THE STATE CORPORATION COMMISSION **OF THE STATE OF KANSAS**

In the Matter of the Investigation of **Jeff**) Stewart, d/b/a Stewart Roofing Company, of) Tonganoxie, Kansas, Regarding the Violation) of the Motor Carrier Safety Statutes, Rules and Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the) Revocation of Motor Carrier Authority.

Docket No. 20-TRAM-048-PEN

JOINT MOTION FOR APPROVAL OF STIPULATED SETTLEMENT AGREEMENT

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The Staff of the State Corporation Commission of the State of Kansas (Staff and Commission, respectively), and Jeff Stewart, d/b/a Stewart Roofing Company. (Respondent), file this Joint Motion requesting the Commission issue an order approving the attached Stipulated Settlement Agreement. In support of its Motion, Staff and Respondent state as follows:

1. On July 17, 2019, Commission Staff (Staff) Special Investigator Jared Smith conducted a compliance review on Respondent's motor carrier operations. As a result of the review, Investigator Smith identified eight (8) violations of the Motor Carrier Safety Regulations.

2. On August 8, 2019, the Commission issued a Penalty Order in this docket assessing a \$4,250 civil penalty against Respondent.

3. On August 30, 2019 and in subsequent communications thereafter, Jeff Stewart, Owner of Stewart Roofing Company, Gary Davenport, Deputy Division Director, and Ahsan Latif, Litigation Counsel for Staff (Staff), informally discussed the possibility of a settlement. During the informal discussions, Staff and Respondent were able to reach mutually agreeable terms in anticipated settlement of the issues. It was agreed that Staff would subsequently reduce the terms to writing and forward the draft to Respondent for approval and signature.

4. The resulting signed Stipulated Settlement Agreement is attached hereto and is hereby incorporated by reference. This Agreement resolves all issues in this proceeding between Staff and Respondent. The parties believe that the Agreement represents a reasonable and fair resolution of this matter and that the Commission should approve the Agreement.

5. Should the Commission accept the terms of the attached Stipulated Settlement Agreement, the parties waive their respective rights to cross-examine witnesses and present oral arguments or written briefs to the Commission. The parties also waive their rights to request reconsideration of the Commission order approving the Agreement and waive their rights to seek judicial review of said order.

WHEREFORE, for the reasons set forth herein, Staff and Jeff Stewart, d/b/a Stewart Roofing Company request this Joint Motion be granted, and that the attached Stipulated Settlement Agreement be approved.

Respectfully Submitted,

By:

Ahsan A. Latif B.Ct. #24709 Litigation Counsel Kansas Corporation Commission 1500 S.W. Arrowhead Road Topeka, Kansas 66604-4027 Phone: 785-271-3118 Fax: 785-271-3124 Email: a.latif@kcc.ks.gov

Attorney for Commission Staff

By:

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Jeff Stewart, Owner Stewart Boofing Company 21189 225th St. Tonganoxie, Kansas 66086

Respondent

THE STATE CORPORATION COMMISSION OF THE STATE OF KANSAS

Before Commissioners:	Dwight D. Keen, Chair
	Shari Feist Albrecht
	Jay Scott Emler

In the Matter of the Investigation of Jeff) Stewart, d/b/a Stewart Roofing Company, of Tonganoxie, Kansas, Regarding the Violation) of the Motor Carrier Safety Statutes, Rules and) Regulations and the Commission's Authority to Impose Penalties, Sanctions and/or the Revocation of Motor Carrier Authority.

Docket No. 20-TRAM-048-PEN

STIPULATED SETTLEMENT AGREEMENT

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This Stipulated Settlement Agreement (Agreement) is entered into by and between the Staff of the Corporation Commission of the State of Kansas (Staff and Commission, respectively), and Jeff Stewart, d/b/a Stewart Roofing Company (Respondent). Its effective date will be the date the Commission enters an order approving or amending the terms of the Agreement.

I. JURISDICTION

1. Pursuant to K.S.A. 2018 Supp. 66-1,108b, 66-1,111, 66-1,112, 66-1,114, 66-1,114b and 66-1,115, and amendments thereto, the Commission is given full power, authority and jurisdiction to supervise and control motor carriers, as defined in K.S.A. 2018 Supp. 66-1,108, doing business or procuring business in Kansas, and is empowered to do all things necessary and convenient for the exercise of such power, authority and jurisdiction.

2. Pursuant to K.S.A. 2018 Supp. 66-1,129a, 66-1,130 and 66-1,142b, and amendments thereto, the Commission may suspend operations, revoke or amend certificates, and initiate sanctions or fines against every motor carrier and every person who violates any provision of Kansas law in regard to the regulation of such motor carriers and persons, or who fails to obey any order, decision or regulation of the Commission.

3. The Commission has the authority, pursuant to K.A.R. 82-1-237, to investigate a party under its jurisdiction.

II. BACKGROUND

4. Under the above jurisdiction and authority, on July 17, 2019, Commission Staff (Staff) Special Investigator Jared Smith conducted a compliance review on Respondent's motor carrier operations. As a result of the review, Investigator Smith identified eight (8) violations of the Motor Carrier Safety Regulations.

5. On August 8, 2019, the Commission issued a Penalty Order in this docket assessing a \$4,250 civil penalty against Respondent.

