier Safety Statutes, Rules, and Regulations discovered during a compliance review conducted on April 3, 2019, by Kansas Corporation Commission Special Investigator Penny Fryback. Penalties are assessed in accordance with the FY 2019 Uniform Penalty Assessment Matrix, approved by the Commission on August 7, 2018. For a full description of the penalty(s) and terms and obligations please refer to the Order attached to this notice.

**IF YOU ACCEPT THE PENALTY:** Seed Solutions has been assessed a \$750 penalty. You have thirty (30) days from the date of service of the Penalty Order to pay the penalty. Please remit payment of \$750, through your personal account with the Kansas Corporation Commission's KTRAN system located at https://puc.kcc.ks.gov/ktran/. If you have not received a letter from the Transportation Division assigning you a PIN, please contact that Division at 785-271-3145. You must have an account through KTRAN to pay the penalty owed.

The attached Order requires a representative of Seed Solutions to attend a Commission-sponsored safety seminar within ninety (90) days from the date of the Order and to provide the undersigned Litigation Counsel with written proof of attendance. A schedule of dates and locations for safety seminars can be found at the Commission's website <a href="http://www.kcc.state.ks.us/trans/safety\_meetings.htm">http://www.kcc.state.ks.us/trans/safety\_meetings.htm</a>. The Order also requires your company to submit to one follow-up safety compliance review within 18 months from the date of the Order. Transportation Staff will contact your company at a later date to determine an appropriate time for this review.

**IF YOU CONTEST THE PENALTY ORDER: You have the right to request a hearing.** A request for hearing must be made in writing, setting forth the specific grounds upon which relief is sought. Seed Solutions must file, within fifteen (15) days from the date of service of this Order, the request for hearing with the Commission's electronic filing system found at https://puc.kcc.ks.gov/e-filing/e-express/, and mail a copy of the request for hearing to the undersigned at the above address. If you do not have access to the internet, you can mail an original and seven copies of the request to the Secretary to the Commission at 1500 S.W. Arrowhead Road, Topeka, Kansas 66604, and mail a copy to the undersigned Litigation Counsel. K.A.R. 82-1-215; K.S.A. 2018 Supp. 77-542.

**IF YOU FAIL TO ACT:** Failure to pay the penalty of \$750 within thirty (30) days from the date of service of the Penalty Order or failure to comply with the terms of the Order, or in the alternative, failure to provide a timely written request for a hearing, will result in the Order becoming final and may result in additional sanctions of suspension and/or revocation of your motor carrier operating authority.

Respectfully,

Alisan A. Latif Litigation Counsel (785) 271-3118

# THE STATE CORPORATION COMMISSION OF THE STATE OF KANSAS

**Before Commissioners:** 

Dwight D. Keen, Chair Shari Feist Albrecht Jay Scott Emler

In the Matter of the Investigation of Seed	)	
Solutions, LLC, of Colby, KS, Regarding the	)	
Violation of the Motor Carrier Safety Statutes,	)	
Rules and Regulations and the Commission's	)	Docket No. 19-TRAM-422-PEN
Authority to Impose Penalties, Sanctions and/or	)	
the Revocation of Motor Carrier Authority.	)	
	)	

### **PENALTY ORDER**

The above-captioned matter comes before the State Corporation Commission of the State of Kansas (Commission). Having examined its files and records, and being duly advised in the premises, the Commission finds and concludes as follows:

#### I. JURISDICTION

- 1. Pursuant to K.A.R. 82-4-1b, 66-1,111, 66-1,112, 66-1,114, 66-1,114b and 66-1,115, the Commission is given full power, authority and jurisdiction to supervise and control motor carriers, as defined in K.A.R. 82-4-1, doing business or procuring business in Kansas, and is empowered to do all things necessary and convenient for the exercise of such power, authority and jurisdiction.
- 2. Pursuant to K.S.A. 2018 Supp. 66-1,129a, 66-1,130 and 66-1,142b, the Commission may suspend operations, revoke or amend certificates, and initiate sanctions or fines against every motor carrier and every person who violates any provision of Kansas law in regard

to the regulation of such motor carriers and persons, or who fails to obey any order, decision or regulation of the Commission.

3. The Commission has the authority, pursuant to K.A.R. 82-1-237, to investigate an entity under the Commission's jurisdiction and issue an order on the Commission's own motion when the Commission believes the entity is in violation of the law or any order of the Commission.

#### II. BACKGROUND

- 4. Seed Solutions, LLC (Seed Solutions) has private and common operating authority with the Commission and further operates under USDOT number 3103772.
  - 5. Seed Solutions is a private and common motor carrier which primarily hauls seed.

#### III. STATEMENT OF FACTS

- 6. Pursuant to the jurisdiction and authority cited above, on April 3, 2019, Commission Staff (Staff) Special Investigator Penny Fryback conducted a safety compliance review of the operations of Seed Solutions. A copy of the safety compliance review is included in this Penalty Order as Attachment "A" and is hereby incorporated by reference. As a result of this investigation, the special investigator identified two (2) violation(s) of the Motor Carrier Safety Regulations.
  - a. On March 22, 2019, Seed Solutions required or permitted its driver, Robert Lee Cotton, to operate a commercial motor vehicle, a 2016 Ford 550, VIN ending in 28249, GVWR 19,500 lbs., in intrastate commerce from St. Francis, Kansas to Goodland, Kansas. This trip is evidenced by Driver's Time Record (100 air-mile radius), a copy of which is attached hereto as Attachment "B" and is hereby incorporated by reference. At the

time of this transportation, Seed Solutions used a driver that was not medically certified as physically fit to operate a commercial motor vehicle. The carrier's failure to confirm that its driver is medically examined and certified prior to requiring or permitting the operation of a commercial motor vehicle and maintaining documentation of the medical certificate in the driver qualification file is a violation of 49 C.F.R. 391.41(a)(1)(i), adopted by K.A.R. 82-4-3g, and authorized by K.S.A. 2018 Supp. 66-1,129. Staff recommends a fine of \$250.

b. During the transportation described in paragraph a, above, Seed Solutions did not have proper Kansas Corporation Commission For-Hire (KAN-C) operating authority to operate point-to-point in Kansas. The carrier's commercial operations of motor vehicles prior to obtaining and maintaining proper Commission authority is a violation of K.S.A. 2018 Supp. 66-1,111 and 49 C.F.R. 392.2, adopted by K.A.R. 82-4-3h, and authorized by K.S.A. 2018 Supp. 66-1,129. Staff recommends a fine of \$500.

#### IV. STAFF'S RECOMMENDATIONS

7. Based upon the available facts, Staff recommends the Commission finds Seed Solutions committed two (2) violation(s) of Kansas law that governs motor carriers, including various provisions of the Federal Motor Carrier Safety Regulations (FMCSRs), as adopted by the Kansas Administrative Regulations, and is therefore subject to sanctions or fines imposed by the Commission.

- 8. Additionally, Staff recommends a civil penalty of \$750 for two (2) violation(s) of the Motor Carrier Safety Statutes, Rules and Regulations.
- 9. Staff further recommends that a representative from Seed Solutions be required to attend a Commission-sponsored safety seminar within ninety (90) days from the date of this Order, and provide Litigation Counsel with written proof of attendance. A schedule of the dates and locations for safety seminars can be found on the Commission's website at http://kcc.ks.gov/trans/safety meetings.htm.
- 10. Finally, Staff recommends that Seed Solutions submit to one follow-up safety compliance review within eighteen (18) months from the date of this Order. Transportation Staff will contact the motor carrier at a later date to determine an appropriate time for this review.

### V. CONCLUSIONS OF LAW

- 11. The Commission finds it has jurisdiction over Seed Solutions because it is a motor carrier as defined in K.A.R. 82-4-1.
- 12. The Commission finds Seed Solutions committed two (2) violation(s) of Kansas law that governs motor carriers, including various provisions of the Federal Motor Carrier Safety Regulations (FMCSRs), as adopted by the Kansas Administrative Regulations, and is therefore subject to sanctions or fines imposed by the Commission.

### THE COMMISSION THEREFORE ORDERS THAT:

A. Seed Solutions, LLC, of Colby, KS is hereby assessed a \$750 civil penalty for two (2) violation(s) of Kansas law governing the regulation of motor carriers, the Kansas Administrative Regulations and provisions of the Federal Motor Carrier Safety Regulations, as adopted by the Kansas Administrative Regulations.