6. On August 30, 2019 and in subsequent communications thereafter, Jeff Stewart, Owner of Stewart Roofing Company, Gary Davenport, Deputy Division Director, and Ahsan Latif, Litigation Counsel for Staff (Staff), informally discussed the possibility of a settlement. During the informal discussions, Staff and Respondent were able to reach mutually agreeable terms in anticipated settlement of the issues. It was agreed that Staff would subsequently reduce the terms to writing and forward the draft to Respondent for approval and signature.

7. III. TERMS OF THE STIPULATED SETTLEMENT AGREEMENT

8. The parties agree that the Commission has jurisdiction and authority over this matter.

9. The parties also agree that adoption of this Agreement is in the public interest and that the Commission should approve the stipulations as set forth below.

10. Respondent stipulates to the violations as listed in the Commission's Penalty Order, which are hereby incorporated by reference.

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11. On August 30, 2019, based on negotiations with Respondent, Staff agreed to hold in abeyance the \$4,250 civil penalty contingent on Respondent's agreement to refrain from certain activities. Respondent agrees it will no longer conduct motor carrier operations that involve interstate movement or operations that involve vehicles which combine for a weight or weight rating of over 26,000 lbs.

12. Respondent understands that failure to maintain motor carrier operations consistent with this agreement would result in the \$4,250 civil penalty being due and owing immediately and could result in the suspension of its authority without further notice and the Commission could submit the matter for judicial enforcement or enforcement through the Kansas Attorney General's Office.

13. Staff agrees to recommend to the Commission that this Agreement be approved. Staff further agrees that upon approval by the Commission, and barring default proceedings pursuant to K.S.A. 2018 Supp. 77-520, as described in ¶ 12 above, this Agreement shall constitute a final resolution of this matter.

IV. RESERVATIONS

14. This Stipulated Settlement Agreement fully resolves issues specifically addressed between the parties. The terms of this Agreement constitute a fair and reasonable resolution of the issues addressed herein.

15. The terms and provisions of this Agreement have resulted from negotiations between the signatories and are interdependent. In the event the Commission does not approve the terms of the Agreement in total, any party has the option to terminate this Agreement and, if so terminated, none of the signatories hereto shall be bound by, prejudiced, or in any way affected by any of the terms or provisions hereof, unless otherwise provided herein.

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16. Unless (and only to the extent) otherwise specified in this Agreement, the signatories to this Agreement shall not be prejudiced, bound by, or affected in any way by the terms of this Agreement: (1) in any future Commission or court proceeding; (2) in any proceeding currently pending before the Commission under a separate docket; and/or (3) in this proceeding, if the Commission decides not to approve this Agreement in total or in any way conditions its approval of the same. This paragraph is not meant to limit future enforcement of this Agreement, should either party fail to fulfill all terms and provisions.

17. Unless (and only to the extent) otherwise specified in this Agreement, this Agreement does not prejudice or waive any party's legal rights, positions, claims, assertions or arguments in any proceedings in this docket, or any other proceeding before the Commission or in any court.

18. If the Commission approves this Agreement in its entirety and incorporates the same into a final order in this docket, the parties agree to be bound by its terms and the Commission's order incorporating its terms as to all issues addressed herein, and will not appeal the Commission's order.

19. This Stipulated Settlement Agreement shall be binding on all parties upon signing.

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IN WITNESS WHERETO, the parties hereby execute and approve this Stipulated Settlement Agreement by subscribing their signatures below.

By:

By:

Ahsan A. Latif S.Ct. #24709 Litigation Counsel Kansas Corporation Commission 1500 S.W. Arrowhead Road Topeka, Kansas 66604-4027 Phone: 785-271-3118 Fax: 785-271-3124 Email: a.latif@kcc.ks.gov

Jeff Stewart, Owner Stewart Roofing Company 21189 225th St. Tonganoxie, Kansas 66086

Attorney for Commission Staff

Respondent

VERIFICATION

STATE OF KANSAS) ss. COUNTY OF SHAWNEE

Ahsan A. Latif, of lawful age, being duly sworn upon his oath deposes and states that he is Litigation Counsel for the State Corporation Commission of the State of Kansas; that he has read and is familiar with the foregoing Joint Motion for Approval of Stipulated Settlement Agreement and attests that the statements therein are true and correct to the best of his knowledge, information and belief.

Ahsan A Latif, S. Ct. # 24709 Litigation Counsel The State Corporation Commission of the State of Kansas

SUBSCRIBED AND SWORN to before me this 7th day of September, 2019.

VICKI D. JACC-3SEN Notary Public - State of Kansas My Appt. Expires 6-30-22

D. Jacobse Notary Public

My Appointment Expires: June 30, 2022

CERTIFICATE OF SERVICE

20-TRAM-048-PEN

I, the undersigned, certify that a true and correct copy of the above and foregoing Joint Motion for Approval of Stipulated Settlement Agreement was placed in the United States mail, postage prepaid, or hand-delivered this 10th day of September, 2019, to the following:

JEFF STEWART, OWNER JEFF STEWART D/B/A STEWART ROOFING COMPANY 21189 225TH STREET TONGANOXIE, KS 66086 stewartroofingcompany@gmail.com AHSAN LATIF, LITIGATION COUNSEL KANSAS CORPORATION COMMISSION 1500 SW ARROWHEAD RD TOPEKA, KS 66604 Fax: 785-271-3354 a.latif@kcc.ks.gov

Jacolia Vicki Jacobsen