- B. Seed Solutions is hereby ordered to attend a Commission-sponsored safety seminar within ninety (90) days from the date of this Order, and is to provide Litigation Counsel with written proof of attendance.
- C. Seed Solutions is ordered to submit to one follow-up safety compliance review within eighteen (18) months from the date of this Order. Transportation Staff will contact the carrier to set up the appointment.
- D. Pursuant to K.S.A. 2018 Supp. 77-537 and K.S.A. 77-542, any party may request a hearing on the above issue(s) by submitting a written request setting forth the specific grounds upon which relief is sought. The request may be electronically filed with the Commission's electronic filing system at https://puc.kcc.ks.gov/e-filing/e-express/, within fifteen (15) days from the date of service of this Order, and a copy of the request mailed to the Litigation Division. If you do not have access to the internet, you can mail an original and seven copies of the request to the Secretary to the Commission, at 1500 S.W. Arrowhead Road, Topeka, Kansas 66604, and mail a copy of the request to Litigation Counsel. A hearing will be scheduled only upon written request. Failure to timely request a hearing will result in a waiver of Seed Solutions' right to a hearing, and this Penalty Order will become a Final Order assessing a \$750 civil penalty against Seed Solutions, and ordering a representative from Seed Solutions to attend a Commission-sponsored safety seminar within ninety (90) days from the date of this Order and provide Litigation Counsel with written proof of attendance, and to submit to a safety compliance review within 18 months from the date of this Order.
- E. If a request for hearing is filed, attorneys for all parties shall enter their appearances in Commission proceedings by giving their names and addresses for the record. For civil penalties exceeding \$500, a corporation shall appear before the Commission by its attorney,

unless waived by the Commission for good cause shown and a determination that such waiver is

in the public interest. K.S.A. 77-515(c); K.A.R. 82-1-228(d)(2); K.A.R. 82-1-202(a). For civil

penalties less than \$500, a corporation may appear by a duly authorized representative of the

corporation. K.S.A. 2018 Supp. 66-1,142b(e) and amendments thereto.

F. If you do not request a hearing, the payment of the civil penalty of \$750 is due in

thirty (30) days from the date of service of this Order. Payment of \$750 must be made through

your personal account with the Kansas Corporation Commission's KTRAN system located at

https://puc.kcc.ks.gov/ktran/. You must have an account through KTRAN to pay the penalty.

G. Failure to pay the \$750 civil penalty within thirty (30) days from the date of

service of this Penalty Order, see K.S.A. 66-1,105, and/or failure to comply with the provisions

of this Order, may result in suspension of Seed Solutions' motor carrier operating authority

without further notice. Additionally, the Commission may impose further sanctions to include,

but not limited to, the issuance and enforcement of revocation of authority and/or cease and

desist orders, and any other remedies available to the Commission by law, without further notice.

H. The Commission retains jurisdiction over the subject matter and the parties for the

purpose of entering such further orders, as necessary.

BY THE COMMISSION IT IS SO ORDERED.

Keen, Chair; Albrecht, Commissioner; Emler, Commissioner

Dated: 04/

04/23/2019

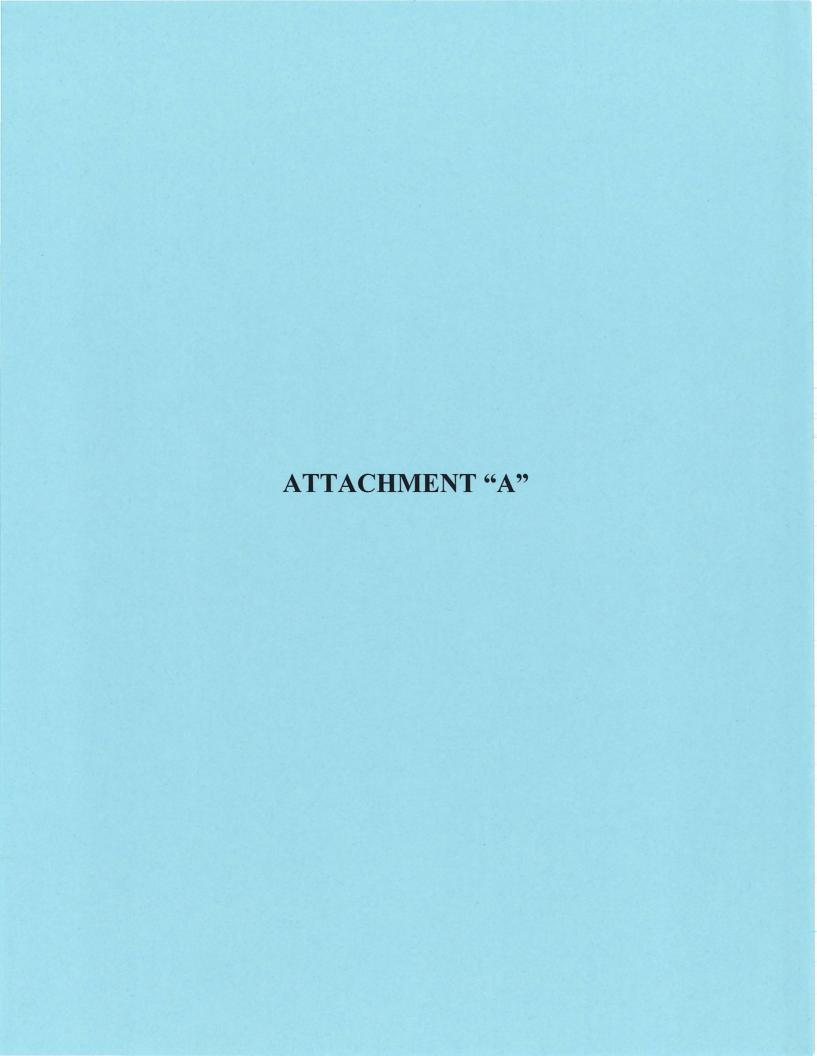
Lynn M. Retz

Secretary to the Commission

Lynn M. Ret

**AAL** 

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US DOT# Legal: SEED SOLUTIONS LLC 3103772 Operating (DBA): Federal Tax ID: (EIN) MC/MX#: 78990 Review Type: Compliance Review (CR) Location of Review/Audit: Company facility in the U.S. Territory: Scope: **Principal Office** Interstate Intrastate Operation Types Non-HM Non-HM Business: Corporation Carrier: for year ending: 12/31/2018 N/A Gross Revenue: N/A Shipper: Cargo Tank: N/A Company Physical Address: **585 WOOFTER AVE COLBY, KS 67701** Contact Name: Chris Soehner Phone numbers: (1) Fax E-Mail Address: Company Mailing Address: **585 WOOFTER AVE** COLBY, KS 67701-1562 **Carrier Classification** Authorized for Hire **Private Property** Cargo Classification Other: Seed Equipment Owned Term Leased Trip Leased Owned Term Leased Trip Leased Truck Power units used in the U.S.: 1 Percentage of time used in the U.S.: 100 Does carrier transport placardable quantities of HM? No is an HM Permit required? N/A **Driver Information** Inter intra Average trip leased drivers/month: 0 < 100 Miles: 1 Total Drivers: 1 >= 100 Miles: **CDL Drivers:** 





U.S. DOT #: 3103772

Review Date: 04/03/2019

### Part A

QUESTIONS regarding this report or the Federal Motor Carrier Safety or Hazardous Materials rules may be addressed to the Office of Motor Carriers at:

Kansas Corporation Commission Attention Gary Davenport 1500 SW Arrowhead Road Topeka, KS 66604-4027

This report will be used to assess your safety compliance.

Person(s) interviewed

Name: Christopher Soehner Name: Tyson Wade Davis Title: Owner
Title: Employee





U.S. DOT#: 3103772

Review Date: 04/03/2019

### **Part B Violations**

1 FEDERAL	Primary: 387.7(d)	Discovered	Checked 1	Drivers/Vehicles In Violation Checked
Example Unit 01 Trip Date: 3/22	tain at principal place of business required proof of finance /2019  MCS 90 on file but had a commercial general liability dec			1,000,000 in generat
liability.	_			
2 FEDERAL	Primary: 391.21(a)	Discovered	Checked 1	Drivers/Vehicles In Violation Checked 1 1
Example Driver Robert Trip Date: 3/22		plication.		
3 FEDERAL	Primary: 391.23(c)	Discovered 1	Checked 1	Drivers/Vehicles In Violation Checked 1 1
Example Driver Robert Trip Date: 3/22			Driver Cotton	

### Description

**FEDERAL** 

Failing to maintain medical examiner's certificate in driver's qualification file.

Primary: 391.51(b)(7)

Example

Driver Robert Lee Cotton Trip Date: 3/22/2019

Seed Solutions L.L.C. had no medical card on file and the MVR that was on file did not contain the medical examiner information.



Discovered

1

Checked

1

Drivers/Vehicles

in Violation Checked

1



U.S. DOT #: 3103772

Review Date: 04/03/2019

#### Part B Violations

5	Primary: 392.2			Drivers/V	ehicles
STATE	Secondary: KSA 68-1,111	Discovered	Checked	In Violation	Checked
0	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	1	1	11	1

Description

Operating a commercial motor vehicle without KCC public carrier (KAN-C) operating Authority.

Driver Robert Lee Cotton Trip Date: 3/22/2019

Carrier operated from Goodland, Kansas to Weskan, Kansas to haul and deliver seed that belongs to Pioneer Seed Company

6	Primary: 396.3(b)(1)			Drivers/V	ehicles
FEDERAL	·	Discovered	Checked	in Violation	Checked
		1	1	1 .	1

Description

Failing to keep a maintenance record which identifies the vehicle, including make, serial number, year, and tire size.

Example Unit 01

Trip Date: 3/22/2019 Driver Robert Lee Cotton

The maintenance record did not identify the vehicle by the make, year, VIN number, tire size and unit number.

7	Primary: 396.3(b)(2)		•	Drivers/V	ehicles
FEDERAL		Discovered	Checked	In Violation	Checked
		1	1	1	1

Description

Failing to have a means of indicating the nature and due date of the various inspection and maintenance operations to be performed.

Example

Unit 01

This carrier did not have a maintenance schedule.

Safety Fitness Rating Information:		OOS Vehicle (CR): 0
Total Miles Operated	14,000	Number of Vehicle Inspected (CR): 0
Recordable Accidents	0	OOS Vehicle (MCMIS): 0
Recordable Accidents/Million Miles	0.00	Number of Vehicles Inspected (MCMIS): 0

our proposed safety rating is :	Rating Factors		Acute	Critical
	Factor 1:	S	0	0
	Factor 2:	S	0	0
SATISFACTORY	Factor 3:	S	0	0
	Factor 4:	S	0	0
	Factor 5:	N	0	0
	Factor 6:	S	-	_

Corrective actions must be taken for any violations (deficiencies) identified on Part B of this report.





U.S. DOT #: 3103772

Review Date: 04/03/2019

### Part B Requirements and/or Recommendations

- 1. Retain on file a properly completed & current copy of your form MCS-90 financial responsibility endorsement.
- 2. Each motor carrier shall maintain a driver qualification (DQ) file for each driver it employs. A driver's qualification file may be conbined with his/her personnel file. The DQ file must include: driver's application for employment, a copy of the motor vehicle record received from each State within 30 days of the hire date, certificate of the driver's road test or a copy of the CDL license, copy of the annual motor vehicle record received from each State agency every 12 months, a note relating to the annual review of the driver's driving record, a list or certificate relating to violations of motor vehicle laws and ordinances, a copy of the medical examiner's certificate, a note relating to the verification of medical examiner being listing on the National Registry of Certified Medical Examiners, and a Skill Performance Evaluation Certificate if applicable due to the drivers inability to pass the physical requirements for a medical certification.
- 3. The qualification file for a driver must include the medical examiner's certificate or a legible copy of the certificate.
- The qualification file must include a note relating to verification of medical examiners listing on the National Registry
  of Certified Medical Examiners.
- 5. Carriers operating in Intrastate commerce as a for hire carrier that operates beyond a three mile radius (3 miles) in Kansas requires carrier to obtain KAN-C operating authority from Kansas Corporation Commission prior to operating.
- 6. Establish a systematic maintenance records program for all vehicles. Maintain a complete file for each subject vehicle, recording all repairs, maintenance and inspection operations performed. Maintenance files must identify the vehicle by make, serial number, year, tire size, and carrier unit number.
- 7. Ensure that you have in writing a means of indicating the nature and due date of various inspection and maintenance operations to be performed.
- 8. •Understand Why Compliance Saves Time and Money: Compliance with FMCSRs will not only save lives, but also saves your business time and money. Tracking how much your business spends on non-compliance activities can help you understand the many benefits of compliance to your business and why safety is good business.
  - •Document and Follow Through on Action Plans: Document and follow through on action plans to ensure the actions you are taking are creating improvement in safety management and compliance.
  - •NOTICE: A pattern and/or repeated violations of the same or related acute or critical regulations (violations of the same Part in Title 49, Code of Federal Regulations) will cause the maximum penalties allowed by law to be assessed under Section 222 of the Motor Carrier Safety Improvement Act of 1999 (MCSIA). A pattern of violations means two or more violations of acute and/or critical regulations in three or more Parts of Title 49, Code of Federal Regulations discovered during any eligible investigation. Repeated violations means violation(s) of an acute regulation of the same Part of Title 49, Code of Federal Regulations discovered in an investigation after one or more closed enforcement actions within a six year period and/or violation(s) of a critical regulation in the same Part of Title 49, Code of Federal Regulations discovered in an investigation after two or more closed enforcement actions within a six year period.
  - •NOTICE: 49 CFR Part 391.23 requires prospective employers to, at a minimum, investigate a driver's employment information, crash record, and alcohol and controlled substances history from all employers the driver worked for within the previous 3 years.

The Pre-Employment Screening Program (PSP) is a screening tool that assists motor carriers in investigating crash history and roadside safety performance of prospective drivers. The PSP allows motor carriers to purchase 5 years of crash data and 3 years of roadside inspection data from the Federal Motor Carrier Safety Administration's (FMCSA) Motor Carrier Management Information System (MCMIS). Records are available 24 hours a day via Web request. Motor carriers should visit the following website for more information:





U.S. DOT #: 3103772

Review Date: 04/03/2019

### Part B Requirements and/or Recommendations

http://www.psp.fmcsa.dot.gov/Pages/default.aspx

•All motor carriers and truck drivers are needed to fight against terrorism and hijacking. You could be a target. Protect yourself, your trucks, your cargo, and your facilities.

For all Investigations that could result in a Penalty Order:

• PLEASE NOTE: The violations discovered during this compliance review may affect the civil penalty proposed in any subsequent Penalty Order. In addition, your history of prior violations of the Federal Motor Carrier Safety Regulations, Federal Hazardous Material Regulations or the Federal Motor Carrier Commercial Regulations may also affect the civil penalty proposed in any subsequent Penalty Order. Your signature for receipt of this report acknowledges your understanding that the violations discovered by the KCC during this review may be used to calculate any civil penalty proposed as a result of this review. Your signature is not an admission of the violations identified.

information on your compliance status, roadside inspections, regulatory changes, accident countermeasures and hazardous material incident prevention manual is available on the Internet at the Federal Motor Carrier Safety Administration's web site at http://www.fmcsa.dot.gov/ and http://www.safer.fmcsa.dot.gov/.

For all Investigations that did not result in a Cooperative Safety Plan:

You must prepare a corrective action letter, addressing the measures taken to correct the violations identified within this report. Submit this letter, and any additional evidence necessary to prove the corrective action has been taken to:

Kansas Corporation Commission Attn: Gary Davenport 1500 SW Arrowhead Road Topeka, KS 66604-4027

I acknowledge that these requirements and/or recommendations have been discussed with me and my questions have been answered. I understand that failure to satisfactorily remedy the above-listed requirements, and/or failure to comply with Kansas Motor Carrier Safety Statutes and Regulations could result in the suspension of Seed Solutions L.L.C. operating authority and/or the impoundment of Seed Solutions L.L.C. vehicles.

I understand that monetary penalties will be assessed as a result of violations forund in this compliance review. The penalty schedule can be found at this web site: http://kcc.ks.gov/trans/penalty\_assessment\_table.htm

X	 	
Signature		
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X		 
Date		



Review Date: 04/03/2019

### Part B Requirements and/or Recommendations

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•All motor carriers and truck drivers are needed to fight against terrorism and hijacking. You could be a target. Protect yourself, your trucks, your cargo, and your facilities.

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Kansas Corporation Commission Attn: Gary Davenport 1500 SW Arrowhead Road Topeka, KS 66604-4027

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Signature

4/3/2019



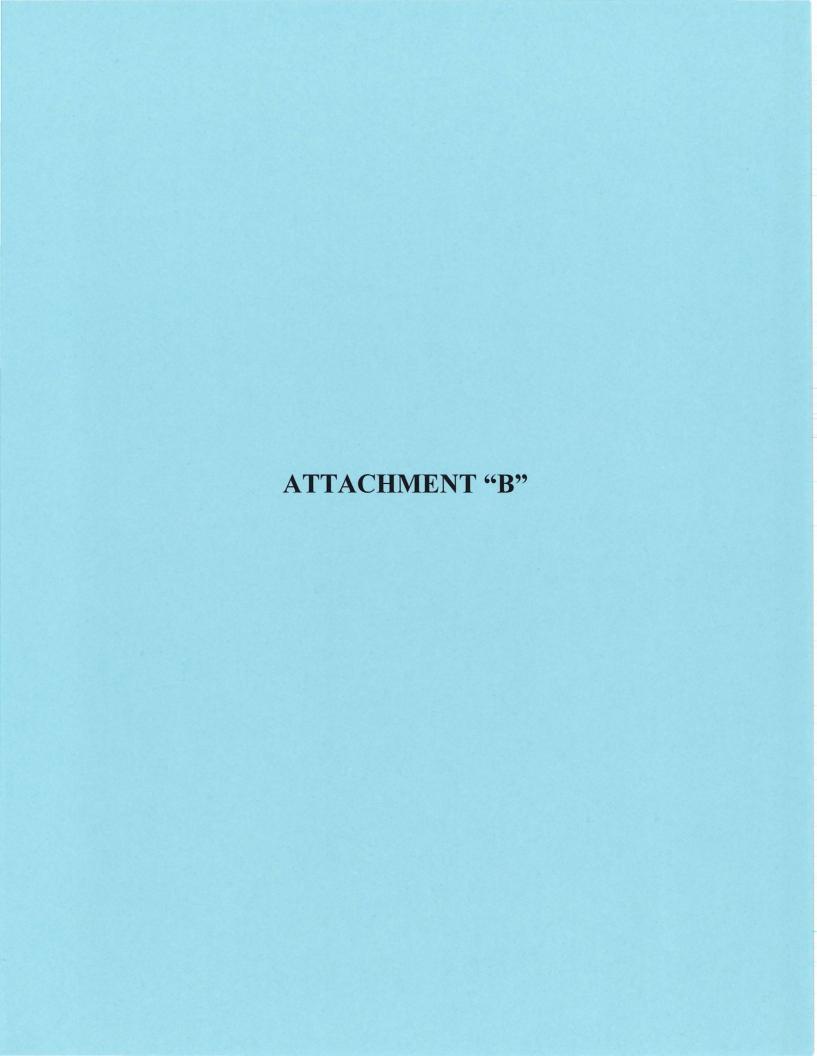
U.S. DOT #: 3103772

Review Date: 04/03/2019

### Part B Requirements and/or Recommendations

Date





### DRIVER'S TIME RECORD (100 air-mile radius)

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25	\$100	5100	9	120977	Goodhard to Haxten
26		1:00			

To be prepared monthly by each DOT certified driver unless time record is exclusively kept on Driver's Daily Log. Indicate "days off." Check box if no driving is performed during this month and the first 7 days of the following month. Mail this report to your Division Manager of Administration.

25 267 28

### **CERTIFICATE OF SERVICE**

### 19-TRAM-422-PEN

I, the undersigned, certify that the true copy of the attached C	Order has been served to the following parties by means of
first class mail/hand delivered on04/24/2019	
AHSAN LATIF, LITIGATION COUNSEL	CHRISTOPHER SOEHNER, MANAGER
KANSAS CORPORATION COMMISSION	SEED SOLUTIONS, LLC
1500 SW ARROWHEAD RD	585 WOOFTER AVE
TOPEKA, KS 66604	COLBY, KS 67701
Fax: 785-271-3354	clsoehner@icloud.com
a.latif@kcc.ks.gov	

/S/ DeeAnn Shupe

DeeAnn Shupe